

**MEETING OF THE  
FINANCE & INFRASTRUCTURE COMMITTEE**  
Board of Regents for Higher Education  
Hartford, Connecticut

Tuesday, October 7, 2014, at 9:30 am  
61 Woodland Street, Hartford, CT

**Agenda**

- 1. APPROVAL OF MINUTES FROM THE SEPTEMBER 4, 2014 SPECIAL MEETING**
  
- 2. INFORMATION ITEMS**
  - A. CSUS 2020 Update
  - B. FY15 Budget Risks and Opportunities
  
- 3. ACTION ITEMS**
  - A. FY15 Fee Adjustment at Charter Oak State College
  - B. FY16 – FY17 Biennium Budget Options

**SPECIAL MEETING OF THE  
FINANCE & INFRASTRUCTURE COMMITTEE  
Via Teleconference**

Board of Regents for Higher Education  
Thursday, September 4, 2014, at 2:00 pm  
39 Woodland Street, Hartford CT

**Minutes**

**REGENTS PRESENT**

Matt Fleury, Committee Chair  
Richard Balducci  
Merle Harris  
Catherine Smith

**CONNSCU REPRESENTATIVES**

James Schmotter, President, WCSU ; Charlene Casamento, Chief Financial Officer, CCSU; Richard Bachoo, Chief Administration Officer, CCSU; James Blake, Executive Vice President, SCSU; Sean Loughran, Interim Chief Financial Officer, WCSU;

**CONNSCU STAFF**

Erika Steiner Chief Financial Officer; Keith Epstein, Vice President for Facilities & Infrastructure Planning; Karen Stone, Director of Internal Audit; Michael Kozlowski, Director, Public Affairs & Marketing; Christopher Forster, Controller; Melentina Pusztay, Director, Budgets & Planning; Rosalie Butler, Administrative Assistant

**GUESTS**

John Noonan, OPM  
Kerry Kelley, OPM  
Keith Phaneuf, Connecticut Mirror

*With a quorum present, Chairman Fleury called the meeting to order at 2:00 pm.*

**1. APPROVAL OF MINUTES FROM THE JUNE 10, 2014 MEETING**

*The minutes of the June 10, 2014 meeting were unanimously approved, as written.*

## 2. INFORMATION ITEMS

### A. CSUS 2020 Update

VP Epstein provided an update on CSUS 2020 construction projects. All projects are largely on schedule and within budget. Projects cited were the Fine Arts Building at Eastern CT State University, the Science Building at Southern CT State University and the ribbon cutting ceremony for Western CT State University's Visual and Performing Arts Center.

## 3. ACTION ITEMS

### A. Naming Requests at Western Connecticut State University

- a) Macricostas School of Arts & Sciences
- b) Veronica Hagman Concert Hall
- c) Branson Lobby
- d) Boa Family Design Studio
- e) Ruth G. Schmotter Instrumental Rehearsal Room
- f) David and Nancy Nurnberger Sculpture Terrace
- g) Caraluzzi Family Conference Room

Chairman Fleury indicated the BOR has the authority to provide for the naming of facilities and programs at the Connecticut State Universities. He turned to President Schmotter for further explanation. President Schmotter provided detail on WCSU's capital campaign, background on individual donations, and the programs and spaces to be named.

*The naming requests were unanimously approved on a motion by Regent Balducci, seconded by Regent Fleury.*

*President Schmotter left the teleconference at 2:09 PM*

### B. Approval to utilize University Reserves for Central Connecticut State University

VP Epstein advised Regents that the Data Center at CCSU has reached full capacity and is limited by its current power and cooling capabilities. The university had previously (2009) received approval to use up to \$500,000 of its designated fund balance for the upgrade of the Data Center/Server Room. Design commenced, but no resolution was reached and expansion efforts were tabled. A new design within the Energy Center was

proposed in 2012. Revised estimates indicate the project will cost an additional \$375,000 above 2009 cost. University Fund Balance Guidelines (CSUS BR#08-39) require Board approval for any use off current fund or plant fund balances in excess of \$250,000. CCSU CFO Casamento clarified that the university intended to use Operating Funds to cover the additional cost of the project.

*The Resolution was unanimously approved, as amended: That CCSU is authorized to use up to \$375,000 in Operating Funds to upgrade the Campus Data Center/Server Room.*

### **C. FY2016/FY2017 Biennial Budget Submission for Board of Regents, Connecticut State Colleges & Universities (CSCU) including Current Services and Capital Requests**

Chairman Fleury provided an overview of the process by which the biennial budget request is submitted to the Office of Policy and Management (OPM). He emphasized that the request before the Committee was the very beginning of the budget process. He explained the submission consists of three distinct pieces, Capital Requests, Current Services and Expansion Options. The first two pieces are due to OPM immediately, while Expansion Options are not due to OPM until October 2014. The Expansion Options budget will be presented to the Committee and full BOR prior to submission to OPM.

CFO Steiner explained that since the materials for today's meeting had been published, the Developmental Education and Early College (PA 12-40) programs presented as Current Services were reconsidered. In retrospect, these programs are more accurately reflected as Expansion Options since funding was considered by the State to be one-time. Subsequently, the amount needed for developmental funding was moved out of the Current Services Request and into the Expansion Options portion of the biennial budget.

CFO Steiner indicated the **revised** request would consist of **\$162.9 million** and **\$163.3 million** for Capital requirements, and **\$625.0 million** and **\$681.2 million** for Current Services requirements for FY2016 and FY2017 respectively. This represents a 9.9% FY 2016 increase over FY2015 and includes collective bargaining wage increases as agreed-upon. The same increases were then assumed for FY 2017.

Key assumptions were discussed (including a tuition and fee increase of 2%, decrease in enrollment of 2%, cost of utilities, collective bargaining increases), as were capital planning, deferred maintenance and project prioritization.


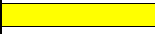

*The Resolution concerning FY2016/FY2017 Biennial Budget Capital Request and Current Services Request (General Fund and Operating Fund) was unanimously approved, as amended.*

