

CT BOARD OF REGENTS FOR HIGHER EDUCATION
MINUTES – SPECIAL MEETING – THURSDAY, JUNE 16, 2016
CSCU SYSTEM OFFICE, 39 & 61 WOODLAND STREET, HARTFORD, CT

REGENTS PARTICIPATING

Nicholas M. Donofrio, Chair
Yvette Meléndez, Vice Chair
Stephen J. Adair*
Naomi K. Cohen
Lawrence J. DeNardis
Matt Fleury *joined mtg at 11:30 am*
Merle W. Harris
Scott D. Jackson*

David R. Jimenez *via teleconf*
William J. McGurk
Holly M. Palmer
Gordon Plouffe
Barbara E. Richards*
Dianna R. Wentzell* *left mtg at 10:55 am*
Elease E. Wright

REGENTS ABSENT

Richard J. Balducci
Raul Pino*

JoAnn H. Price
Catherine H. Smith*

**ex-officio, non-voting member*

CSCU SYSTEM STAFF

Mark E. Ojakian, President
Alice Pritchard, Chief of Staff
Estela Lopez, interim Provost & Senior Vice President, Academic and Student Affairs
Elsa Nunez, VP State Universities and President, Eastern Connecticut State University
David Levinson, VP Community Colleges & President, Norwalk Community College
Erika Steiner, Chief Financial Officer
Erin A. Fitzgerald, Associate Director of Board Affairs/Board Secretary

STATE UNIVERSITY/COMMUNITY COLLEGE PRESIDENTS

James Lombella, Asnuntuck Community College
Wilfredo Nieves, Capital Community College
Gena Glickman, Manchester Community College
Anna Wasescha, Middlesex Community College
Daisy Cocco De Filippis, Naugatuck Valley Community College
Michael Rooke, Northwestern Connecticut Community college
Carlee Drummer, Quinebaug Valley Community College
Mary Ellen Jukoski, Three Rivers Community College
Cathryn Addy, Tunxis Community College
Ed Klonoski, Charter Oak State College
Jack Miller, Central Connecticut State University
Mary Papazian, Southern Connecticut State University
John Clark, Western Connecticut State University

CALL TO ORDER

Chairman Donofrio called the meeting to order at 10:05 am and, following roll call, declared a quorum present.

ADOPTION OF AGENDA

Chairman Donofrio called for a motion to adopt the meeting agenda. On **a motion by Regent McGurk, seconded by Regent Wright, the Agenda was unanimously adopted as presented.**

BOR CHAIRMAN NICHOLAS DONOFRIO

Chairman Donofrio, in noting that between May 17 and June 15, there were 22 commencement ceremonies, resulting in more than 15,000 degrees and certificates conferred, expressed his thanks to the presidents, faculty and staff for their support and commended all the CSCU students for a successful academic year.

CSCU SYSTEM PRESIDENT MARK E. OJAKIAN

President Ojakian provided an update on several topics including system commencements and inaugurations, ongoing budget discussions and continued legislative efforts, the recently formalized MOU with the CSCU Foundation and the success of the manufacturing programs.

President Ojakian also provided an update on the status of the Board's president searches at Southern Connecticut State University and Central Connecticut State University.

In recognition of Dr. Estela Lopez' retirement from the CSCU system as interim Provost and Senior Vice President of Academic & Student Affairs, President Ojakian presented Dr. Lopez with a proclamation from Governor Malloy (text below):

Whereas Dr. Estela Lopez has served the Connecticut State Colleges and Universities for many years in various capacities; and

Whereas, Dr. Lopez is currently interim Provost at the Board of Regents and has previously been active as Vice Chancellor for the former Connecticut State University System and as interim Provost at Naugatuck Valley Community College; and

Whereas, Dr. Lopez' contributions to higher education extend beyond the State of Connecticut and her advocacy and efforts to accelerate Latino student success will be felt for years to come; and

Whereas, Dr. Lopez' leadership at the Academic and Student Affairs Office of the Connecticut State Colleges and Universities system has brought stability, guidance, and direction to that office; and

Whereas, throughout Dr. Lopez' long tenure; she has shared her abundant knowledge and contributed to the tremendous quality of higher education throughout the State of Connecticut; and

Whereas, I extend congratulations and best wishes to Dr. Lopez upon the occasion of her retirement from the CSU system; and

NOW, THEREFORE, I, Dannel P. Malloy, Governor of the State of Connecticut, do hereby honor and recognize Dr. Estela Lopez

Following President Ojakian's presentation of Governor Malloy's proclamation to Dr. Estela Lopez, Academic & Student Affairs Committee Chair Merle Harris led Board members in honoring and expressing their gratitude to Dr. Estela Lopez for her leadership and wishing her the best in the next chapter of her life as she begins her retirement.

APPROVAL OF MINUTES

On a motion by Regent McGurk, seconded by Regent DeNardis, the [May 10, 2016 Special meeting minutes](#) were unanimously approved as submitted.

CONSENT AGENDA

Chairman Donofrio called for a motion on the Consent Agenda. **On a motion by Regent Melendez, seconded by Regent Wright, the Consent Agenda was unanimously adopted.** The following Agenda items were approved on consent:

Consent Agenda

Terminations

Health Careers Pathway Certificate – Three Rivers CC
Early Childhood Education Certificate – Quinebaug Valley CC
Advertising/Public Relations Certificate – Three Rivers CC
Laser/Fiber Optics Engineering Technology – AS – Three Rivers CC

Modifications

Master of Arts in Teaching – Central CSU
Liberal Arts and Sciences – Naugatuck Valley CC
Electrical Engineering Technology – AS – Three Rivers CC
Psychology – BS – Southern CSU
Minor in Psychology – Southern CSU

New Programs

Criminology – Eastern CSU
Registered Medical Assistant Certificate – Asnuntuck CC

Policy Amendments

Sexual Misconduct Policy Amendment 5
Student Code of Conduct Policy Amendment

Modification of Tuition and Fee Refund Policy – CSU

RESOLUTIONS APPROVED ON CONSENT:

Terminations

Health Careers Pathway Certificate – Three Rivers CC

RESOLVED: That the Board of Regents for Higher Education approve the termination of a program in Health Career Pathways leading to an undergraduate certificate (C2) at Three Rivers Community College with a phase-out period through September 1, 2017

Early Childhood Education Certificate – Quinebaug Valley CC

RESOLVED: That the Board of Regents for Higher Education approve the termination of a program in Early Childhood Education leading to a certificate (30 credits) at Quinebaug Valley Community College effective July 1, 2016

Advertising/Public Relations Certificate – Three Rivers CC

RESOLVED: That the Board of Regents for Higher Education approve the termination of a program in Advertising and Public Relations leading to a certificate at Three Rivers Community College with a phase-out period until May 31, 2018

Laser/Fiber Optics Engineering Technology – AS – Three Rivers CC

RESOLVED: That the Board of Regents for Higher Education approve the termination of a program in Laser/Fiber Optics Technology leading to an Associate of Science degree at Three Rivers Community College with a phase-out period until May 31, 2018

Modifications

Master of Arts in Teaching – Central CSU

RESOLVED: That the Board of Regents for Higher Education approve the modification of an accredited program leading to a Master of Arts in Teaching degree at Central Connecticut State University to significantly modify courses.

Liberal Arts and Sciences – Naugatuck Valley CC

RESOLVED: That the Board of Regents for Higher Education approve the modification of a program in LAS/Liberal Studies leading to an Associate of Arts degree at Naugatuck Valley Community College to offer the program at their Danbury campus.

Electrical Engineering Technology – AS – Three Rivers CC

RESOLVED: That the Board of Regents for Higher Education approve the modification of a program in Electrical Engineering Technology leading to an Associate of Science degree at Three Rivers Community College to modify courses and change the name to *Electrical, Laser and Robotics Engineering Technology*.

Psychology – BS – Southern CSU

RESOLVED: That the Board of Regents for Higher Education approve the modification of a program in Psychology, Concentration: Research leading to a Bachelor of Science degree at Southern Connecticut State University to change the name to *Psychology*.

Minor in Psychology – Southern CSU

RESOLVED: That the Board of Regents for Higher Education approve the modification of a program in Psychology to add a 19-credit Minor in Psychological Science at Southern Connecticut State University.

New Programs

Criminology – Eastern CSU

RESOLVED: That the Board of Regents for Higher Education approve licensure of a program in Criminology leading to a Bachelor of Arts degree at Eastern Connecticut State University for a period of three years until June 30, 2019.

Registered Medical Assistant Certificate – Asnuntuck CC

RESOLVED: That the Board of Regents for Higher Education approve licensure and accreditation of a program in Registered Medical Assisting leading to a Certificate of 38 credits at Asnuntuck Community College

Policy Amendments

Sexual Misconduct Reporting, Support Services and Processes Policy Amendment

RESOLVED That the Board of Regents for Higher Education to amend the Sexual Misconduct Reporting, Support Services and Processes Policy (*Revised Policy is included as Attachment A to these minutes*)

CSCU Student Code of Conduct Policy Amendment

RESOLVED That the Board of Regents for Higher Education amend the “CSCU Student Code of Conduct” to comply with Public Act 16-106 (*Revised Policy is included as Attachment B to these minutes*)

Modification of Tuition and Fee Refund Policy – CSCU

WHEREAS, Pursuant to the provisions of Section 10a-6 of the Connecticut General Statutes, “...the Board of Regents for Higher Education shall: ...(3) establish state-wide tuition and student fee policies,” and

WHEREAS, The components of both the Academic Year Housing Contract and the Non-Academic Year Housing Contract refund policies are stated in the resolution as passed on December 18, 2014, and

WHEREAS, All Universities have now adopted the Academic Year Housing Contract and refund policy as stated in the resolution dated December 18, 2014, and

WHEREAS, This amendment clarifies the language describing the proration of charges in the event of withdrawal, and the defined time periods when proration is applicable, and

WHEREAS, The majority of courses offered at the Universities are scheduled for 8 weeks or more; therefore, this amendment aligns the refund policy to be on the same refund schedule for all such courses, and

WHEREAS, This amendment updates the Universities part-time refund policy for courses that meet for less than eight weeks to be prorated based on calendar days into the term, consistent with the methodology used in the remainder of the policy, and be it

WHEREAS, This amendment incorporates the refund policies of the Connecticut Community Colleges and Charter Oak State College into a unified Board of Regents Tuition and Fee Refund Policy.

RESOLVED, The Connecticut State University System Board Resolution Housing and Board Fee Refund Policy dated December 18, 2014 is hereby rescinded, and

RESOLVED, The Connecticut State University System Board Resolution Tuition and Fee Refund Policy dated December 18, 2014 is hereby rescinded, and

RESOLVED, The Connecticut Community College Board Resolution Refunds of Tuition and Fees dated December 16, 2002 is hereby rescinded, and

RESOLVED, The Tuition and Fee Refund Policy attached herein is effective for the 2016-2017 academic year forward. (*Revised Policy is included as Attachment C to these minutes*)

ACADEMIC & STUDENT AFFAIRS COMMITTEE

Committee Chair Merle Harris reported the amendments to the Sexual Misconduct Policy and the Student Code of Conduct Policy were minor and to bring the system's policies into compliance with recently enacted state legislation. Additionally, she noted that the NEAC Accreditation for Asnuntuck Community College included in the Board agenda packets (Item 8a), was provided for informational purposes; the Committee will be resubmitting material for the Board's consideration and approval at the September meeting.