*With no other business to discuss, the meeting was adjourned at 2:57 p.m.*

**CSCU 2020  
Monthly Project Status Report  
Reporting Period Through August 2014**

**2A**

PROJECT NAME	PROJECTED SUBSTANTIAL COMPLETION DATE	PROJECT BUDGET				PERCENTAGE OF COMPLETION	PROJECT ON SCHEDULE	REASON FOR DELAY	ACTIVITY SINCE PREVIOUS REPORT
		BUDGET	EXPENDITURES TO DATE	PROJECTED EXPENDITURES	PROJECTED VARIANCE (Budget-Projected Expenditures)				
<b>CSCU</b>									
Burritt Library HVAC Code Compliance Improvement	4/1/2013	2,182,000	129,500	2,182,000		6%	N	Project to commence Summer 2015	
General Fund Minor Capital Improvements Program (FY 2011)	Ongoing	462,500	447,076	462,500		97%	Y		X
General Fund Minor Capital Improvements Program (FY 2013)	Ongoing	2,235,000	713,241	2,235,000		32%	Y		X
Window Replacement in Four General Fund Buildings	Phased Project	2,297,500	675,332	2,297,500		29%	Y		
New Classroom Office Bldg - Design & Construction	5/30/2013	37,992,000	37,200,350	37,992,000		98%	Y		X
General Fund Interior Signage Program		600,000	533,631	600,000		89%	Y		
Auxiliary Services Fund Facilities (FY 2013)		3,200,000	1,694,853	3,200,000		53%	Y		X
HVAC Conversion (Seth North) Project		1,822,500	1,716,853	1,822,500		94%	Y		
ITBD Renovations		200,000		200,000			Y		
Copernicus Hall Lower Roof Replacement		722,000		722,000			Y		
GF Building & Site - Telecom Equipment Removal & Replacement		327,000	326,024	326,024	976.00	100%	Completed		X
Maloney Hall HVAC Improvements		1,220,000		1,220,000		0%	Y		
Arute Field Artificial Turf Replacement		912,000	705,000	912,000		77%	Y		X
Willard & DiLoreto Hall Renvoate/Expand		8,360,137	17,424	8,360,137		0%	Y		
<b>ECSU</b>									
General Fund Minor Capital Improvements Program (FY 2009)	Ongoing	739,343	720,601	739,343		97%	Y		
General Fund Minor Capital Improvements Program (FY 2011)	Ongoing	2,222,000	1,550,324	2,222,000		70%	Y		
General Fund Minor Capital Improvements Program (FY 2013)	Ongoing	3,325,000	2,292,938	3,325,000		69%	Y		
Fine Arts Instructional Center		83,556,000	32,420,747	83,556,000		39%	Y		X
New Warehouse	10/1/2013	2,269,000	2,004,022	2,269,000		88%	Y		
Auxiliary Services Fund Facilities (FY 2011)	Ongoing	813,000	709,727	813,000		87%	Y		
Athletic Support Building	10/1/2013	1,921,000	1,750,000	1,921,000		91%	Y		
Goddard / Communication Building Renovations		2,551,000	4,699	2,551,000		0%	Y		X
Shafer Hall Renovations		4,100,000	58,000	4,100,000		1%	Y		X
<b>SCSU</b>									
Academic Laboratory Building (New)	7/1/2015	67,587,000	24,315,883	67,587,000		36%	Y		X
Buley Library Addition & Renovations (2020 Share)	1/1/2016	17,437,937	22,962,499	17,437,937		132%	Y		X
Earl Hall Mechanical & Electrical Upgrades	1/24/2011	6,454,456	4,642,230	6,454,456		72%	Y		X
General Fund Minor Capital Improvements Program (FY 2009)	Ongoing	776,845	775,574	775,574	1,271	100%	Y		
General Fund Minor Capital Improvements Program (FY 2011)	Ongoing	1,656,000	1,625,835	1,656,000		98%	Y		
General Fund Minor Capital Improvements Program (FY 2013)	Ongoing	1,299,000	1,030,136	1,299,000		79%	Y		
General Fund Minor Capital Improvements Program (FY 2014)	Ongoing	1,000,000	462,964	1,000,000		46%	Y		
Jennings Hall Mechanical & Electrical Upgrades	3/4/2011	6,013,168	5,009,514	6,013,168		83%	Y		X
Moore Field House - Locker Room Renovations	1/30/2012	929,500	922,195	929,500		99%	Y		
Moore Field House - Swimming Pool Renovations	7/30/2011	925,000	821,800	925,000		89%	N	Increased Construction Scope	
Old Student Center Renovations (New School of Business)	6/1/2012	6,124,145	5,728,543	6,124,145		94%	Y		
Earl Hall Broadcast Studio Security Corridor	T.B.D	136,189		136,189		0%	N	Project schedule to be determined	
Auxiliary Services Fund Facilities (FY 2011)	Ongoing	1,398,950	1,052,346	1,398,950		75%	Y		
Auxiliary Services Fund Facilities (FY 2012)	Ongoing	2,800,000	1,518,143	2,800,000		54%	Y		
Auxiliary Services Fund Facilities (FY 2013)	Ongoing	400,000	0	400,000		0%	N		
Auxiliary Services Fund Facilities (FY 2009)	Ongoing	5,466,684	5,253,251	5,466,684		96%	Y		
<b>WCSU</b>									
Fine Arts Instructional Center	4/1/2014	97,593,000	88,666,062	97,593,000		91%	Y		
General Fund Minor Capital Improvements Program (FY 2009)	Ongoing	1,485,000	1,221,906	1,485,000		82%	Y		
General Fund Minor Capital Improvements Program (FY 2011)	Ongoing	1,165,000	978,446	1,165,000		84%	Y		X
General Fund Minor Capital Improvement Program (FY 2012)	Ongoing	950,000	21,382	950,000		2%	Y		X
General Fund Minor Capital Improvements Program (FY 2013)	Ongoing	545,000	272,729	545,000		50%	Y		X
General Fund Minor Capital Improvements Program (FY 2014)	Ongoing	1,000,000	7,138	1,000,000		1%	Y		X
Higgins Hall Annex - HVAC Improvements	6/30/2011	280,000	132,488	280,000		47%	Y		
Higgins Hall Annex - Two New Lecture Halls (Classroom Re-configuration)	1/15/2012	699,258	676,700	699,258		97%	Y		
Higgins Hall Annex - Learning Emporium		807,025	583,387	807,025		72%	Y		
Steam and Hot Water Utilities' Infrastructure							Y		
Central Heat Plant Improvements	Ongoing	1,975,000	1,626,227	1,975,000		82%	Y		
Auxiliary Services Fund Facilities (FY 09)	Ongoing	985,000	60,750	985,000		6%	Y		
New Police Station (Design Only)		500,000	131,974	500,000		26%	Y		X
Litchfield Hall Renovations		1,139,213	203,005	1,139,213		18%	Y		X
Westside Athletic Complex Synthetic Turf Replacement		853,450	225,255	853,450		26%	Y		X
<b>SYSTEM</b>									
Telecom Upgrades to Campuses		7,500,000	2,739,500	7,500,000		37%	Y		
New & Replacement Equipment (FY 2009)	Ongoing	10,000,000	10,000,000	10,000,000		100%	Y		
New & Replacement Equipment (FY 2011)	Ongoing	8,000,000	8,000,000	8,000,000		100%	Y		
New & Replacement Equipment (FY 2012)	Ongoing	8,895,000	8,895,000	8,895,000		100%	Y		
New & Replacement Equipment (FY 2013)	Ongoing	9,500,000	5,114,927	9,500,000		54%	Y		X
New & Replacement Equipment (FY 2015)	Ongoing	10,000,000		10,000,000			Y		
TOTALS		448,305,801	291,348,133	448,303,554					

PROJECT SCHEDULE COLOR CODE	
	PROJECT IS WITHIN SCHEDULE ISSUE
	MINOR PROJECT SCHEDULE ISSUE
	SIGNIFICANT PROJECT SCHEDULE ISSUE

**ITEM**

FY15 Budget Risks and Opportunities

**BACKGROUND**

Under its Charter, the BOR Finance and Infrastructure Committee “is charged with oversight of the institutional facilities, financing, strategy, financial policies and financial condition of the Connecticut State College & University System. The Committee shall conduct reviews, receive reports and provide direction to management and counsel to the Board of Regents concerning matters within its scope of responsibility.”

As the first quarter of FY15 has just been completed, and 2/3 of the quarter falls outside of the academic calendar year, it is premature to forecast expected FY15 results against budget. However, we have evaluated certain early indicators, including fall enrollments, to assess risks and opportunities against budget.

In addition, we have inquired of each institution’s President whether there are any significant factors which should be considered in evaluating their ability to meet their budget commitments.

We have identified three areas which may have an impact on our FY15 Budget: (1) Go Back to Get Ahead (GBTGA) enrollments (2) fall enrollments (generally), and (3) assessment of fringe benefit rates against budgeted rates.

**ANALYSIS****General:**

Several of the institutions have indicated that lower enrollments will present a challenge; revenue shortfalls are being evaluated measures are being taken, including (1) operating costs deferrals wherever possible, (2) delaying hiring, and (3) heightened retention efforts.

Notably Charter Oak is well above enrollment targets, and Middlesex and Northwestern Community Colleges have exceeded budgeted enrollment. Central and Southern Connecticut State Universities are also higher than budgeted enrollments.

**Go Back to Get Ahead Enrollments:**

Of the 17 institutions, 7 colleges relied on GBTGA revenues to a budget break-even for the year: Asnuntuck CC, Gateway CC, Middlesex CC, Northwestern CC, Norwalk CC, and (to a lesser extent) Three Rivers CC. Tunxis CC’s submitted budget was at a loss irrespective of GBTGA estimated benefit. The FY2014-15 Operating Budget approved is attached herein for reference (Attachment A).

Current projections for GBTGA for those institutions relying on the revenues are as follows:

<b>GBTGA Reliant Community Colleges</b>	<b>Net Budget Before GBTGA</b>	<b>Students Enrolled</b>	<b>Times Annual Benefit</b>	<b>Differential</b>
Asnuntuck Community College	(254,421)	22	41,668	(212,753)
Gateway Community College	(340,000)	45	85,230	(254,770)
Middlesex Community College	(343,457)	38	71,972	(271,485)
Northwestern Community College	(358,688)	22	41,668	(317,020)
Norwalk Community College	(347,856)	33	62,502	(285,354)
Three Rivers Community College	(141,293)	43	81,442	(59,851)
Tunxis Community College	(1,218,345)	19	35,986	(1,182,359)
<b>Total</b>	<b>(3,004,060)</b>	<b>222</b>		<b>(2,583,592)</b>
<b><u>Total Annual Benefit</u></b>				
From the Program	1,036			
From the Student	858			
<b>Total</b>	<b>1,894</b>			

This is an annualized impact based on fall enrollment. We expect however that additional students will begin in the spring semester which may improve the results depicted.

We note however, that the GBTGA students are only a subset of overall enrollment for fall, which is addressed below in aggregate.

**Fall Enrollments:**

Attachment B shows fall 2014 actual enrollment compared to budget. Included are estimated impacts for fall semester only; this is not a full-year impact, nor is it a precise estimate. We've used in-state rates, and estimated the number of units per part-time student as indicated in the attachment.

These enrollment figures include GBTGA students for fall semester, and are based on the census date information from the institutions.

**Fringe Benefit Rates:**

Early indication is that the Community Colleges actual fringe rates will be very close to those budgeted, and therefore neutral to the budgeted costs.

Early indication is that the budgeted fringe benefit rate used for the Universities is higher than the actual rate. This may present a savings opportunity of approximately \$5M with regard to CSU's operating fund.

**CONCLUSION**

The institutions are evaluating the impact of enrollments at each individual location, and working on options to manage costs to offset shortfalls, where applicable. Some CSCU institution are benefitting from increases in enrollments. At this early point in the fiscal year we are closely monitoring all costs to preserve the CSCU commitment to break even for FY15.

**Connecticut State Colleges & Universities**  
**Board of Regents for Higher Education**  
**FY2014-15 Operating Budget**

	TOTAL REVENUE	PS	FRINGE	OTHER EXPENSES	TOTAL EXPENDITURES	DEBT SERVICE	OTHER TRANSFERS	TRANSFERS IN / OUT	ADDITIONAL FUNDS	NET
<b>State Universities</b>										
Central Connecticut State University	213,099,801	98,133,630	52,130,119	55,998,905	206,262,654	(9,383,417)	(1,305,658)	n/a	3,851,928	-
Eastern Connecticut State University	128,694,260	57,327,264	34,155,353	30,250,148	121,732,765	(7,859,764)	(573,781)	n/a	1,472,050	-
Southern Connecticut State University	208,931,691	98,412,873	53,039,681	51,115,349	202,567,903	(10,427,508)	450,000	n/a	3,644,549	30,829
Western Connecticut State University	125,371,983	58,345,982	30,087,643	32,646,695	121,080,320	(7,379,758)	146,227	n/a	1,693,216	(1,248,652)
CSU System Office	14,072,859	5,682,395	3,168,912	4,321,552	13,172,859	-	(900,000)	n/a	-	-
<b>State Universities Total</b>	<b>690,170,594</b>	<b>317,902,144</b>	<b>172,581,708</b>	<b>174,332,649</b>	<b>664,816,501</b>	<b>(35,050,447)</b>	<b>(2,183,212)</b>	<b>-</b>	<b>10,661,743</b>	<b>(1,217,823)</b>
<b>Community Technical Colleges</b>										
Asnuntuck Community College	19,004,451	10,228,619	6,341,744	2,821,742	19,392,105	n/a	n/a	(64,794)	198,027	(254,421)
Capital Community College	33,895,602	18,607,130	10,627,097	5,560,073	34,794,300	n/a	n/a	(478,755)	1,377,453	-
Gateway Community College	54,644,135	31,088,335	15,856,199	10,437,911	57,382,445	n/a	n/a	(818,577)	3,216,887	(340,000)
Housatonic Community College	42,487,159	21,788,311	11,554,052	8,985,187	42,327,550	n/a	n/a	(676,331)	516,722	-
Manchester Community College	54,196,812	29,115,646	17,054,310	7,892,542	54,062,498	n/a	n/a	(852,045)	717,731	-
Middlesex Community College	23,326,201	12,628,517	6,739,836	4,264,489	23,632,842	n/a	n/a	(321,299)	284,483	(343,457)
Naugatuck Valley Community College	56,280,280	30,570,086	18,687,198	6,873,357	56,130,641	n/a	n/a	(832,475)	686,163	3,327
Northwestern Community College	15,634,434	9,013,668	5,590,981	2,128,850	16,733,499	n/a	n/a	(157,552)	897,929	(358,688)
Norwalk Community College	47,683,857	26,699,424	13,332,128	7,923,443	47,954,995	n/a	n/a	(739,689)	662,971	(347,856)
Quinebaug Valley Community College	17,466,901	9,215,916	5,483,475	2,716,383	17,415,774	n/a	n/a	(222,549)	171,422	-
Three Rivers Community College	35,861,595	19,381,476	11,521,694	4,981,113	35,884,283	n/a	n/a	(548,522)	429,917	(141,293)
Tunxis Community College	35,153,059	19,473,069	11,782,957	5,011,163	36,267,189	n/a	n/a	(548,522)	444,307	(1,218,345)
CCC System Office	17,972,426	14,092,217	4,460,186	5,764,329	24,316,732	n/a	n/a	6,344,306	-	-
<b>Community Technical College Total</b>	<b>453,606,912</b>	<b>251,902,414</b>	<b>139,031,857</b>	<b>75,360,582</b>	<b>466,294,853</b>	<b>-</b>	<b>-</b>	<b>83,196</b>	<b>9,604,012</b>	<b>(3,000,733)</b>
<b>Charter Oak State College</b>	<b>15,375,768</b>	<b>8,679,222</b>	<b>4,160,689</b>	<b>2,705,839</b>	<b>15,545,750</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>234,900</b>	<b>64,918</b>
<b>Board of Regents</b>	<b>1,210,035</b>	<b>856,301</b>	<b>462,473</b>	<b>-</b>	<b>1,318,774</b>	<b>-</b>	<b>-</b>	<b>108,739</b>	<b>-</b>	<b>-</b>
<b>Sub Total Board of Regents for Higher Education</b>	<b>1,160,363,309</b>	<b>579,340,081</b>	<b>316,236,727</b>	<b>252,399,070</b>	<b>1,147,975,878</b>	<b>(35,050,447)</b>	<b>(2,183,212)</b>	<b>191,935</b>	<b>20,500,655</b>	<b>(4,153,638)</b>
Operational Support									2,200,000	2,200,000
Go Back to Get Ahead									7,613,457	7,613,457
<b>Total Board of Regents for Higher Education</b>	<b>1,160,363,309</b>	<b>579,340,081</b>	<b>316,236,727</b>	<b>252,399,070</b>	<b>1,147,975,878</b>	<b>(35,050,447)</b>	<b>(2,183,212)</b>	<b>191,935</b>	<b>30,314,112</b>	<b>5,659,819</b>