AUDIT COMMITTEE

Committee Chair Elise Wright reported that the Audit Committee met on Tuesday, May 3, 2016 to discuss the appointment of independent, external auditors. Management issued a request for proposal, received eight proposals from various firms, and selected the top three firms for interviews. Chair Wright noted that management recommended the appointment of Grant Thornton LLP to be the external auditors for the Connecticut State Universities, the Connecticut Community Colleges, and Charter Oak State College. Committee Chair Wright noted that, as authorized by the Committee's Charter, the Committee concurred with management's recommendation and unanimously approved the appointment of Grant Thornton, LLP.

FINANCE AND INFRASTRUCTURE COMMITTEE

Regent McGurk, reporting on behalf of Finance & Infrastructure Committee Chair Matt Fleury, noted that the Committee met on Thursday, June 9, and had four action items for the Board's consideration and one information item.

- a) FY2016-17 Budget – CSU
- b) Out-of-State Tuition Waiver Pilot Program - Asnuntuck CC
- c) Scholarship Program – Eastern CSU
- d) Authorization to issue Bonds through CT Health and Educational Facilities Authority
- e) *Information item: CSU 2020 update*

Approval of FY2016-17 Budget for the Connecticut State Colleges & Universities (CSCU)

Regent McGurk noted that management presented the Committee with their recommended budget for the system and for each of the seventeen institutions and that the budgets would be further adjusted to reflect the budget holdbacks announced just prior to the Committee meeting. The Finance Committee also heard from each president over a three-day period concerning their individual plans for arriving at a balanced budget, as well as contingency plans for dealing with potential reductions up to 5%. With the recent budget holdbacks ranged between 2% and 3% per budget line item, Regent McGurk noted the Committee was of the opinion that management has appropriately committed to a plan that is challenging but achievable. **On a motion by Regent McGurk seconded by Regent Cohen, the Board voted unanimously to approve the resolution below adopting the FY 2016-2017 Budget for the Connecticut State Colleges & Universities (CSCU):**

- WHEREAS, Pursuant to the provisions of Section 10a-8 of the Connecticut General Statutes, "...the Board of Regents for Higher Education shall be deemed the budgeted agency for the Connecticut State University System, the regional community-technical college system and Charter Oak State College. The Board of Regents for Higher Education shall develop a formula or program-based budgeting system to be used by each institution in preparing operating budgets;..." and
- WHEREAS, Each college, university and the System Office submits a fiscal year budget proposal to the Board of Regents for its approval, and
- WHEREAS, Each college, university and the System Office has submitted budgets for FY 2016-17 to the Board of Regents which are summarized in Attachment A*, and
- WHEREAS, The Board of Regents has reviewed and discussed the budget proposals with the institutions' Presidents and the BOR President, and finds the FY 2016-17 budgets appropriate to the System's present fiscal circumstances, and
- WHEREAS, The Board herein approves an overall spending level for all funds except for federal and private grants, bond funds, and intra/inter agency funds, and
- WHEREAS, Each President commits to adhere to the approved budget plan, maintaining expenditure control within the spending caps established, and
- WHEREAS, \$14.5 million additional spending reductions are required due to the State holdbacks announced on June 8, 2016 and the estimated fringe benefit impact of such holdbacks, and

- WHEREAS, The institutions will be asked to employ contingency plans for FY 2016-17 as discussed during their preliminary budget hearings to balance their budgets accordingly, and
- WHEREAS, While expenditures are authorized up to the limit of the budgets summarized in Attachment A*, the System may be subject to further reductions, therefore be it
- RESOLVED, That Presidents are encouraged to seek further economies in their operations during FY 2016-17, and be it further
- RESOLVED, That the Board of Regents approves the FY 2016-17 budgets as summarized in Attachment A*, subject to modifications required to spending plans in order to cover the estimated \$14.5 million impact of holdbacks, and
- RESOLVED, That the Board of Regents shall request that each institution and the System Office submit a progress report on their budgets during the year.

**Attachment A to the FY21016-17 CSCU approved budget is included as Attachment D to these minutes.*

Out-of-State Tuition Waiver Pilot Program At Asnuntuck Community College

Regent McGurk reported that Asnuntuck Community College proposed a strategy to incentivize students from across the border to attend the College via a tuition rate waiver. Such waiver would reduce current New England Board of Higher Education rates to in-state rates for Massachusetts residents. The campus is located about 3 miles from the Massachusetts state border, and about 9 miles from the city of Springfield. As enrollment at the College has been declining, the additional students would fill a current excess capacity at no additional cost to the college. Regent McGurk shared that management believes approval of the proposed resolution would enhance revenue, increase the amount of financial aid set-aside for Connecticut residents, and afford the ability to add services and programs to benefit Asnuntuck students. Discussion ensued among Board members concerning a similar, single-program tuition at WCSU which was deemed not as successful as initially anticipated. Following commentary from ACC President Lombella, discussion concluded with consensus being that Asnuntuck did a great deal of research in advance of bringing the proposal forward and that Asnuntuck Community College would provide updates to the Board, via the CSCU President, on the success in terms of increased enrollment from Massachusetts resulting from the waiver. ASA Committee Chair stressed the need to also monitor the effect of the impact of the out-of-state tuition waiver on all other programs. **On a motion by Regent McGurk seconded by Regent Plouffe, the Board voted unanimously to approve the resolution below adopting an Out of State Tuition Waiver Pilot Program at Asnuntuck Community College:**

- WHEREAS, The Board pursuant to its statutory authority - Section 10a-99 and Public Act 11-48 of the Connecticut General Statutes (CGS) – shall review and establish tuition and fees annually for the Connecticut State Colleges and Universities for such purposes as the board deems necessary, and
- WHEREAS, Asnuntuck Community College (ACC) has been developing a strategy to reverse a negative enrollment trend, and
- WHEREAS, ACC is located approximately 3 miles from the Massachusetts border, and about 9 miles from downtown Springfield, MA, and
- WHEREAS, Springfield has a population of approximately 154,000 residents, of which about 73% are over the age of 18, and
- WHEREAS, If the tuition rates currently charged to Massachusetts residents were reduced to the extent of the in-state rate of \$4,168, the estimate of additional students attending would more than compensate for the reduced tuition, and
- WHEREAS, The FY 2017 rates of \$6,252 for NEBHE and \$12,504 for out-of-state students would continue to apply to non-Massachusetts residents during this pilot, now therefore be it
- RESOLVED, That ACC’s proposal be approved to waive NEBHE and out-of-state rates for Massachusetts students to the extent of an in-state student as a pilot program spanning two full academic cycles, and that this pilot will begin in Fall 2016.

Scholarship Program at Eastern Connecticut State University

Regent McGurk noted that Eastern Connecticut State University has been offered a unique opportunity to participate in a scholarship program sponsored by TheDream.US, a program within a charitable organization established to support projects focused on conservation, education and global health.

Under the proposed program, the University will receive \$20,000 per year for each member of the cohort, or up to \$80,000 for their education, room and board throughout the four-year period. Recipients are DREAMers who reside in states where in-state tuition rates are not afforded to them. ECSU was selected because of its excellent reputation in retaining and graduating all students, including minorities, and Connecticut in general was selected because of our focus on an affordable education for all prospective students. The students will be academically qualified and will add to a rich, diverse environment to the University. Vice Chair Melendez stated she was extremely proud that ECSU was chosen to participate in this program and commended ECSU President Nunez on her long-standing commitment to ensure affordability and access to higher education. President Ojakian echoed Vice Chair Melendez’ comments and added that Dream.US had a very difficult decision to make in selecting which institution to partner with, given that the CSCU institutions do so much in terms of minority recruitment, retention and graduation. President Ojakian commended all of the CSCU institutions for their exemplary efforts in this area. **On a motion by Regent**

McGurk seconded by Vice Chair Melendez, the Board voted unanimously to approve the resolution below approving a Scholarship Program between ECSU and TheDream.US (“Dream”) at Eastern Connecticut State University:

- WHEREAS, Pursuant to the provisions of Section 10a-99 and Public Act 11-48 of the Connecticut General Statutes (CGS) – The Board shall review and establish tuition and fees annually for the Connecticut State Colleges and Universities for such purposes as the Board deems necessary, and
- WHEREAS, Eastern Connecticut State University (“ECSU”) has been offered a unique opportunity to participate in a scholarship program sponsored by TheDream.US (“Dream”) – a project of a 501(c)(3) established to support projects focused on conservation, education and global health, and
- WHEREAS, Dream hopes to extend this opportunity for as many as 50 students at ECSU beginning in the Fall 2016, and to pay up to \$80,000 for their education throughout their four years at the university. Further, should fundraising be successful, they would hope to continue the program with a new cohort each year, and
- WHEREAS, Qualified students are DREAMers (young undocumented immigrants who came to the US as children and have graduated from a U.S. high school) who are unable to access federal financial aid or assistance needed to complete a college education. In order to qualify for consideration, such students must be Deferred Action for Childhood Arrivals (DACA) or Temporary Protective Status (TPS) eligible. Such students must be “academically qualified” and can include both Connecticut and out-of-state residents, and be it further
- RESOLVED, That the pricing and cohort program offered by TheDream.US to ECSU be approved and continue for four academic years and could potentially include additional cohorts if funding permits.

Issuance of Bonds through the State of Connecticut Health and Educational Facilities Authority (CHEFA)

Regent McGurk stated that the universities finance the construction, renovation and repair of auxiliary services, which includes dormitories, student centers, and student parking structures, through issuance of bonds. The program began in 1995 as an alternative to financing through State General Obligation Bonds. CHEFA bonds result in borrowings that are the obligation of the Universities and the System to repay, including principal and interest. The current request is for issuance of Series P Bonds, not to exceed \$65M. The proceeds will pay for remodeling and renovation of Schafer Hall at ECSU into a new dormitory and renovation of WCSU’s Litchfield Hall. Regent McGurk also noted that also included are design funds for a parking garage at CCSU, and repairs, upgrades, and capital project requirements of all four universities. The System must demonstrate sustainability of repayment in order to qualify for the funds from CHEFA, repayment coming from funds already set-aside for debt service as well as current and future collection of

housing and university fees. **On a motion by Regent McGurk seconded by Regent Wright, the Board voted unanimously to approve the resolution below approving the Issuance of Bonds through the State of Connecticut Health and Educational Facilities Authority (CHEFA)**

WHEREAS, The Board of Regents for Higher Education serving as the Board of Trustees for the Connecticut State University System (CSUS) has requested and may request in the future that the State of Connecticut Health and Educational Facilities Authority (CHEFA) issue its revenue bonds pursuant to the provisions of the State of Connecticut Health and Educational Facilities Authority Act, being Chapter 187 and Sections 10a-87 through 10a-101 of the General Statutes of Connecticut, as amended, to finance in one or more series all or a portion of the cost of (i) various additions and improvements to residential and other facilities of CSUS and related expenses; (ii) to fund the State Capital Reserve Fund in an amount equal to the State Capital Reserve Fund requirement; and (iii) any other financing costs associated with the capital program (see *Exhibit A Series P-1*); and

WHEREAS, CHEFA will submit to CSUS for approval and execution in the name of and on behalf of CSUS, various agreements, contracts, and other instruments, which may include, without limitation, loan agreements, pursuant to which CSUS will be obligated to make payments of the principal of, premium, if any, and interest on CHEFA's revenue bonds, and will secure its repayment obligations by pledges of revenues of the University Fee, and all or part of revenues from Student Parking Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University and from Housing Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University as are necessary to secure the bonds, and certain other charges of CSUS; tax compliance agreements pertaining to certain representations and agreements of CSUS to preserve the tax exemption on CHEFA's revenue bonds; representation and indemnity agreements, pursuant to which CSUS will make various representations and indemnifications in connection with the sale and issuance of CHEFA's revenue bonds; continuing disclosure agreements, pursuant to which CSUS will agree to provide financial statements and other operating data to the secondary bond market; environmental indemnity agreements, pursuant to which CSUS will make various representations and indemnifications concerning environmental and related matters; official statements pursuant to which CHEFA's revenue bonds will be sold, and other documents and agreements requested by CHEFA which are necessary or appropriate to effectuate the financing of the capital program; and CSUS will issue its notes to secure its obligations under the loan agreements; and

WHEREAS, The Board of Regents for Higher Education serving as the Board of Trustees for the Connecticut State University System is requesting assistance from CHEFA in connection with financing the capital program;

NOW THEREFORE BE IT RESOLVED, That the Board of Regents for Higher Education serving as the Board of Trustees for the Connecticut State University System approves the following provisions for authorization to borrow from CHEFA as described below:

- Section 1.** Section 1. That the Board hereby approves the capital program substantially in the form as described in *Exhibit B* hereto, but reserves the right, in its discretion, not to undertake any one or more of the components of the capital program, to amend the scope and details of any component of the capital program, or to add additional components to be financed by any borrowings to finance the capital program.
- Section 2.** That the action of the officers of the Board of Regents for Higher Education serving as the Board of Trustees for the Connecticut State University System, in submitting a request to CHEFA in the name of and on behalf of CSUS in connection with financing of the capital program, be and the same is hereby ratified and approved and any future similar request of officers of the Board of Regents for Higher Education serving as the Board of Trustees for the Connecticut State University System in furtherance of financing portions of the capital program in *Exhibit B* is hereby authorized.
- Section 3.** That CSUS borrow a sum not to exceed \$65 million from CHEFA to be used for the purpose of financing so much of the capital program, as described in *Exhibit A Series P-1* hereto, as may be financed with proceeds of such borrowings, including but not limited to design and construction costs, equipment, materials, architect and engineering fees and other expenses related to the capital program, funding of a Debt Service Reserve Fund and paying costs of the financings; and that CSUS may spend additional moneys on any component of the capital program from available funds from State General Obligation Bonds and past and future borrowings from CHEFA.
- Section 4.** Section 4. That the Board hereby approves the pledge to CHEFA, in order to Secure the financings, for the purposes and in accordance with the provisions of Chapter 187 and Sections 10a-87 through 10a-89g of the General Statutes of Connecticut, as amended, of all or any part of CSUS's right, title and interest in and to any revenues of the University Fee, any revenues of Student Parking Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University and any revenues of Housing Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University as are necessary to secure the bonds, and certain other charges of CSUS presently owned or hereafter acquired.
- Section 5.** Section 5. That the Board hereby approves, as further security for the financing of the capital program, that CHEFA's revenue bonds are secured by a State Special Capital Reserve Fund (SCRF) as provided for in Chapter 187 and Sections 10a-87 through 10a-101 of the General Statutes of Connecticut, as amended, and, in particular, Section 10a-186a of said General Statutes, to be funded with proceeds of CHEFA's revenue bonds.
- Section 6.** That the Board hereby authorizes the President of the Connecticut State Colleges & Universities (CSCU), and/or the Chief Financial Officer of CSCU, for and in the name of and on behalf of the Board, to execute one or more loan agreements and notes in as many counterparts as may be necessary, said loan agreements and notes to be in such form as such officer shall approve, such approval to be conclusively evidenced by such execution.
- Section 7.** Section 7. That the President of CSCU and/or the Chief Financial Officer of CSCU, are further authorized for and in the name of and on behalf the Board, to execute and deliver, in the manner provided in Section 6 of this resolution, any and all other financing documents and written agreements, contracts, evidences of indebtedness, certifications and other instruments to which CSUS may be or become a party or which may be required to be

executed and delivered in the name of and on behalf of CSUS, including but not limited to the establishment of one or more accounts dedicated to make the payments required to be made to CHEFA or to secure CHEFA's revenue bonds, and a pledge of revenues from the University Fee, and all or part of revenues from Student Parking Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University and any revenues of Housing Fees at Southern Connecticut State University, Eastern Connecticut State University, Western Connecticut State University and Central Connecticut State University as are necessary to secure the bonds, and certain other charges of CSUS, as shall be determined by the President of CSCU and/or the Chief Financial Officer of CSCU, all such agreements, contracts and other instruments to be in such form as such officers may approve, such approval to be conclusively evidenced by such execution.

Section 8. That the President of CSCU and/or the Chief Financial Officer of CSCU, to approve, for and in the name of and on behalf of the Board and CSUS, the use in Official Statements of CHEFA of information with respect to the Board and CSUS, and to execute and deliver to CHEFA letters for use in Official Statements of CHEFA, such letters to be in such form as such officer may approve, such approval to be conclusively evidenced by such execution.

Section 9. That the President of CSCU and/or the Chief Financial Officer of CSCU, is further hereby authorized, for and in the name of and on behalf of the Board and CSUS, to approve or to execute, as appropriate, any or all instruments in connection with CHEFA's revenue bonds to be issued for the purposes herein approved; and any Trust Indenture between CHEFA and the Trustee to be selected by CHEFA, and any other agreement, instrument or document necessary or useful to consummate the financings of the capital program through CHEFA; and to cooperate with CHEFA in the issuance and sale of CHEFA's revenue bonds.

Section 10. It is the intention of the Board by this resolution to authorize the Officers of CSCU hereinabove named without further action by the Board, to approve all of the terms of CHEFA's revenue bonds, the terms of the loans from CHEFA, including the dates, amounts, interest rates, interest periods, maturities and financial and other covenants.

Section 11. The Officers of CSCU are, and each of them hereby is, Authorized and directed to perform and take such other actions as may be desirable, necessary, proper or convenient to accomplish the intent and purposes expressed herein, and the performance thereof by such officer shall be conclusive as to the approval by such officer of the terms thereof.

Section 12. CSUS hereby declares its official intent under Federal Income Tax Regulation Section 1.150-2 that project costs may be paid from temporary advances of available funds and that CSUS reasonably expects to reimburse any such advances from the proceeds of borrowings in an aggregate principal amount not in excess of the amount of borrowing authorized above for financing the capital program; and no funds from sources other than the reimbursement bond issue are or are reasonably expected to be reserved, allocated on a long term basis, or otherwise set aside by the Board pursuant to the Board's budget or financial policies with respect to CSUS to pay the bond-financed portion of the capital program, except as set forth in *Exhibit A Series P-1*; and be it further

RESOLVED, That the resolution shall take effect immediately upon its adoption.

EXHIBIT A - Issuance of Bonds through CT Health & Educational Facilities Authority (CHEFA)

TOTAL ESTIMATED CHEFA BOND INCLUDING FEES AND SCRF

Series P

SOURCES AND USES OF FUNDS

**Connecticut Health and Educational Facilities Authority
CSUS, New Money and Revenue Refunding Bonds, Series P
Rates as of 5/27/2016**

Sources:	P-1 New Money	P-2 Refunding	Total
Bond Proceeds:			
Par Amount	52,230,000.00	18,910,000.00	71,140,000.00
Premium	10,686,127.60	1,282,768.65	11,968,896.25
	62,916,127.60	20,192,768.65	83,108,896.25
Uses:	P-1 New Money	P-2 Refunding	Total
Project Fund Deposits:			
Project Fund	58,200,000.00		58,200,000.00
Refunding Escrow Deposits:			
Bond Proceeds		20,000,186.90	20,000,186.90
Other Fund Deposits:			
Special Capital Reserve Fund	4,193,750.00		4,193,750.00
Delivery Date Expenses:			
Cost of Issuance	522,300.00	189,100.00	711,400.00
Other Uses of Funds:			
Additional Proceeds	77.60	3,481.75	3,559.35
	62,916,127.60	20,192,768.65	83,108,896.25

EXHIBIT B - Issuance of Bonds through CT Health & Educational Facilities Authority (CHEFA)

<u>PROJECT TITLE</u>	<u>CAMPUS</u>	<u>FY 2017</u>		<u>Institutional Housing/ Parking Fees</u>
		<u>Project Costs</u>	<u>System Financed University Fee</u>	
Manafort Parking Garage (Design)	Central *	1,500,000	\$ 300,000	1,200,000
Memorial Hall Interior Mechanical Improvements (Design)	Central	1,551,518	1,551,518	-
Minor Capital Projects	Central	2,000,000	2,000,000	-
Shafer Hall Renovation (Construction)	Eastern	33,500,000	33,500,000	-
Noble Hall Masonry & Roof Repairs (Design)	Eastern	172,817	172,817	-
Burnap & Crandal Masonry & Roof Repair - Phase I (Design)	Eastern	119,070	119,070	-
Minor Capital Projects	Eastern	1,500,000	1,500,000	-
Roof Replacement Program	Southern	428,443	428,443	-
Parking Lot Renovations	Southern	2,608,176	2,608,176	-
Residential Halls Security Upgrades	Southern	250,635	250,635	-
Residential Halls Masonry Repairs	Southern	1,000,000	1,000,000	-
Minor Capital Projects	Southern	3,908,025	3,908,025	-
Litchfield Hall Renovations	Western	6,000,000	6,000,000	-
Midtown Student Center Site, Lighting & Security Improvements	Western	500,000	500,000	-
Midtown Student Center Commercial Kitchen Reno. (Design)	Western	1,000,000	1,000,000	-
5th Ave. Parking Garage Security & Access Control	Western	300,000	300,000	-
5th Ave. Parking Garage CCTV & Mass Notification	Western	400,000	400,000	-
Minor Capital Projects	Western	1,500,000	1,500,000	-
GRAND TOTAL		\$ 58,238,684	\$ 57,038,684	\$ 1,200,000

* 80% of debt service on Central's new Parking Garage will be financed from a parking fee component of the university's general fee.

HUMAN RESOURCES AND ADMINISTRATION COMMITTEE

Noting there were no action items for the Board's consideration, Committee Chair Cohen provided an update regarding the Committee's recent deliberations, sharing that the recently passed "Ban the Box" legislation which prohibits an employer inquiring about a prospective employee's prior arrests, criminal charges or convictions on an initial employment application, unless (1) the employer is required to do so by an applicable state or federal law, or (2) a security or fidelity bond or an equivalent bond is required for the position for which the prospective employee is seeking employment. Chair Cohen noted that did not apply to CSCU as that was not on the university or college employment applications. She referenced the [System's Pre-Employment Background Verification Policy](#) which applied to all individuals for whom employment is to be tendered.

Regent Cohen also noted that Committee received a presentation regarding the system-wide Training Portal which will allow Administration to track compliance with all required training per Board policy and State statutes.

EXECUTIVE COMMITTEE

No report or action items for Board consideration.

ADDITIONAL COMMENTS

Chairman Donofrio and President Ojakian provided their thanks and appreciation to SCSU President Ojakian for her service to the university and the CSCU system and wished her well and congratulations upon her appointment as President of San Jose State University. Those sentiments were echoed by Commissioner Jackson.

Regent Harris expressed her appreciation to Sean Bradbury, Legislative Program Manager for the CSCU system, for his advocacy during the past legislative session.

Regent McGurk and President Ojakian thanked Chief Financial Officer Erika Steiner and her team for their exemplary support to the Board and the institutions which comprise the CSCU system.