CONNECTICUT STATE COLLEGES AND UNIVERSITIES  
 FY15 ESTIMATED IMPACT OF FALL 2014 ENROLLMENT COMPARED TO BUDGET  
 7-Oct-14

ATTACHMENT B

CONNECTICUT STATE UNIVERSITIES

HEADCOUNT Enrollment	ENROLLMENTS - Fall 2014						Fall 2014 Budget vs Actual				Semester Tuition/Fees (2)		Fall 2014
	Budget			Actual (3)			Full Time		Part Time		Full Time	Part Time (1)	Impact
	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)			
<b>Undergraduate</b>													
CCSU	7,624	2,147	9,771	7,702	2,169	9,871	78	1%	22	1%	4,439	3,458	<b>422,279</b>
ECSU	4,436	768	5,204	4,288	851	5,139	(148)	-3%	83	11%	4,780	3,504	<b>(416,608)</b>
SCSU	6,876	1,241	8,117	6,802	1,331	8,133	(74)	-1%	90	7%	4,579	3,711	<b>(4,819)</b>
WCSU	4,587	1,098	5,685	4,365	1,077	5,442	(222)	-5%	(21)	-2%	4,539	3,407	<b>(1,079,094)</b>
<b>CSU Total Undergraduate</b>	<b>23,523</b>	<b>5,254</b>	<b>28,777</b>	<b>23,157</b>	<b>5,428</b>	<b>28,585</b>	<b>(366)</b>	<b>-1.6%</b>	<b>174</b>	<b>3.3%</b>			
<b>Graduate</b>													
CCSU	584	1,510	2,094	566	1,600	2,166	(18)	-3%	90	6%	4,971	4,337	<b>300,861</b>
ECSU	50	126	176	44	104	148	(6)	-12%	(22)	-17%	5,345	3,992	<b>(119,891)</b>
SCSU	858	1,671	2,529	894	1,798	2,692	36	4%	127	8%	5,085	4,679	<b>777,293</b>
WCSU	71	462	533	57	453	510	(14)	-20%	(9)	-2%	5,103	3,887	<b>(106,425)</b>
<b>CSU Total Graduate</b>	<b>1,563</b>	<b>3,769</b>	<b>5,332</b>	<b>1,561</b>	<b>3,955</b>	<b>5,516</b>	<b>(2)</b>	<b>-0.1%</b>	<b>186</b>	<b>4.9%</b>			
<b>Total Estimated Impact</b>													
CCSU			<b>723,140</b>										
ECSU			<b>(536,499)</b>										
SCSU			<b>772,474</b>										
WCSU			<b>(1,185,519)</b>										

Notes: (1) Assumes 8 units is a part time load  
 (2) Assumes only in-state rates  
 (3) Includes GBTGA Students

CONNECTICUT COMMUNITY COLLEGES

HEADCOUNT Enrollment	ENROLLMENTS - Fall 2014						Budget vs Actual				Semester Tuition/Fees (2)		Fall 2014
	Budget			Actual (3)			Full Time		Part Time		Full Time	Part Time (1)	Impact
	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)			
College													
Asnuntuck	757	1,012	1,769	690	913	1,603	(67)	-8.9%	(99)	-9.8%	1,933	982	<b>(226,729)</b>
Capital	937	3,148	4,085	971	3,104	4,075	34	3.6%	(44)	-1.4%	1,933	982	<b>22,514</b>
Gateway	2,689	5,497	8,186	2,589	5,611	8,200	(100)	-3.7%	114	2.1%	1,933	982	<b>(81,352)</b>
Housatonic	1,843	3,970	5,813	1,694	3,592	5,286	(149)	-8.1%	(378)	-9.5%	1,933	982	<b>(659,213)</b>
Manchester	2,700	4,871	7,571	2,517	4,783	7,300	(183)	-6.8%	(88)	-1.8%	1,933	982	<b>(440,155)</b>
Middlesex	1,089	1,810	2,899	1,162	1,843	3,005	73	6.7%	33	1.8%	1,933	982	<b>173,515</b>
Naugatuck Valley	2,603	4,691	7,294	2,369	4,733	7,102	(234)	-9.0%	42	0.9%	1,933	982	<b>(411,078)</b>
Northwestern	457	1,092	1,549	423	1,191	1,614	(34)	-7.4%	99	9.1%	1,933	982	<b>31,496</b>
Norwalk	2,180	4,376	6,556	2,258	4,105	6,363	78	3.6%	(271)	-6.2%	1,933	982	<b>(115,348)</b>
Quinebaug Valley	677	1,252	1,929	671	1,212	1,883	(6)	-0.9%	(40)	-3.2%	1,933	982	<b>(50,878)</b>
Three Rivers	1,657	3,242	4,899	1,486	3,044	4,530	(171)	-10.3%	(198)	-6.1%	1,933	982	<b>(524,979)</b>
Tunxis	1,425	2,859	4,284	1,586	2,607	4,193	161	11.3%	(252)	-8.8%	1,933	982	<b>63,749</b>
<b>CCC Total Headcount</b>	<b>19,014</b>	<b>37,820</b>	<b>56,834</b>	<b>18,416</b>	<b>36,738</b>	<b>55,154</b>	<b>(598)</b>	<b>-29.9%</b>	<b>(1,082)</b>	<b>-33.0%</b>			<b>(2,218,458)</b>

Notes: (1) Assumes 6 units is part time load  
 (2) Assumes only in-state rates  
 (3) Includes GBTGA Students

CHARTER OAK STATE COLLEGE

HEADCOUNT Enrollment	Fall 2014						Fall 2014 Estimate vs Actual				Semester Tuition/Fees (2)		Fall 2014
	Budget			Actual (3)			Full Time		Part Time		Full Time	Part Time (1)	Impact
	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)			
College													
Charter Oak	301	1,280	1,581	331	1,337	1,668	30	10%	57	4%	3,276	1,728	<b>196,776</b>

Notes: (1) Assumes 6 units is part time load  
 (2) Assumes only in-state rates  
 (3) Includes GBTGA Students

**ITEM**

Adjustment of FY15 Portfolio Assessment Fee at Charter Oak State College

**BACKGROUND**

The Board of Regents, under its statutory authority (CGS 10a-6), reviews and establishes tuition and fees at Charter Oak State College (COSC) annually for such purposes as the Board of Regents deems necessary.