EXECUTIVE SESSION

On a motion by Chair Donofrio seconded by Regent McGurk, the Board voted unanimously at 10:55 am to go into Executive Session in Room 123 of 39 Woodland Street for discussion concerning the following:

1. Discussion concerning the performance of a public officer or employee (board self-assessment)
2. Discussion concerning strategy related to collective bargaining
3. Discussion concerning the evaluation of a public officer or employee (CSCU president evaluation)

Chairman Donofrio invited faculty regents Stephen Adair and Barbara Richards to participate in the Executive Session for Item #1 (board self-assessment). President Ojakian participated in all three Executive Session discussions items with the Board.

The Board returned to open session at 2:35 pm. No votes were taken in executive session and discussion was limited to the following:

1. Discussion concerning the performance of a public officer or employee (board self-assessment)
2. Discussion concerning strategy related to collective bargaining
3. Discussion concerning the evaluation of a public officer or employee (CSCU president evaluation)

ADJOURNMENT

Chairman Donofrio declared the meeting adjourned at 3:35 pm.

Submitted,

Erin A. Fitzgerald, Associate Director, Office of Board Affairs
Secretary of the CT Board of Regents for Higher Education

o:\board meetings\2016\june 16\minutes-06-16-2016.doc

CT BOARD OF REGENTS FOR HIGHER EDUCATION
REGULAR MEETING June 16, 2016
CSCU System Office, 39 and 61 Woodland St., Hartford, CT

Attachments to Minutes and Meeting Handouts/ Presentations

Posted online at <http://www.ct.edu/regents/minutes>

- A. **Sexual Misconduct Reporting, Support Services and Processes Policy Amendment**
- B. **CSCU Student Code of Conduct Policy Amendment**
- C. **Tuition and Fee Refund Policy Amendment– CSCU**
- D. **FY21016-17 CSCU approved budget**

**Board of Regents for Higher Education
Connecticut State Colleges and Universities**

Policy Regarding

Sexual Misconduct Reporting, Support Services and Processes Policy

Statement of Policy

The Board of Regents for Higher Education (BOR) in conjunction with the Connecticut State Colleges and Universities (CSCU) is committed to insuring that each member of every BOR governed college and university community has the opportunity to participate fully in the process of education and development. The BOR and CSCU strive to maintain a safe and welcoming environment free from acts of sexual misconduct, intimate partner violence and stalking. It is the intent of the BOR and each of its colleges or universities to provide safety, privacy and support to victims of sexual misconduct and intimate partner violence.

The BOR strongly encourages victims to report any instance of sexual misconduct, including sexual harassment, sexual assault, sexual exploitation, stalking and intimate partner violence, as an effective means of taking action by reporting such acts to the appropriate officials and pursuing criminal or disciplinary remedies, or both. The only way that action can be taken against anyone who violates another in such a manner is through reporting. Each and every BOR governed college and university shall provide those who report sexual misconduct with many supportive options, including referral to agencies that provide medical attention, counseling, legal services, advocacy, referrals and general information regarding sexual misconduct. Each and every BOR governed college and university will preserve the confidentiality of those who report sexual misconduct to the fullest extent possible and allowed by law. All BOR and CSCU employees, victim support persons and community victim advocates being consulted will make any limits of confidentiality clear before any disclosure of facts takes place. Other than confidential resources as defined above, in addition to employees who qualify as Campus Security Authorities under the Jeanne Clery Act, all BOR and CSCU employees are required to immediately communicate to the institution's designated recipient any disclosure or report of sexual misconduct received from a student as well as communicate any disclosure or report of sexual misconduct the employee received from another employee when misconduct is related to the business of the institution.

Affirmative consent must be given by all parties before engaging in sexual activity. Affirmative consent means an active, clear and voluntary agreement by a person to engage in sexual activity with another person. Sexual misconduct, as defined herein, is a violation of BOR policies and, in addition, may subject an accused student or employee to criminal penalties. The BOR and each of its governed colleges and universities are committed to providing an environment free of personal offenses. Sexual relationships of any kind between staff/faculty and students are discouraged pursuant to BOR policy.

The Board of Regents for Higher Education hereby directs the Connecticut State Colleges and Universities to implement the Policy stated above pursuant to the following provisions:

Terms, Usage and Standards

Sexual Misconduct Reporting
Support Services and Processes Policy

Consent must be affirmed and given freely, willingly, and knowingly of each participant to desired sexual involvement. Consent is a mutually affirmative, conscious decision – indicated clearly by words or actions – to engage in mutually accepted sexual contact. Consent may be revoked at any time during the sexual activity by any person engaged in the activity.

Affirmative consent may never be assumed because there is no physical resistance or other negative response. A person who initially consents to sexual activity shall be deemed not to have affirmatively consented to any such activity which occurs after that consent is withdrawn. It is the responsibility of each person to assure that he or she has the affirmative consent of all persons engaged in the sexual activity to engage in the sexual activity and that affirmative consent is sustained throughout the sexual activity. It shall not be a valid excuse to an alleged lack of affirmative consent that the student or employee responding to the alleged violation believed that the student reporting or disclosing the alleged violation consented to the activity (i) because the responding student or employee was intoxicated or reckless or failed to take reasonable steps to ascertain whether the student or employee reporting or disclosing the alleged violation affirmatively consented, or (ii) if the responding student or employee knew or should have known that the student or employee reporting or disclosing the alleged violation was unable to consent because the student or employee was unconscious, asleep, unable to communicate due to a mental or physical condition, or incapacitated due to the influence of drugs, alcohol or medication. The existence of a past or current dating or sexual relationship between the persons involved in the alleged violation shall not be determinative of a finding of affirmative consent.

Report of sexual misconduct is the receipt of a communication of an incident of sexual misconduct accompanied by a request for an investigation or adjudication by the institution.

Disclosure is the receipt of any communication of an incident of sexual misconduct that is not accompanied by a request for an investigation or adjudication by the institution.

Sexual misconduct includes engaging in any of the following behaviors:

- (a) **Sexual harassment**, which can include any unwelcome sexual advance or request for sexual favors, or any conduct of a sexual nature when submission to such conduct is made either explicitly or implicitly a term or condition of an individual's education or employment; submission to or rejection of such conduct by an individual is used as a basis for academic or employment decisions affecting the individual; or such conduct has the purpose or effect of substantially interfering with an individual's academic or work performance or creating an intimidating, hostile or offensive educational or employment environment. Examples of conduct which may constitute sexual harassment include but are not limited to:
- sexual flirtation, touching, advances or propositions
 - verbal abuse of a sexual nature
 - pressure to engage in sexual activity
 - graphic or suggestive comments about an individual's dress or appearance
 - use of sexually degrading words to describe an individual
 - display of sexually suggestive objects, pictures or photographs
 - sexual jokes

Sexual Misconduct Reporting
Support Services and Processes Policy

- stereotypic comments based upon gender
- threats, demands or suggestions that retention of one's educational status is contingent upon toleration of or acquiescence in sexual advances.

Retaliation is prohibited and occurs when a person is subjected to an adverse employment or educational action because he or she made a complaint under this policy or assisted or participated in any manner in an investigation.

- (b) **Sexual assault** shall include but is not limited to a sexual act directed against another person without the consent (as defined herein) of the other person or when that person is not capable of giving such consent:

Sexual assault is further defined in sections 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b and 53a-73a of the Connecticut General Statutes.

- (c) **Sexual exploitation** occurs when a person takes non-consensual or abusive sexual advantage of another for anyone's advantage or benefit other than the person being exploited, and that behavior does not otherwise constitute one of the preceding sexual misconduct offenses. Examples of behavior that could rise to the level of sexual exploitation include:

- Prostituting another person;
- Non-consensual visual (e.g., video, photograph) or audio-recording of sexual activity;
- Non-consensual distribution of photos, other images, or information of an individual's sexual activity, intimate body parts, or nakedness, with the intent to or having the effect of embarrassing an individual who is the subject of such images or information;
- Going beyond the bounds of consent (for example, an individual who allows friends to hide in the closet to watch him or her having consensual sex);
- Engaging in non-consensual voyeurism;
- Knowingly transmitting an STI, such as HIV to another without disclosing your STI status;
- Exposing one's genitals in non-consensual circumstances, or inducing another to expose his or her genitals; or
- Possessing, distributing, viewing or forcing others to view illegal pornography.

Sexual exploitation is further defined as a crime in Connecticut State Law.

- (d) **Intimate partner, domestic and/or dating violence means** any physical or sexual harm against an individual by a current or former spouse of or person in a dating or cohabitating relationship with such individual that results from any action by such spouse or such person that may be classified as a sexual assault under section 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b or 53a-73a of the general statutes, stalking under section 53a-181c, 53a-181d or 53a-181e of the general statutes, or domestic or family violence as designated under section 46b-38h of the general statutes. This includes any physical or sexual harm against an individual by a current or former spouse or by a partner in a dating relationship that results from (1) sexual assault (2) sexual assault in a spousal or cohabiting relationship; (3) domestic violence; (4) sexual harassment (5) sexual exploitation, as such terms are defined in this policy.

Sexual Misconduct Reporting Support Services and Processes Policy

Offenses that are designated as “domestic violence” are against family or household members or persons in dating or cohabitating relationships and include assaults, sexual assaults, stalking, and violations of protective or restraining orders issued by a Court. Intimate partner violence may also include physical abuse, threat of abuse, and emotional abuse.

- Physical abuse includes, but is not limited to, slapping, pulling hair or punching.
 - Threat of abuse includes but is not limited to, threatening to hit, harm or use a weapon on another (whether victim or acquaintance, friend or family member of the victim) or other forms of verbal threat.
 - Emotional abuse includes but is not limited to, damage to one’s property, driving recklessly to scare someone, name calling, threatening to hurt one’s family members or pets and humiliating another person.
 - Cohabitation occurs when two individuals dwell together in the same place as if married.
 - The determination of whether a “dating relationship” existed is to be based upon the following factors: the reporting victim’s statement as to whether such a relationship existed, the length of the relationship, the type of the relationship and the frequency of the interaction between the persons reported to be involved in the relationship.
- (e) **Stalking**, which is defined as repeatedly contacting another person when contacting person knows or should know that the contact is unwanted by the other person; and the contact causes the other person reasonable apprehension of imminent physical harm or the contacting person knows or should know that the contact causes substantial impairment of the other person’s ability to perform the activities of daily life.

As used in this definition, the term “contacting” includes, but is not limited to, communicating with (including internet communication via e-mail, instant message, on- line community or any other internet communication) or remaining in the physical presence of the other person.

Confidentiality

When a BOR governed college or university receives a report of sexual misconduct all reasonable steps will be taken by the appropriate CSCU officials to preserve the privacy of the reported victim while promptly investigating and responding to the report. While the institution will strive to maintain the confidentiality of personally identifiable student information reported, which information is subject to privacy requirements of the Family Education Rights Privacy Act (FERPA), the institution also must fulfill its duty to protect the campus community.

Confidential resources are defined as follows: For the Universities, entities with statutory privilege, which include campus based counseling center, health center and pastoral counseling staff members whose official responsibilities include providing mental health counseling to members of the University community as well as off campus counseling and psychological services, health services providers, member(s) of the clergy, and the local Sexual Assault Crisis Center and Domestic Violence Center. For the Colleges, confidential resources are limited to entities with statutory privilege, such as off campus counseling and psychological services, health services providers, member(s) of the clergy, and the local Sexual Assault Crisis Center and Domestic Violence Center. The personnel of these centers and agencies are bound by state statutes and professional ethics from disclosing information about reports without written releases.