**ANALYSIS**

In March of 2014, the Board of Regents approved Tuition and Fees for the Connecticut State Colleges and Universities for FY15. COSC has reexamined the application of its Portfolio Assessment Fee, which was reflected in the approved schedule s “Assessment Fee for Matriculated students per 3 credit course” and “Assessment Fee for CT non-matriculated students per 3 credit course.”

Based upon this review, COSC has determined that these two subsets of Portfolio Assessment are more appropriately charged based on a “per course” basis. This description is better aligned with the intent of the fee.

**RECOMMENDATION FOR FULL BOARD**

Approve the amended FY15 Fee Schedule for Charter Oak State College (Attachment A).

10/7/14 Finance & Infrastructure  
10/16/14 Board of Regents

RESOLUTION

concerning

FY2015 FEE ADJUSTMENT  
AT  
CHARTER OAK STATE COLLEGE

October 16, 2014

WHEREAS, The Board of Regents for the Connecticut State Colleges and Universities (“the Board”) under its statutory authority - CGS 10a-6 - reviews and establishes fees annually for such purposes as the Board of Regents deems necessary, and

WHEREAS, In March of 2014, the Board set Tuition and Fees for Fiscal Year 2015 for the Connecticut Colleges and Universities and Charter Oak State College (COSC), including a fee for portfolio assessment per COSC student per 3-unit class, and

WHEREAS, COSC has reexamined its portfolio assessment fee as approved, and

WHEREAS, COSC has determined that a per course fee is more accurate in relation to the intent of this assessment, therefore be it

RESOLVED, That the Tuition and Fee Schedules adopted by the Board at their March 13, 2014 meeting are hereby amended, and be it further

RESOLVED, That the rates reflected on the attached schedule (ATTACHMENT A) are effective at COSC as appropriate for FY2015, and be it further

RESOLVED, That said rates may be reconsidered by the Board should circumstances warrant.

A True Copy:

---

Erin A. Fitzgerald  
Secretary

**Charter Oak State College**  
**FY2014 Actual Rates and FY2015 Proposed Rates**

	Proposed	
	AY 2014 Rate	AY 2015 Rate
Tuition (Resident) per credit	\$258	\$263
Tuition (Nonresident) per credit	\$339	\$346
College Fee (Resident) per semester	\$180	\$184
College Fee (Nonresident) per semester	\$240	\$245
<b><u>Tier II Fees</u></b>		
Late Registration Fee per semester	\$20	\$40
Technology Fee per semester	\$0	\$50
<b>Credit Assessment Program Review</b>		
Nonprofit review of one Program or 10 courses and the 4 Year Review	\$2,000	\$2,200
For-Profit review of one Program or 10 courses and the 4 Year Review	\$4,146	\$4,229
Nonprofit additional review of up to 5 additional courses within 4 Years	\$0	\$475
For-Profit additional review of up to 5 additional courses within 4 Years	\$0	\$813
<b>Credential Evaluation of License or Certification</b>		
Matriculated students	\$294	\$300
CT non-matriculated students	\$311	\$317
Non-matriculated students, non-residents	\$420	\$504
<b>Portfolio Assessment</b>		
Assessment Fee for matriculated students per course	\$273	\$320
Assessment Fee for CT non-matriculated students per course	\$354	\$400

**ITEM**

FY16 – FY17 Biennium Budget Options

**BACKGROUND**

The Board of Regents under its statutory authority reviews and approves the CSCU budget requests and prepares and submits a consolidated system request to the Secretary of the the Office of Policy and Management (OPM).

The Biennial Budget submission consists of three distinct pieces: Capital Requests, Current Services, and Budget Options.

The Capital and Current Services requests were approved by the BOR on September 25, 2014 and submitted to OPM.

The current request for Budget Options is due to OPM in October 2014. This Budget Options portion consists of (1) reduction options, (2) reallocation options, (3) revenue options, and (4) expansion options. We have advised OPM that we are still working on options (1) – (3) listed, but that we will submit item (4), expansion options this month.

Discussions will continue with members of the Governor’s staff and the General Assembly to provide more details and clarification, up until such time as the State’s final Biennium Budget is approved.

**ANALYSIS**

The Budget Options/Expansion Options package in included herein as Attachment A. Except for the areas of Security and Safety and P20 WIN, these requests support our strategic plan initiatives with Transform CSUS 2020. The items in this Expansion Options request are those which have been fully developed and articulated in our strategic process. The request does not include numerous other initiatives which are still under development and therefore not at a level to request funding from the state. Such requests will either be included in a mid-term request or in the next biennium.

The BOR recently undertook an assessment of security and safety infrastructure at our twelve community colleges and the System Offices. Thorough reviews were conducted by a team of experts and recommendations were provided. Many enhancements are underway and some already completed. One of the consistent recommendations concerned staffing levels. Our colleges are mixed between outsourced and in-house security forces. It is recommended that each college employ a head of security, to be housed locally, and

that System Office hire a head of public safety and emergency management. This Expansion Options request includes funding for seven additional heads of security at the colleges and one overall head of security at System Office. The latter will serve as BOR liaison with Homeland Security and develop consistent policies and procedures for the system, among other responsibilities.

The request for funding for the Regents Scholarship program will support the kickoff of this enterprise, at the same time that the BOR engages in campaign for private donations for this scholarship fund. The scholarships will be awarded to students who have completed their associate's degree at one of our community colleges and continue towards their bachelor's degree at one of our four-year institutions. The benefits are both to the colleges to keep students in school through their associate's degree and to our universities to incentivize students to stay within our system. Further, it supports the Governor's drive to encourage degree completion in the state of Connecticut.

Many of our Transform CSUS 2020 initiatives circle back to our ability to adequately counsel and advise our students, whether for academic completion, options, course work and requirements, or career aspirations tying to the workforce of the future. In order to assess our current capabilities, we compared our student to advisor ratios to the national medians for institutions of our size. We found that we are significantly under these medians at all of our institutions, without exception. We believe that at a minimum we need to meet these ratios to ensure our students are well-served.

There are two elements of the Expansion Options that were provided for in FY15, not through appropriations, but rather as one-time funding. These items were excluded from current services, and therefore are requested herein: (1) Early College, and (2) Developmental Education.

The FY15 funding for Early College was provided at \$1.0M, which allowed BOR to begin some of our most critical initiatives. The request for Early College herein continues the progress begun in the current fiscal year, and expands the program to include all five facets of Early College, as described in the attached.

The amount requested for Developmental Education is the same amounts provided in FY15 by the state, and inflation adjusted for the next two years. As the program has been constructed in order to provide full compliance with Public Act 12-40 beginning July 1, 2015, it is our contention that these are ongoing expenses that need to be funded annually.

Veterans outreach and encouraging our returning military to pursue additional education is an important initiative within our strategic plan. We have made great progress in establishing veterans' oases at many of our institutions. This request takes our program a step further to enable us to proactively recruit, counsel, and provide advice regarding financial aid options to our veterans.

The P20 WIN System is a joint effort among the State Department of Education, Department of Labor, and Higher Education. The BOR has taken a lead position in developing this system, which will track student from pre-K through the workforce. It is expected that this will shape educational pedagogy for years to come. The initial phase in FY15 was provided through grant funding, but in order to continue development and system implementation, funding is required in the next biennium period.

**RECOMMENDATION**

Approve the FY2016/FY2017 Biennium Budget Expansion Options Request as presented.