Sexual Misconduct Reporting Support Services and Processes Policy

Information provided to a confidential resource by a victim of a sexual misconduct or the person reported to have been the victim of sexual misconduct cannot be disclosed legally to any other person without consent, except under very limited circumstances, such as an imminent threat of danger to self or others or if the reported victim is a minor. Therefore, for those who wish to obtain the fullest legal protections and disclose in full confidentiality, she/he must speak with a confidential resource. Each BOR governed college and university will provide a list of such confidential resources in the College or University's geographic region to victims of sexual misconduct as well as publish these resources on-line and in various publications.

Where it is deemed necessary for the institution to take steps to protect the safety of the reported victim and/or other members of the campus community, the institution will seek to act in a manner so as not to compromise the privacy or confidentiality of the reported victim of sexual misconduct to the extent reasonably possible.

Mandated Reporting by College and University Employees

Other than confidential resources as defined above, in addition to employees who qualify as Campus Security Authorities under the Jeanne Clery Act, all employees are required to immediately communicate to the institution's designated recipient (e.g., Title IX Coordinator) any disclosure or report of sexual misconduct received from a student regardless of the age of the reported victim. All employees are also required to communicate to the institution's designated recipient (e.g., Title IX Coordinator) any disclosure or report of sexual misconduct received from an employee that impacts employment with the institution or is otherwise related to the business of the institution.

Upon receiving a disclosure or a report of sexual misconduct, employees are expected to supportively, compassionately and professionally offer academic and other accommodations and to provide a referral for support and other services.

Further, in accordance with Connecticut State law, with the exception of student employees, any paid administrator, faculty, staff, athletic director, athletic coach or athletic trainer who, in the ordinary course of their employment, has a reasonable cause to suspect or believe that a person under the age of 18 years has been abused or neglected, has been placed in imminent harm or has had a non-accidental injury is required by law and Board policy to report the incident within twelve hours to their immediate supervisor and to the Department of Children and Families.

Rights of Parties

Those who report any type of sexual misconduct to any BOR governed college or university employee will be informed in a timely manner of all their rights and options, including the necessary steps and potential outcomes of each option. When choosing a reporting resource the following information should be considered:

- All reports of sexual misconduct will be treated seriously and with dignity by the institution.
- Referrals to off-campus counseling and medical services that are available immediately and confidential, whether or not those who report feel ready to make any decisions about reporting to police, a college or university employee or the campus's Title IX Coordinator.

Sexual Misconduct Reporting Support Services and Processes Policy

- Those who have been the victim of sexual misconduct have the right to take both criminal and civil legal action against the individual allegedly responsible.
- Those who seek confidentiality may contact a clergy member(s), a University counseling center psychologist, a University health center care provider, the Sexual Assault Crisis Center of Connecticut and/or the Connecticut Coalition Against Domestic Violence – all of whom are bound by state statutes and professional ethics to maintain confidentiality without written releases.

Right to Notify Law Enforcement & Seek Protective and Other Orders

Those who report being subjected to sexual misconduct shall be provided written information about her/his right to:

- (1) notify law enforcement and receive assistance from campus authorities in making the notification; and,
- (2) obtain a protective order, apply for a temporary restraining order or seek enforcement of an existing order. Such orders include:
 - standing criminal protective orders;
 - protective orders issued in cases of stalking, harassment, sexual assault, or risk of injury to or impairing the morals of a child;
 - temporary restraining orders or protective orders prohibiting the harassment of a witness;
 - family violence protective orders.

Options for Changing Academic, Housing, Transportation and Working Arrangements

The colleges and universities will provide assistance to those involved in a report of sexual misconduct, including but not limited to, reasonably available options for changing academic, campus transportation, housing or working situations as well as honoring lawful protective or temporary restraining orders. Each and every BOR governed college and university shall create and provide information specific to its campus detailing the procedures to follow after the commission of such violence, including people or agencies to contact for reporting purposes or to request assistance, and information on the importance of preserving physical evidence.

Support Services Contact Information

It is BOR policy that whenever a college or university Title IX Coordinator or other employee receives a report that a student, faculty or staff member has been subjected to sexual misconduct, the Title IX Coordinator or other employee shall immediately provide the student, faculty or staff member with contact information for and, if requested, professional assistance in accessing and using any appropriate campus resources, or local advocacy, counseling, health, and mental health services. All CSCU campuses shall develop and distribute contact information for this purpose as well as provide such information on-line.

Employee Conduct Procedures

Employees who are reported to have engaged in sexual misconduct are subject to discipline in accordance with the procedures applicable to the employee's classification of employment.

Sexual Misconduct Reporting
Support Services and Processes Policy

Student Conduct Procedures

The **Student Code of Conduct** provides the procedures for the investigation, definitions of terms, and resolution of complaints regarding student conduct, including those involving sexual misconduct, as defined herein.

The Title IX Coordinator can assist in explaining the student conduct process. The Student Code of Conduct provides an equal, fair, and timely process (informal administrative resolution or a formal adjudication) for reported victims and accused students.

Reported victims of sexual misconduct shall have the opportunity to request that an investigation or disciplinary proceedings begin promptly; that such disciplinary proceedings shall be conducted by an official trained annually in issues relating to sexual misconduct and shall use the preponderance of the evidence (more likely than not) standard in making a determination concerning the alleged sexual misconduct.

Both the reported victim of sexual misconduct and the accused student are entitled to be accompanied to any meeting or proceeding relating to the allegation of sexual misconduct by an advisor or support person of their choice, provided the involvement of such advisor or support person does not result in the postponement or delay of such meeting as scheduled and provided such an advisor or support person may not directly address the Hearing Body, question witnesses or otherwise actively participate in the hearing process or other meeting pertaining to a report of sexual misconduct and each student shall have the opportunity to present evidence and witnesses on her/his behalf during any disciplinary proceeding.

Both the reported victim and accused student are entitled to be provided at the same time written notice of the results of any disciplinary proceeding, normally within one (1) business day after the conclusion of such proceeding, which notice shall include the following: the name of the accused student, the violation committed, if any, and any sanction imposed upon the accused student. Sanctions may range from a warning to expulsion, depending upon the behavior and its severity of the violation(s). The reported victim shall have the same right to request a review of the decision of any disciplinary proceeding in the same manner and on the same basis as shall the accused student; however, in such cases, if a review by any reported victim is granted, among the other actions that may be taken, the sanction of the disciplinary proceeding may also be increased. The reported victim and the accused student are entitled to be simultaneously provided written notice of any change in the results of any disciplinary proceeding prior to the time when the results become final as well as to be notified when such results become final.

In accordance with the Family Educational Rights and Privacy Act (FERPA), the accused student and the reported victim have the right to keep their identities confidential.

Dissemination of this Policy

Upon adoption by the Board all CSCU institutions shall, upon receipt, immediately post and maintain this policy at all times in an easily accessible manner on each institution's website. This policy shall thereafter be annually provided to all Title IX Coordinators, campus law enforcement officers and security personnel, and other campus personnel. Further, this policy shall be presented at student

Sexual Misconduct Reporting
Support Services and Processes Policy

orientation and at student awareness and prevention trainings, and made broadly available at each campus. The policy shall be expanded upon by each institution to provide resources and contact information specific to their institution and geographic area as set forth above.



BOR/CSCU STUDENT CODE OF CONDUCT

Contents

I. STUDENT CODE OF CONDUCT	1
PREAMBLE	1
INTRODUCTION	1
PART A: DEFINITIONS.....	2
PART B: APPLICATION, DISTRIBUTION, AND ADMINISTRATION OF THE STUDENT CODE OF CONDUCT	4
PART C: SCOPE OF AUTHORITY.....	5
PART D: PROHIBITED CONDUCT.....	6
PART E: HEARING PROCEDURES FOR SEXUAL MISCONDUCT, SEXUAL INTIMATE PARTNER, DOMESTIC VIOLENCE & STALKING REPORTS	11
PART F: CONDUCT AND DISCIPLINARY RECORDS.....	13
PART G: INTERPRETATION AND REVISION	13
II. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO STATE UNIVERSITY STUDENTS	14
PART A: DISCIPLINARY PROCEDURES - ACADEMIC MISCONDUCT	14
PART B: DISCIPLINARY PROCEDURES - NONACADEMIC MISCONDUCT	15
PART C: INTERIM SUSPENSIONS AND RESIDENCE HALL SEPARATIONS	20
PART D: DISCIPLINARY SANCTIONS	21
1. Sanctions Which May Be Imposed for Violations of the Code:	21
2. Revocation of Admission and/or Degree.....	23
3. Consequences of Failure to Comply with a Duly Assigned Sanction.....	23
4. Sanctions Which May Be Imposed on Student Organizations	24
III. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO COMMUNITY COLLEGE STUDENTS	25
PART A: DISCIPLINARY PROCEDURES	25
PART B: DISCIPLINARY SANCTIONS.....	27
IV. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO CHARTER OAK STATE COLLEGE STUDENTS	29
PART A: RIGHTS AND RESPONSIBILITIES OF HEARING PARTICIPANTS	29
PART B: DISCIPLINARY PROCEDURES	30
PART C: INTERIM ADMINISTRATIVE ACTION.....	32
PART D: DISCIPLINARY SANCTIONS.....	32

I. STUDENT CODE OF CONDUCT

PREAMBLE

Academic institutions exist for the transmission of knowledge, the pursuit of truth, the development of students, and the general well-being of society. In line with this purpose, the Board of Regents for Higher Education (“BOR”) in conjunction with the Connecticut State Colleges and Universities (“CSCU”) has the duty to protect the freedoms of inquiry and expression, and furthermore, has the responsibility to encourage all of its members to develop the capacity for critical judgment in their sustained and independent search for truth.

CSCU has certain self-defined institutional values. Principal among these values is respect for the safety, dignity, rights, and individuality of each member of the CSCU Community. The opportunity to live, study, and work in an institution which values diverse intellectual and cultural perspectives and encourages discussion and debate about competing ideas in an atmosphere of civility is a basic component of quality higher education.

All members of CSCU must at all times govern their social and academic interactions with tolerance and mutual respect so that the students who pass through a CSCU door are enriched by these experiences and are prepared for full and enlightened participation in a multi-cultural society. Because of the BOR’s and CSCU’s commitment to principles of pluralism, mutual respect, and civility, certain activities are not acceptable on CSCU campuses. Acts of intolerance, of hatred or violence based on race, religion, sexual orientation or expression, disability, gender, age, or ethnic background are antithetical to the BOR’s and CSCU’s fundamental principles and values. It is the BOR’s and CSCU’s responsibility to protect our students’ right to learn by establishing an environment of civility.

The disciplinary process is intended to be part of the educational mission of CSCU. Student disciplinary proceedings are not criminal proceedings and are not subject to court rules of procedure and evidence.

INTRODUCTION

This Student Code of Conduct (hereinafter the “Student Code” or “Code”) is intended to present a clear statement of student rights and responsibilities established by the Board of Regents for Higher Education. The BOR has charged the President of the Board of Regents for Higher Education with developing procedures to protect those rights and to address the abdication of responsibilities in collaboration with the four State Universities, the twelve Community Colleges and Charter Oak State College. The Student Code describes the types of acts that are not acceptable in an academic community.

Disclaimer: This Code is neither a contract nor an offer of a contract between any BOR governed institution and any student. The provisions of this Code are subject to revision at any time.

PART A: DEFINITIONS

The following list of defined terms utilized throughout this Student Code is provided in an effort to facilitate a more thorough understanding of the Code. This list is not intended to be a complete list of all the terms referenced in the Student Code that might require interpretation or clarification. The Vice President for Student Affairs at a University, the Dean of Students at a Community College, the Provost at Charter Oak State College or their designee shall make the final decision of the interpretation of the definition of any term found in the Student Code. For purposes of interpretation and application of the Student Code only, the following terms shall have the following meanings:

1. **“Accused Student”** means any student accused of violating this Student Code.
2. **“Advisor”** means a person who accompanies an Accused Student or an alleged victim to a hearing (or a proceeding pertaining to a report of sexual violence) for the limited purpose of providing advice and guidance to the student. An advisor may not directly address the Hearing Body, question witnesses, or otherwise actively participate in the hearing process (or other proceeding pertaining to a report of sexual violence).
3. **“Appellate Body”** means any person or persons authorized by the University Vice President for Student Affairs, Community College Dean of Students, Charter Oak State College Provost or their designee to consider an appeal from a determination by a Hearing Body that a student has violated the Student Code.
4. **“Calendar Days”** means the weekdays (Mondays through Fridays) when the University or College is open.
5. **“College”** means either collectively or singularly any of the following institutions: Asnuntuck Community College, Capital Community College, Gateway Community College, Housatonic Community College, Manchester Community College, Middlesex Community College, Naugatuck Valley Community College, Northwestern Connecticut Community College, Norwalk Community College, Quinebaug Valley Community College, Three Rivers Community College, Tunxis Community College, and Charter Oak State College.
6. **“Complainant(s)”** means the person(s) who initiates a complaint by alleging that a Student(s) violated the Code.
7. **“CSCU”** means either collectively or singularly, any of the following institutions: Central Connecticut State University, Eastern Connecticut State University, Southern Connecticut State University, Western Connecticut State University; Asnuntuck Community College, Capital Community College, Gateway Community College, Housatonic Community College, Manchester Community College, Middlesex Community College, Naugatuck Valley Community College, Northwestern Connecticut Community College, Norwalk Community College, Quinebaug Valley Community College, Three Rivers Community College, Tunxis Community College, and Charter Oak State College.

8. ***“CSCU Affiliates”*** means individuals and/or entities with whom or with which the College or University has a contractual relationship.
9. ***“CSCU Official”*** means any person employed by the College or University to perform assigned administrative, instructional, or professional responsibilities.
10. ***“CSCU Premises”*** means all land, buildings, facilities, and other property in the possession of, or owned, used, and/or controlled by, the University or College, either solely or in conjunction with another entity.
11. ***“Disciplinary Officer” or “Conduct Administrator”*** means a University, College or CSCU official who is authorized to determine the appropriate resolution of an alleged violation of the Code, and/or to impose sanctions or affect other remedies as appropriate. Subject to the provisions of this Code, a disciplinary officer or conduct administrator is vested with the authority to, among other duties: investigate a complaint of an alleged violation of the Code decline to pursue a complaint, refer identified disputants to mediation or other appropriate avenues of resolution, establish charges against a student, enter into an administrative agreement developed with an Accused Student in accordance with Section II-B-3 of this Code, advise a Hearing Body, and present the case before the Hearing Body.
12. ***“Hearing Body” or “Hearing Panel”*** means any person or persons authorized by the University Vice President for Student Affairs, Community College Dean of Students or Charter Oak State College Provost to determine whether a student has violated the Code and to impose sanctions as warranted, including a hearing officer or hearing board.
13. ***“Institution”*** means the University or College within CSCU.
14. ***“Instructor”*** means any faculty member, teaching assistant or any other person authorized by the University to provide educational services, including, but not limited to, teaching, research, and academic advising.
15. ***“Member of the CSCU Community”*** means any person who is a student, an official or any other person who works for CSCU, either directly or indirectly (e.g., for a private enterprise doing business on a CSCU campus).
16. ***“Policy”*** means the written regulations, standards, and student conduct expectations adopted by the BOR and found in, but not limited to the Student Handbook, the Residence Life Handbook, the housing contract, the graduate and undergraduate catalogs, and other publicized University and College notices.
17. ***“Prohibited Conduct”*** means the conduct prohibited by this Code, as more particularly described in Part I-D of this Code.
18. ***“Reporting Party”*** means any person who alleges that a student has violated this Code.

19. ***“Student”*** means either (1) any person admitted, registered, enrolled or attending any CSCU course or CSCU conducted program, whether full-time or part-time, and whether pursuing undergraduate, graduate or professional studies, or continuing education; (2) any person who is not officially enrolled for a particular term but who has a continuing relationship with a CSCU; or (3) any person within two calendar years after the conclusion of their last registered Community College course unless the student has formally withdrawn, graduated or been expelled from the College.
20. ***“Student Code” or “Code”*** means this Student Code of Conduct.
21. ***“Student Organization”*** means an association or group of persons that have complied with the formal requirements for University or College recognition.
22. ***“Support Person”*** means a person, who accompanies an Accused Student, a Reporting Party or a victim to a hearing for the limited purpose of providing support and guidance. A support person may not directly address the Hearing Body, question witnesses, or otherwise actively participate in the hearing process.
23. ***“University”*** means any of the following institutions: Central Connecticut State University, Eastern Connecticut State University, Southern Connecticut State University, and Western Connecticut State University, whichever the alleged violation of the Code occurred.
24. ***“Shall” and “will”*** are used in the imperative sense.
25. ***“May”*** is used in the permissive sense.

PART B: APPLICATION, DISTRIBUTION, AND ADMINISTRATION OF THE STUDENT CODE OF CONDUCT

1. **Application of the Student Code:** The Student Code shall apply to the four Connecticut State Universities, the twelve Community Colleges, and the on-line college: Central Connecticut State University, Eastern Connecticut State University, Southern Connecticut State University, Western Connecticut State University; Asnuntuck Community College, Capital Community College, Gateway Community College, Housatonic Community College, Manchester Community College, Middlesex Community College, Naugatuck Valley Community College, Northwestern Connecticut Community College, Norwalk Community College, Quinebaug Valley Community College, Three Rivers Community College, Tunxis Community College, and Charter Oak State College.

An alleged violation of the Student Code shall be addressed in accordance with the Code of Conduct, even if the accused Student has withdrawn from the Institution prior to the completion of the disciplinary procedures.

The Student Code shall apply to Students and to University Student Organizations. The term “student” shall generally apply to the student as an individual and to a Student Organization as a

single entity. The officers or leaders of a particular Student Organization usually will be expected to represent the organization during the disciplinary process. Nothing in this Student Code shall preclude holding certain members of a Student Organization accountable for their individual acts committed in the context of or in association with the organization's alleged violation of this Code.

2. Distribution of the Student Code: The Student Code shall be made readily available electronically and/or in a printed publication to students, faculty and staff. The office responsible for Student Affairs will annually distribute and make available to students, faculty and staff, electronically and/or in a printed publication, any revisions to the Code.

3. Administration of the Student Code: A University's and Charter Oak State College's Provost or a Community College's Dean of Students shall be the person designated by the institution President to be responsible for the administration of the Academic Misconduct portion of the Student Code. A University's Vice President for Student Affairs, a Community College's Dean of Students, or Charter Oak State College's Provost shall be the person designated by the institution President to be responsible for the administration of the Non-Academic Misconduct portion of the Student Code.

PART C: SCOPE OF AUTHORITY

A Student who is found responsible for engaging in conduct that violates the Student Code on any CSCU campus or on property controlled by the BOR or by any CSCU Affiliate or any CSCU sponsored function or event shall be subject to the sanctions described in this Code. The Student Code of Conduct also applies to online activities, where applicable. Students who attempt to engage in conduct that violates this Code, who knowingly encourage, aid or assist another person in engaging in such conduct, or who agree with another person, explicitly or otherwise, to engage in such conduct, may also be subject to disciplinary action.

Off-campus misconduct by University students may be subject to the jurisdiction of the University and addressed through its disciplinary procedures if one of the following conditions is met: (i) a Student engages in prohibited conduct at an official University event, at a University-sanctioned event, or at an event sponsored by a recognized University Student Organization; or (ii) a Student engages in prohibited conduct under such circumstances that reasonable grounds exist for believing that the Accused Student poses a threat to the life, health or safety of any member of the CSCU or to the property of the CSCU.

Community College students conduct is subject to the Code on campus and off-campus whenever such conduct impairs College-related activities or affairs of another member of the College community or creates a risk of harm to a member or members of the College community. Students must be aware that, as citizens, they are subject to all federal and state laws in addition to all CSCU regulations governing student conduct and responsibilities. Students do not relinquish their rights nor do they shed their responsibilities as citizens by becoming members of the CSCU Community. However, where a court of law has found a student to have violated the law, an institution has the right to impose the sanctions of this Code even though the conduct does not impair institution-related activities of another member of the university or college community and does not create a risk of harm to the college or university community. The decision to exercise this right will be in the sole discretion of the President of the impacted institution or his/her designee.

Charter Oak State College applies this Code to matriculated and non-matriculated students, including those participating in portfolio assessment, credential evaluation, testing, or contract learning. Jurisdiction shall be limited to student conduct that occurs while students are taking Charter Oak State College courses or availing themselves of Charter Oak State College services. However, if a matriculated Charter Oak State College student is found guilty of student misconduct at another institution, including but not limited to misrepresentation of records from other institutions, the student may be subject to disciplinary action at Charter Oak State College.

PART D: PROHIBITED CONDUCT

The following list of behaviors is intended to represent the types of acts that constitute violations of this Code.

1. Academic misconduct, which includes, but is not limited to, plagiarism and all forms of cheating.

Plagiarism is defined as the submission of work by a student for academic credit as one's own work of authorship which contains work of another author without appropriate attribution.

Cheating includes, but is not limited to: (i) use of any unauthorized assistance in taking quizzes, tests or examinations; (ii) use of sources beyond those authorized by the instructor in writing papers, preparing reports, solving problems or carrying out other assignments; (iii) the acquisition, without permission, of tests or other academic material belonging to a member of the University faculty or staff; and (iv) engaging in any other behavior specifically prohibited by a faculty member in the course syllabus.

2. Acts of dishonesty, including but not limited to the following:
 - a. Misuse of University or College documents, including, but not limited to forging, transferring, altering or otherwise misusing a student fee card, student payroll card, identification card or other College or University identification document, course registration document, schedule card, transcript, or any other institution-issued document or record.
 - b. Knowingly furnishing false information to any CSCU Official, faculty member or office.
3. Theft of property or services, or damage to, defacement or destruction of, or tampering with, real or personal property owned by the State of Connecticut, CSCU/BOR, the institution, or any member of the CSCU Community.
4. Actual or threatened physical assault or abuse, threatening behavior, intimidation, or coercion.
5. Sexual misconduct may include engaging in one of more behaviors:

(a) **Sexual harassment**, which can include any unwelcome sexual advance or request for sexual favors, or any conduct of a sexual nature when submission to such conduct is made either explicitly or implicitly a term or condition of an individual's education; submission to or rejection of such conduct by an individual is used as a basis for academic decisions affecting the individual; or such conduct has the purpose or effect of substantially interfering with an individual's academic performance or creating an intimidating, hostile or offensive educational environment. Examples of conduct which may constitute sexual harassment include but are not limited to:

- sexual flirtation, touching, advances or propositions
- verbal abuse of a sexual nature
- pressure to engage in sexual activity
- graphic or suggestive comments about an individual's dress or appearance
- use of sexually degrading words to describe an individual
- display of sexually suggestive objects, pictures or photographs
- sexual jokes
- stereotypic comments based upon gender
- threats, demands or suggestions that retention of one's educational status is contingent upon toleration of or acquiescence in sexual advances.