10/07/14 Finance & Infrastructure Committee  
10/16/14 BOR

DRAFT – for discussion

Attachment A

# Board of Regents for Higher Education

## FY2016/2017 Budget Options

October, 2014



CONNECTICUT STATE  
COLLEGES & UNIVERSITIES  
BOARD OF REGENTS FOR HIGHER EDUCATION



# Contents

- ◆ Overview of BOR Biennium Budget
- ◆ Expansion Programs Description
  - ✓ Security and Safety
  - ✓ Regents Scholarship Program
  - ✓ Academic Advising
  - ✓ Early College
  - ✓ Developmental Education
  - ✓ Veterans Outreach
  - ✓ P20 WIN Program
- ◆ Expansion Programs Funding Request

# Overview of BOR Biennium Budget

- ◆ BOR submitted both Capital and Current Services requests to OPM in September 2014
- ◆ Current Services included those programs that are a part of our FY2015 operations
  - ✓ The Early College program was begun in FY2015 with a \$1M launch provided by one-time funding, and therefore is excluded from current services, but included in expansion options.
  - ✓ Similarly funding for Developmental Education was funded for \$10.8M in FY2015 as a one-time amount, however inasmuch as this required hiring of faculty, it is recognized in expansion options as an ongoing requirement.
- ◆ BOR is currently working on our Reduction Options which will be finalized, reviewed by the Board, and forwarded to OPM at a later date
- ◆ The following pages are BOR's expansion options, requested for the FY2016-FY2017 Biennium Budget

# Security and Safety

- ◆ The BOR hired Elert & Associates to conduct an assessment of security and safety at our 12 community colleges and system office
  - ✓ Our universities have dedicated police forces patrolling the campuses who focus on student, faculty and staff welfare; with residential life at the universities, their requirements differ from those of the colleges
  - ✓ The colleges have employed a variety of solutions from dedicated staff, to outsourced services, to only local police protection
  - ✓ System office is lacking a senior executive to oversee the system-wide program
- ◆ Recent statistics regarding crime on campuses nationwide are sufficiently alarming
- ◆ Elert provided a number of observations and recommendations that are in various stages of implementation
  - ✓ Many are process and procedural in nature
- ◆ Some recommendations would require additional funding, including:
  - ✓ A dedicated security director at each site and a senior executive at system office
  - ✓ Enhancement of security personnel where access cannot be otherwise thwarted
- ◆ Based on identified needs, we are requesting funding for 7 heads of security at 7 colleges, totaling \$896K and a VP of Public Safety at system office at \$288K, all including salary and fringe benefits

# Regents Scholarships

- ◆ The CSUs have not captured as many qualified community college graduates as desirable for our integrated system
  - ✓ Out of 82,847 of our community college enrolled students in FY13, 2,766 graduated with associate degrees and attended a 4-year university the following year, and 8,737 left college without graduating in order to attend a 4-year university
  - ✓ The chart on page 6 shows that our CSU capture rate of this population is sub-optimal
- ◆ A study conducted by Maguire Associates demonstrated that tuition sensitivity is a big driver for students deciding to go to university after community college
  - ✓ BOR will begin a campaign for philanthropic support for scholarships for our community college students who graduate with an associate degree and then transition and complete their bachelor's degree at a CSU
  - ✓ In order to begin the program, BOR requests funding for initial years while the campaign ramps up
- ◆ We are requesting \$6 M for FY16 and \$15M for FY17 to support this program
  - ✓ The initial goal is to capture 4,000 students from those who may otherwise go to another system by provided a \$1,500 scholarship for each of two years
  - ✓ In the second year, we would expect to increase the population by 6,000 students, to a total of 10,000 students with \$1,500 scholarships
  - ✓ The second year of the program includes the 4,000 from year one, and additional 4,000 from the normal graduating class, and another 2,000 who have been incentivized to stay in the community college system for another year to benefit from the scholarship to a university

**The Regents Scholarship program will benefit both the universities with additional transfer/articulation students, and the colleges with more second year students and associate degrees**



# Regents Scholarships – CCC Analysis

	Total Headcount		
CCC Enrollment in 2013	<u>82,847</u>		
<u>Where did they go in FY 2014?</u>			
<b>Attending a 4-year University</b>	<b>11,503</b>		
Not attending a 4-year University	71,344		
May or may not still be attending college			
FY2014, same Cohort	<u>82,847</u>		
<u>What 4-year school did they attend?</u>			
	All in 4-Year University	With AA Degree	Without AA Degree
<b>CSCU</b>	<u>4,761</u>	<u>1,430</u>	<u>3,331</u>
UConn	1,774	403	1,371
Private CT College	2,295	520	1,775
Public out-of-state 4-year	876	153	723
Private out-of-state 4-year	1,797	260	1,537
<b>Non CSCU</b>	<u>6,742</u>	<u>1,336</u>	<u>5,406</u>
<b>4-Year Univeristy Attendees</b>	<u>11,503</u>	<u>2,766</u>	<u>8,737</u>

Goal is two-fold: (1) incentivize 1,336 students who complete their associate degree and leave our system for a 4-year university to choose a CSU, and (2) incentivize 8,737 students to remain at a CCC to complete their associate degree, and then transfer to a CSU for their bachelor’s degree



# Academic Advising

- ◆ Academic advising is a critical campus based function that is integral to student success, and supports students with difficult decisions, including:
  - ✓ Choosing a major
  - ✓ Financial aid
  - ✓ Scheduling
  - ✓ Progression
  - ✓ Timely graduation
- ◆ The National Academic Advisors Association\* provides guidelines and data concerning academic advising in higher education
  - ✓ For purposes of benchmarking, we have used the median individual caseloads by size of institution to assess our ability to serve our students
  - ✓ Based on our comparisons to these medians (see next page), we estimate the funding requirement at \$4.8M and \$9.5M in FY2016 and FY2017, resp.
  - ✓ FY16 would be a year to ramp up, and assume we are fully staffed going into FY17

*\* The National Academic Advising Association evolved from the first National Conference on Academic Advising in 1977 and has over 11,000 members representing all 50 United States, Puerto Rico, Canada, and several other international countries. Members represent higher education institutions across the spectrum of Carnegie classifications and include professional advisors/counselors, faculty, administrators and students whose responsibilities include academic advising.*

# Academic Advising - Budget

	Fall 2013 Undergraduate FTE	FTE Advisors - Current	Students per Advisor - Current	Students per Advisor - National Mean	Total Advisors Required FTE	Additional Advisors Required	Times \$40,000 salary plus 60% fringe
	A	B	C = A / B	D	E = A / D	F = E - B	
<b>Colleges</b>							
ACC	1,035	1.5	690	233	4.4	2.9	188,292
CCC	2,271	4.0	568	233	9.7	5.7	367,794
GWCC	4,691	4.5	1,043	233	20.1	15.6	1,000,607
HCC	3,245	2.0	1,623	233	13.9	11.9	763,385
MCC	4,455	5.0	891	233	19.1	14.1	903,609
MxCC	1,711	0.5	3,422	233	7.3	6.8	437,993
NVCC	4,374	7.0	625	233	18.8	11.8	753,378
NWCC	816	3.0	272	233	3.5	0.5	32,137
NCC	3,854	7.0	551	233	16.5	9.5	610,609
QVCC	1,096	2.0	548	233	4.7	2.7	172,992
TRCC	2,752	7.0	393	233	11.8	4.8	308,006
TXCC	2,581	3.0	860	233	11.1	8.1	517,054
<b>COLLEGES</b>	<b>32,882</b>						<b>6,055,856</b>
<b>Universities</b>							
Central	9,376	13.0	721	333	28.2	15.2	969,994
Eastern	4,777	9.0	531	233	20.5	11.5	736,137
Southern	8,833	11.0	803	333	26.5	15.5	993,634
Western	4,943	9.2	539	233	21.2	12.0	770,854
<b>UNIVERSITIES</b>	<b>27,929</b>						<b>3,470,619</b>
<b>TOTAL FUNDING REQUIRED FY2017</b>							<b>9,526,475</b>
<b>TOTAL FUNDING REQUIRED FY2016 (50%)</b>							<b>4,763,237</b>