(b) **Sexual assault** shall include but is not limited to a sexual act directed against another person when that person is not capable of giving consent, which shall mean the voluntary agreement by a person in the possession and exercise of sufficient mental capacity to make a deliberate choice to do something proposed by another.

A person who initially consents to sexual activity shall be deemed not to have consented to any such activity which occurs after that consent is withdrawn. Consent cannot be assumed because there is no physical resistance or other negative response. A lack of consent may result from mental incapacity (e.g., ingestion of alcohol or drugs which significantly impair awareness or judgment) or physical incapacity (e.g., the person is unconscious or otherwise unable to communicate consent). Consent must be affirmative. (See Sexual Misconduct Reporting, Support Services and Processes Policy).

Sexual assault is further defined in sections 53a-70, 53a-70a, 53a-70b, 53a-71, 53a-72a, 53a-72b and 53a-73a of the Connecticut General Statutes.

(c) **Sexual exploitation** occurs when a person takes non-consensual or abusive sexual advantage of another for anyone's advantage or benefit other than the person being exploited, and that behavior does not otherwise constitute one of the preceding sexual misconduct offenses. Examples of behavior that could rise to the level of sexual exploitation include:

- Prostituting another person;
- Non-consensual visual (e.g., video, photograph) or audio-recording of sexual activity;
- Non-consensual distribution of photos, other images, or information of an individual's sexual activity, intimate body parts, or nakedness, with the intent to or having the effect of embarrassing an individual who is the subject of such images or information;
- Going beyond the bounds of consent (such as letting your friends hide in the closet to watch you having consensual sex);
- Engaging in non-consensual voyeurism;
- Knowingly transmitting an STI, such as HIV to another without disclosing your STI status;
- Exposing one's genitals in non-consensual circumstances, or inducing another to expose his or her genitals; or
- Possessing, distributing, viewing or forcing others to view illegal pornography.

6. Intimate partner violence is defined as:

- Including intimate partner violence, which is any physical or sexual harm against an individual by a current or former spouse or by a partner in a dating relationship that results from (1) sexual assault, as defined in section 5 above; (2) sexual assault in a spousal or cohabiting relationship; (3) domestic violence; (4) sexual harassment, as defined in section 5 above or, (5) sexual exploitation, as defined in section 5 above.
- Physical abuse, which can include but is not limited to, slapping, pulling hair or punching.
- Threat of abuse, which can include but is not limited to, threatening to hit, harm or use a weapon on another (whether victim or acquaintance, friend or family member of the victim) or other forms of verbal threat.
- Emotional abuse, which can include but is not limited to, damage to one's property, driving recklessly to scare someone, name calling, threatening to hurt one's family members or pets and humiliating another person.

7. Violations of privacy, including, but not limited to, voyeurism and the use of web-based, electronic or other devices to make a photographic, audio or video record of any person without his or her express consent, when such a recording is intended or likely to cause injury or distress. This includes, but is not limited to: (i) surreptitiously taking pictures or videos of another person in spaces such as sleeping areas, bathrooms, gymnasiums, locker rooms, and changing areas; and (ii) sexually exploiting another person by electronically recording or permitting others to view or electronically record, consensual sexual activity without a partner's knowledge or permitting others to view or listen to such video or audio tapes without a partner's knowledge and consent. Publicizing or threatening to publicize such records will also be considered a violation of this Code.

8. Hazing, which is defined as an act which endangers the mental or physical health or safety of a Student, or which destroys, damages, or removes public or private property for the purpose of initiation or admission into, affiliation with or as a condition for continued membership in a group or organization. The express or implied consent of the victim will not be a defense to an allegation of hazing. Consenting to the activity by remaining silent or not objecting in the presence of hazing is not a neutral act and is also a violation of this Student Code.

9. Stalking, which is defined as repeatedly contacting another person when:
- a. The contacting person knows or should know that the contact is unwanted by the other person; and
 - b. The contact causes the other person reasonable apprehension of imminent physical harm or the contacting person knows or should know that the contact causes substantial impairment of the other person's ability to perform the activities of daily life.

As used in this definition, the term "contacting" includes, but is not limited to, communicating with (including internet communication via e-mail, instant message, on-line community or any other internet communication) or remaining in the physical presence of the other person.

10. Harassment, which is defined as conduct which is abusive or which interferes with a person's pursuit of his or her customary or usual affairs, including, but not limited to, such conduct when directed toward an individual or group because of race, ethnicity, ancestry, national origin, religion, gender, sexual orientation or expression, age, physical attribute, or physical or mental disability or disorder, including learning disabilities and mental retardation.
11. Conduct that is disorderly, lewd or indecent (including, but not limited to, public nudity and sexual activity in areas generally open to members of the campus community), breach of peace or aiding, abetting or procuring another person to breach the peace on CSCU premises or at functions sponsored by, or affiliated with the University or College.
12. Behavior or activity which endangers the health, safety, or well-being of oneself or others.
13. Offensive or disorderly conduct which causes interference, annoyance or alarm or recklessly creates a risk thereof at CSCU or CSCU premises, CSCU web or social media sites, at a CSCU-sponsored activity or in college or university courses, including cyber bullying. This offense does not apply to speech or other forms of constitutionally protected expression.
14. Unauthorized possession, duplication or use of keys (including, but not limited to, card access, card keys, fobs, etc.) to any CSCU premises or forcible and/or unauthorized entry on or into CSCU premises.
15. Starting fires, causing explosions, falsely reporting the presence of fire, bombs, incendiary or explosive devices, or falsely reporting an emergency.
16. Unauthorized or improper possession, use, removal, tampering or disabling of fire and/or safety equipment and warning devices, failure to follow standard fire and/or emergency safety procedures, or interference with firefighting or emergency response equipment or personnel.

17. Use, possession, purchase, sale or distribution of alcoholic beverages, except as expressly permitted by law and CSCU regulations. Alcoholic beverages may not, under any circumstances, be used by, possessed by, or distributed to any person under twenty-one (21) years of age.
18. Use, possession, purchase, sale, distribution or manufacturing of narcotics, controlled substances and/or drugs, including, but not limited to, marijuana and heroin, or drug paraphernalia, except as expressly permitted by law.
19. Use, possession or distribution of firearms, ammunition for firearms, other weapons or dangerous instruments, facsimiles of weapons or firearms, fireworks, explosives or dangerous chemicals. A dangerous instrument is any instrument, article or substance that, under the circumstances in which it is being utilized, is capable of causing death or serious physical injury. The possession of a deadly weapon or dangerous instrument on campus is strictly prohibited, even if such item is legally owned.
20. Gambling, including, but not limited to, promoting, wagering, receiving monies for wagering or gambling for money or property on CSCU premises.
21. Disruption or obstruction of any College or University function, activity or event, whether it occurs on or off the campus, or of any non-University or College function, activity or event which is authorized by the institution to occur on its premises.
22. Intentional obstruction of the free flow of pedestrian or vehicular traffic on CSCU premises or at University or College-sponsored or supervised functions or interference with entry into or exit from CSCU premises or with the free movement of any person.
23. Failure to comply with the directions of CSCU officials or law enforcement officers acting in the performance of their duties and/or failure to identify oneself to these persons when requested to do so.
24. Conduct that violates published BOR/CSCU policies, rules, and regulations, including, but not limited to, residence hall rules and regulations.
25. Conduct prohibited by any federal, state, and/or local law, regulation or ordinance.
26. Unauthorized use of CSCU property or the property of members of the CSCU Community or of CSCU Affiliates.
27. Theft, unauthorized use, or abuse of University or College computers and/or peripheral systems and networks, including, but not limited to:
 - a. Unauthorized access to CSCU computer programs or files;
 - b. Unauthorized alteration, transfer or duplication of CSCU computer programs or files;
 - c. Unauthorized use of another individual's identification and/or password;

- d. Deliberate disruption of the operation of CSCU computer systems and networks;
 - e. Use of the Institution's computing facilities and resources in violation of copyright laws (including unauthorized peer-to-peer file sharing of copyrighted material, including, but not limited to, copyrighted music, movies, and software);
 - f. Use of computing facilities and resources to send obscene messages (which are defined as messages which appeal mainly to a prurient, shameful or morbid interest in nudity, sex, excretion, sadism or masochism, go well beyond customary limits of candor in describing or representing such matters, and are utterly without redeeming social value); and
 - g. Violation of the BOR Policy Statement on Acceptable and responsible use of Information Technology resources and/or any applicable BOR computer use policy.
28. Abuse of the CSCU conduct and disciplinary system, including but not limited to:
- a. Failure to obey the notice from a Hearing Body or CSCU Official to appear for a meeting or hearing as part of the Student Conduct system;
 - b. Falsification, distortion, or intentional misrepresentation of information to a Disciplinary Officer or Conduct Administrator, or before a Hearing Body;
 - c. Initiation of a conduct or disciplinary proceeding knowingly without cause;
 - d. Disruption or interference with the orderly conduct of a disciplinary proceeding;
 - e. Attempting to discourage an individual's proper participation in, or use of, the disciplinary system;
 - f. Attempting to influence the impartiality of a Disciplinary Officer, Conduct Administrator or member of a Hearing Body prior to, and/or during the course of, the disciplinary proceeding;
 - g. Harassment (verbal or physical) and/or intimidation of a Disciplinary Officer, Conduct Administrator, or member of a Hearing Body prior to, and/or during the course of the disciplinary proceeding;
 - h. Failure to comply with the sanction(s) imposed under the Student Code; and
 - i. Influencing or attempting to influence another person to commit an abuse of the disciplinary system.

PART E: HEARING PROCEDURES FOR SEXUAL MISCONDUCT, SEXUAL INTIMATE PARTNER, DOMESTIC VIOLENCE & STALKING REPORTS

In addition to disciplinary procedures applicable to State University students in Section II, Community College students in Section III, or Charter Oak State College Students in Section IV, for any hearing

conducted involving allegations of sexual misconduct, including sexual harassment, sexual assault, sexual exploitation, stalking and intimate partner violence the reported victim and the accused student shall each have the following rights::

1. At any meeting or proceeding, both the reported victim and accused student may be accompanied by an advisor or support person of the student's choice provided the advisor or support person does not cause a scheduled meeting or hearing to be delayed or postponed and provided an advisor or support person may not directly address the Hearing Body, question witnesses, or otherwise actively participate in the hearing process (or other proceeding or pertaining to a report of sexual misconduct);
2. The reported victim of sexual misconduct is entitled to request that disciplinary proceedings begin promptly;
3. Any hearing regarding an accusation of sexual misconduct shall (i) be fair, prompt and impartial; (ii) be conducted by a Hearing Body annually trained in issues relating to sexual misconduct (iii) use the preponderance of evidence (more likely than not) standard; (iv) shall allow both the accused student and reported victim the opportunity to present evidence and witnesses on their behalf during any disciplinary proceeding; (v) shall provide both the accused student and the reported victim with equal access to any information that will be used during meetings and hearings; and (vi) invoke the standard of "affirmative consent"¹ in determining whether consent to engage in sexual activity was given by all persons who engaged in sexual activity .
4. In accordance with the Family Educational Rights and Privacy Act (FERPA), the accused student and the reported victim have the right to keep their identities confidential;
5. Any reported victim shall be provided written notice of the decision of the Hearing Body at the same time as the accused student, normally within one (1) business day after the conclusion of the Hearing. In accordance with the Family Educational Rights and Privacy Act (FERPA) the notice to any reported victim of sexual misconduct shall contain only the following: the name of the accused student, the violation committed, if any, and any sanction imposed against the accused student.
6. The reported victim shall have the same right to request a review of the decision of the Hearing Body (appeal rights) in the same manner and on the same basis as shall the accused student; however, if a request for review by a reported victim is determined to be properly made and if the review determines there is sufficient grounds for altering the decision of the Hearing Body, among the other actions that may be taken as set forth above, the sanction of the hearing may also be increased. Notwithstanding the foregoing, in any hearing pertaining to sexual misconduct both the reported victim and the accused student are entitled to be simultaneously provided notice of any change in the results of the hearing prior to the time when the results become final as well as to be notified when such results become final.