# Early College

- ◆ Early College is a means of aligning PK – 12, college, and the workforce of tomorrow
- ◆ BOR’s goal is to expand Early College programs in all community colleges to:
  - ✓ reach high school students who might otherwise think college is out of reach
  - ✓ eliminate the need for remediation of high school graduates
  - ✓ make college attainable and affordable for all students
  - ✓ create robust pathways to prepare students for employment and to meet workforce needs for growth industries
- ◆ Early College comprises the following models:

## Dual Enrollment:

Colleges select high school faculty to teach college courses to high school students. Cost effective model, but Connecticut program needs to be brought up to national standards.

## P-TECH:

Colleges partner with a corporation and a school district to replicate promising 9-14 model. Students have industry mentors and internships and graduate with a high school diploma and an AAS degree and are first in line for industry jobs.

## Alignment of K-12 and college:

College and high school faculty align expectations and ensure college readiness of community college-bound students prior to high school graduation.

## College of Technology/Tech High School Articulated Credit Model:

Technical high school students will earn articulated credits and follow defined pathways that lead seamlessly to AS and Bachelor degrees at 8 colleges and universities,

## 5<sup>th</sup> Year Program:

Middle school students are exposed to industries with critical skill gaps creating a pipeline of students who earn a year of college and participate in a 5<sup>th</sup> year post-secondary program that leads to an AS degree and a career path.





# Early College - Budget

- ◆ In FY2015, the State provided \$1M of funding to begin this program
- ◆ Current request comprises:
  - ✓ Infrastructure: \$2.12 M and \$2.24 M for each respective year of the Biennium Budget (and beyond) to support State Early College staffing as well as staffing at each community college to maintain K-12 and industry partnerships
  - ✓ P-TECH Model Initiative: program builds on cohort groups with gradual building of layers in each institution, and increasing the number of participating institutions over the years (see page 11 for table). This is a long term commitment as once a cohort is begun, we are obligated to continue through for the student. First two year funding requirements are \$377K and \$1,179K for FY2016 and FY2017 resp. This program is critical to aligning students with Connecticut workforce requirements.
  - ✓ Expansion of other Early College Initiatives: \$1 M per year to replicate and enhance Fifth Year Program, High School/college Alignment, college of Technology/Tech High School pathways and Dual Enrollment.
- ◆ Total request \$3.50 M and \$4.47 M in FY2016 and FY2017 resp.

# Early College – P-Tech Funding

Projected Roll-Out										
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
NCC(NECA)	\$64,520.00	\$177,728.15	\$356,133.36	\$657,475.74	\$938,114.05	\$1,059,081.90	\$1,090,854.36	\$1,123,579.99	\$1,157,287.39	\$6,624,774.94
NVCC		\$66,455.60	\$183,059.99	\$366,817.37	\$677,200.02	\$966,257.48	\$1,090,854.36	\$1,123,579.99	\$1,157,287.39	\$5,631,512.18
QVCC		\$66,455.60	\$183,059.99	\$366,817.37	\$677,200.02	\$966,257.18	\$1,090,854.06	\$1,123,579.67	\$1,157,287.39	\$5,631,511.28
TRCC		\$66,455.60	\$183,059.99	\$366,817.37	\$677,200.02	\$966,257.18	\$1,090,854.06	\$1,123,579.67	\$1,157,287.39	\$5,631,511.28
<b>Total</b>	<b>\$64,520.00</b>	<b>\$377,094.95</b>	<b>\$905,313.34</b>	<b>\$1,757,927.85</b>	<b>\$2,969,714.11</b>	<b>\$3,957,853.73</b>	<b>\$4,363,416.83</b>	<b>\$4,494,319.31</b>	<b>\$4,629,149.55</b>	<b>\$23,519,309.68</b>
MXCC			\$68,449.27	\$188,551.79	\$377,821.89	\$697,516.02	\$995,245.20	\$1,123,579.99	\$1,157,287.39	\$4,608,451.54
NCC			\$68,449.27	\$188,551.79	\$377,821.89	\$697,516.02	\$995,245.20	\$1,123,579.99	\$1,157,287.39	\$4,608,451.55
HCC			\$68,449.27	\$188,551.79	\$377,821.89	\$697,516.02	\$995,245.20	\$1,123,579.99	\$1,157,287.39	\$4,608,451.55
GCC			\$68,449.27	\$188,551.79	\$377,821.89	\$697,516.02	\$995,245.20	\$1,123,579.99	\$1,157,287.39	\$4,608,451.55
CCC				\$70,502.75	\$194,208.35	\$389,156.54	\$718,441.50	\$1,025,102.56	\$1,157,287.39	\$3,554,699.08
<b>Totals</b>	<b>\$64,520.00</b>	<b>\$377,094.95</b>	<b>\$1,179,110.41</b>	<b>\$2,582,637.76</b>	<b>\$4,675,210.01</b>	<b>\$7,137,074.35</b>	<b>\$9,062,839.13</b>	<b>\$10,013,741.83</b>	<b>\$10,415,586.50</b>	<b>\$45,507,814.93</b>

## Assumptions

- ✓ For the purposes of this projections we have assumed that 4 colleges (TxCC, MCC, ACC, and NwCC) will not have P-TECH model programs but will continue to build other robust early college programs.
- ✓ In the fall of 2015, NECA will have 2 cohorts and 3 other programs will have 1 cohort each.
- ✓ In the fall of 2016 (FY 2017), 4 more colleges will add a program with one cohort each and the existing 4 will continue to grow.
- ✓ In the fall of 2017 (FY 2018) one more program will be added and the existing programs will continue adding cohorts.
- ✓ In every new FY, each active program will have a new cohort. Therefore funding needs to continue.
- ✓ In each cohort some students will graduate with their diploma and AAS Degree in 4, 5, or 6 years. For the purposes of these calculations, we assumed that 15% of a cohort will graduate in 4 years, 50% will graduate in 5 years, and 35% will graduate in 6 years. A cohort with between 70-100 students runs for the same cost.
- ✓ Each FY has assumed a 3% inflation rate.
- ✓ FY15 Funded by grants



# Developmental Education

- ◆ BOR estimated that through FY2014, a total of \$16.5 M was spent annually on developmental education
- ◆ In the Governor’s Midterm Budget Adjustments, the BOR was provided with an additional \$10.8 M in order to ensure “that all students enrolled at BOR colleges and universities have the developmental education resources and support they need to succeed”, as indicated in the table at the right
- ◆ BOR has hired additional faculty, partnered with Adult Education providers, and secured these additional resources as needed to fulfill this mission
- ◆ The program is intended to continue as designed and, in part, supports the BOR’s compliance with PA12-40, scheduled to be in effect beginning FY2016

	FY2015 Additional Funding	
	Intensive/ Embedded	Transitional Strategies
Asnuntuck	215,866	61,259
Capital	472,009	133,948
Gateway	991,308	281,317
Housatonic	688,552	195,400
Manchester	947,411	268,860
Middlesex	375,163	106,465
Naugatuck Valley	915,054	259,677
Northwestern	174,910	49,637
Norwalk	812,099	230,461
Quinebaug	232,158	65,883
Three Rivers	570,663	161,945
Tunxis	554,372	157,322
Central	469,565	
Eastern	469,565	
Southern	469,565	
Western	469,565	
<b>Total</b>	<b>8,827,825</b>	<b>1,972,175</b>
Total All Developmental Education		<b>10,800,000</b>
FY2016		11,394,000
FY2017		12,020,670

# Veterans Outreach

- ◆ The BOR objective is to expand capacity to handle influx of veteran students expected as a result of military draw downs
- ◆ We maintain Veteran Oases at many of our institutions today, but recognize the need to expand our outreach to assist our returning military with their educational and career goals
- ◆ Budget includes funds for
  - ✓ additional counseling and support, including financial aid
  - ✓ expansion of capacity to evaluate credit based on experiential learning

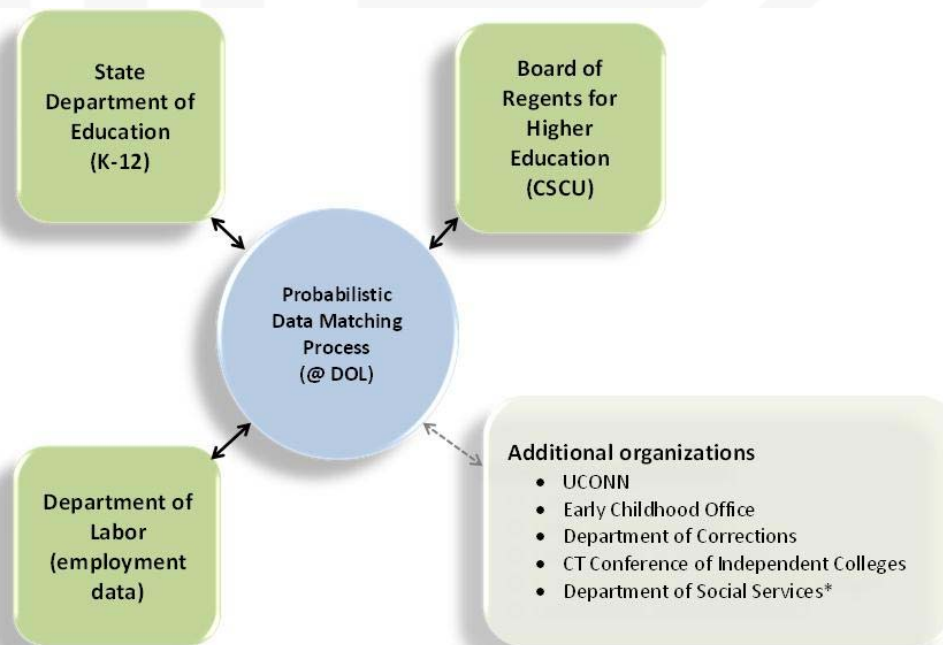
# Veteran’s Outreach - Budget

	<u>Annual Amount</u>	<u>One-time Cost</u>	<u>FY2016 Total</u>	<u>Description</u>
Director of Returning Military Affairs	250,000		250,000	Senior level director at System Offices to oversee program
Administrative Assistant	100,000		100,000	Assistant to Director at System Offices to manage administrative duties
Financial Aid Specialist	100,000		100,000	Support returnig military with Financial Aid paperwork and requirements
Counselors	1,700,000		1,700,000	One at each of our 17 campuses to provide face-to-face counseling
Transfer Tools	50,000		50,000	Software license to support transaltion of military credentials into credits
Website Development/Maintenance		100,000	100,000	To provide needed information on-line
On-line Orientation Program		100,000	100,000	Initial program to provide needed orientation information
Outreach Publications	50,000	100,000	150,000	To be provided to returning military at debriefing sites
Faculty Training	20,000	150,000	170,000	Provide Faculty with training to understand needs of returning military
Professional Development	200,000		200,000	Speakers, events, etc. targeted for integration of returning military
<b>Totals</b>	<b>2,470,000</b>	<b>450,000</b>	<b>2,920,000</b>	
<b>FY16 Total</b>	<b>2,920,000</b>			
<b>FY17 Total</b>	<b>2,605,850</b>			



# P20 WIN System

- ◆ Program was initiated with Federal Funds and in-kind support by the three participating state agencies, but requires operational funding to continue its mission
- ◆ The system is an inter-agency network that securely links data from BOR (Administrative lead), SDE, and DOL to provide information about the impact of education on students from early education through employment:



- ◆ It is expected that in-kind support will continue by participating agencies
- ◆ Ultimate goal is to provide required data to improve policies and procedures that affect students over time

# P20 WIN - Budget

Description	2015-16	2016-17
License renewals For data matching software	\$15,000	\$15,000
Operational Support Staff time to conduct data matches	\$81,925	\$85,202
Cross-Agency Analytical Support One .5 FTE of a skilled analyst per agency - critical for obtaining useful results. 4 agencies supported in FY 2016 and 5 supported in FY 2017	\$176,800	\$229,840
Administrative Costs Program management and back office support	\$169,936	\$176,733
Communications Completion of identified feature revisions - necessary for usability	\$10,000	\$10,000
Travel to Conferences	\$5,000	\$5,000
<b>Total Cost for 3 agencies</b>	<b>\$458,661</b>	<b>\$521,775</b>



# Expansion Options Budget

Description	Expansion Options Requested	
	<u>FY2016</u>	<u>FY2017</u>
✓ Security and Safety	1.18	1.25
✓ Regents Scholarship Program	6.00	15.00
✓ Academic Advising	4.76	9.53
✓ Early College	3.50	4.47
✓ Developmental Education	11.39	12.02
✓ Veterans Outreach	2.92	2.61
✓ P20 WIN Program	0.46	0.52
<b>Total Biennium Request</b>	<b>30.22</b>	<b>45.39</b>



RESOLUTION

concerning

FY2016/FY2017 BIENNIAL BUDGET EXPANSION OPTIONS

October 16, 2014

WHEREAS, The Board of Regents for Higher Education (BOR) under its statutory authority shall “prepare a single budget request itemized by [constituent units]... and shall submit such budget request displaying all operating funds to the Secretary of the Office of Policy and Management...”, and

WHEREAS, A proposed FY2016/FY2017 Biennial Budget Capital Request and Current Services Request, which includes continuation funding for positions projected to be funded through the General Fund and the Operating Fund; funding to meet known collective bargaining requirements; and funding to meet operating costs for new General Fund facilities projected to go on-line, has been submitted to the Office of Policy and Management (OPM), and

WHEREAS, OPM has provided the Board the opportunity to request additional funds through Expansion Options for strategic initiatives and other currently unfunded priorities, targeted at student welfare; therefore be it

RESOLVED, That the Board of Regents for Higher Education hereby approves and submits for review and recommendation to the Office of Policy Management a Biennium Budget Expansion Option request of \$30.2 million and \$45.4 million for FY2016 and FY2017, respectively.

and be it further

RESOLVED, That these requests may be adjusted by the President of the Board of Regents as a result of guidelines issued by or discussions with the Secretary of the Office of Policy and Management or for other technical purposes.

A Certified Copy:

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Erin A. Fitzgerald, Secretary