¹The standard of "Affirmative Consent" is set forth in the BOR Sexual Misconduct Reporting, Support Services and Processes Policy and is incorporated herein by reference.

PART F: CONDUCT AND DISCIPLINARY RECORDS

The written decision resulting from an administrative conference or a hearing under this Code shall become part of the student's educational record and shall be subject to the provisions of the Family Educational Rights and Privacy Act (FERPA). A student's disciplinary record shall be maintained separately from any other academic or official file maintained by the Institution. Disciplinary records will be maintained for a period of five (5) years from the date of the incident, except that the sanction of expulsion shall be noted permanently.

While student education records are generally protected from disclosure by FERPA, there are a number of exceptions to this rule. Students should be aware that a record concerning his/her behavior while a student at the College or University may be shared with other colleges or universities to which the student may subsequently wish to transfer or be admitted. Similarly, prospective employers may require a student to provide access to his/her education records as part of the employment application process. A record of having been sanctioned for conduct that violates Section I.D. of the Code may disqualify a student for admission to another college or university, and may interfere with his/her selection for employment.

PART G: INTERPRETATION AND REVISION

Questions regarding the interpretation of this Code shall be referred to the University's and Charter Oak State College's Provost or a Community College's Dean of Students or their designees for the administration of the Non-Academic Misconduct portion of the Student Code and to the University's Vice President for Student Affairs, a Community College's Dean of Academic Affairs or Charter Oak State College's Provost or their designees for the administration of the Academic Misconduct portion of the Student Code.

This Code shall be reviewed and revised, if and as necessary, every five (5) years, or as directed by the President of the Board of Regents for Higher Education.

II. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO STATE UNIVERSITY STUDENTS

Procedures for University students differ from those procedures applicable to either the Community Colleges or Charter Oak State College. This is due to the environmental, cultural, and administrative differences within the types of the institutions comprising CSCU. Procedures for addressing allegations and sanctions regarding academic misconduct (as defined in Section I.D.1) for University Students as set for in this Section II of the Code.

PART A: DISCIPLINARY PROCEDURES - ACADEMIC MISCONDUCT

1. Instructor's Role:
When the instructor of record or his or her designee believes that an act of academic misconduct has occurred, he or she shall notify the student of the allegation and save any evidence of such misconduct in its original form. (Copies of the Accused Student's work will be provided to the Student upon request.) In addition, the instructor shall not transmit a final grade to the Registrar until such time as the allegation(s) of academic misconduct are finally determined. Each institution shall establish implementation guidelines in accordance with this Code.
2. Information from Person Other than Student's Instructor: Any member of the CSCU Community may provide information which might lead to a complaint against a Student alleging academic misconduct.
3. The Academic Misconduct Hearing Board: There shall be an academic misconduct hearing board convened by the University's Disciplinary Officer to consider allegations of academic misconduct lodged against a Student. The University's disciplinary officer shall be a non-voting member of the board and act as convener.
4. Hearing Process: The Accused Student shall be afforded adequate notice of the allegation, an opportunity to discuss the allegation with the instructor, and adequate time to request and prepare for a hearing. All parties shall have an opportunity to be heard and a record of the proceedings shall be made. The decision of a hearing board shall be communicated in writing.
5. Sanctions: If the academic misconduct hearing board determines that the Accused Student is "Not Responsible," the board shall not impose any sanctions. The board shall so advise the Student's instructor and the instructor shall reevaluate the student's course grade in light of the Board's determination. If the academic misconduct hearing board determines that the Accused Student is "Responsible," the academic sanction set forth in the instructor's course syllabus shall be imposed.

The academic misconduct hearing board may make a recommendation to change the academic sanction imposed by the instructor on the basis of its hearing of the evidence of academic misconduct. (Should the academic sanction not be changed pursuant to this recommendation, the University reserve the right to change the academic sanction.) Upon

consideration of the Accused Student's record of misconduct and/or the nature of the offense, the academic misconduct hearing board may impose additional non-academic sanctions in proportion to the severity of the misconduct. These sanctions may include the following: warning, written reprimand, discretionary sanctions, suspension and/or expulsion, as described in II.D of this Student Code of Conduct.

6. Appeals: The decision rendered by the academic misconduct hearing board may be appealed to the Provost/Academic Vice President, who shall review the record of the hearing, including any and all documents presented to the academic misconduct hearing board. An appeal shall be in writing and shall be delivered to the Provost/Academic Vice President within three (3) calendar days of receipt of the academic misconduct hearing board's written decision.

An appeal may be brought on any of four grounds: (a) a claim that error in the hearing procedure substantially affected the decision; (b) a claim that new evidence or information material to the case was not known at the time of the hearing; (c) a claim that the non-academic sanction(s) imposed were not appropriate for the violation of the Code for which the accused student was found responsible; and/or (d) a claim that the academic sanction imposed has resulted in a palpable injustice. The Provost/Academic Vice President shall have the right to deny an appeal not brought on any of the foregoing grounds. The decision rendered by the Provost/Academic Vice President shall be final and there shall be no further right of appeal.

PART B: DISCIPLINARY PROCEDURES - NONACADEMIC MISCONDUCT

The following procedures shall be followed in addressing allegations of non-academic misconduct.

1. Providing Information leading to a Complaint: Any person may provide information leading to the filing of a complaint against a Student or a Student Organization alleging a violation of the Student Code. A complaint must be made in writing and submitted to the University's Disciplinary Officer or Conduct Administrator.
2. Disciplinary Proceedings Against a Student Charged with a Violation of Law and a Violation of the Code: University proceedings may be instituted against an Accused Student who has been charged with a violation of state or federal law for conduct which also constitutes a potential violation of this Code (that is, if both possible violations result from the same factual situation) without regard to the pendency of civil or criminal litigation in court or criminal arrest and prosecution. Proceedings under this Student Code may be carried out prior to, simultaneously with, or following the institution of civil or criminal court proceedings against the Accused Student. Determinations made or sanctions imposed under this Student Code shall not be subject to change because criminal charges arising out of the same facts giving rise to violation of University rules were dismissed, reduced, or resolved in favor of or against the criminal law defendant.

3. Disciplinary Proceedings Against a Student Charged with Sexual Assault, Sexual, Intimate Partner, Domestic Violence or Other Sex Related Offense: See Section I.E
4. Pre-Hearing Investigation and Administrative Disposition:
 - a. The Disciplinary Officer or Conduct Administrator may conduct an investigation to determine if the charges have merit and/or if they can be disposed of administratively by mutual consent of the Accused Student and the Disciplinary Officer or Conduct Administrator. Such disposition shall be final and there shall be no subsequent proceedings. If the charges are not admitted and/or cannot be disposed of by mutual consent, the Disciplinary Officer or Conduct Administrator may also present the case for the University at any subsequent hearing, but if he or she does, he or she shall not serve as a member of the Hearing Body.
 - b. The Disciplinary Officer or Conduct Administrator may conduct an investigation to determine if there is reason to believe the student has committed a violation of any part of Section I.D. of the Code and, after considering both the possible violation and the prior conduct record of the student, if the Disciplinary Officer or Conduct Administrator determines that a sanction of less than residential hall separation or suspension or expulsion from the University is appropriate, the Disciplinary Officer or Conduct Administrator shall schedule an administrative conference with the student. The student shall be given reasonable notice of the time and place of the conference. At the administrative conference, the student shall have the opportunity to present information for the Disciplinary Officer's or Conduct Administrator's consideration. At the conclusion of the administrative conference, the Disciplinary Officer or Conduct Administrator shall determine whether it is more likely than not that the student has violated the Policy and, if so, impose a sanction less than residential hall separation, or suspension or expulsion from the University. The Disciplinary Officer or Conduct Administrator shall provide the student with a written explanation for the determination. The decision of the Disciplinary Officer or Conduct Administrator shall be final.
5. Hearing Bodies: A Student accused of misconduct has the right to be heard by an impartial Hearing Body. Any concern surrounding the impartiality of the Hearing Body or any member thereof will be referred to the Vice President for Student Affairs or his or her designee, who will review the matter and make a determination. Any Hearing regarding an accusation of sexual assault, sexual misconduct, intimate partner, domestic violence or other sex related offense or intimate partner violence shall be conducted by an impartial Hearing Body trained in issues relating to sexual assault, sexual violence, intimate partner, and domestic violence.
6. Hearing Procedures:
 - a. Notice of Hearing: Normally, a hearing will be conducted within ten (10) calendar days of the Accused Student being notified of the charges. Notice may be provided to the Accused Student by in-hand delivery, by registered mail, with delivery receipt attached or by certified mail, return receipt requested by University email or by overnight delivery with signature of recipient required. Should the

Accused Student refuse to accept in-hand delivery, a written statement of the attempted delivery of the notice signed by the person attempting to make such delivery shall constitute notice. Should the Accused Student refuse to sign for registered or certified mail, the postal document indicating such refusal shall constitute notice.

The notice shall advise the Accused Student of each section of the Student Code alleged to have been violated and, with respect to each such section, a statement of the acts or omissions which are alleged to constitute a violation of the Code, including the approximate time when and the place where such acts or omissions allegedly occurred.

The Accused Student shall be afforded a reasonable period of time to prepare for the hearing, which period of time shall not be less than three (3) Calendar Days. The Accused Student, the Reporting Party and/or any alleged victim may request a delay of the hearing due to extenuating circumstances. Any decision to postpone the hearing shall be made by the Disciplinary Officer or Conduct Administrator or by the Hearing Body, or by the designee of the Vice President for Student Affairs.

- b. Hearing: Hearings shall be closed, but the Hearing Body may, in its discretion, admit any person into the hearing room. The Hearing Body shall have the authority to discharge or to remove any person whose presence is deemed unnecessary or obstructive to the proceedings.

The Accused Student, the Reporting Party and any alleged victim shall have the right to be present at all stages of the hearing process except during the private deliberations of the Hearing Body and the presentation of sanctions. In hearings involving more than one Accused Student, the Hearing Body may determine that, in the interest of fairness, separate hearings should be convened.

In any Hearing alleging sexual assault, sexual, intimate partner, domestic violence or other sex related offense, any alleged victim and the Accused Student are entitled to:

- 1) be accompanied to any meeting or proceeding by an advisor or support person of their choice, provided that the advisor or support person does not cause a scheduled meeting to be delayed or postponed;
- 2) present evidence and witnesses on their behalf;
- 3) in accordance with the Family Educational Rights and Privacy Act (FERPA), to have their identities kept confidential.

In addition, the alleged victim of sexual assault, sexual, intimate partner, domestic violence or other sex related offense is entitled to request that disciplinary proceedings begin promptly.

- c. Record of Hearing: When expulsion or suspension from the University or residence hall separation is a possibility, the University shall make a recording of the hearing. The recording shall be the property of the University. No other recordings shall be made by any person during the hearing. Upon request, the Accused Student may

review the recording in a designated University office in order to prepare for an appeal of the decision rendered by the Hearing Body. Further disclosure of the recording shall be governed by applicable state and federal law.

- d. Opportunity to Present a Defense: The Accused Student shall have the full opportunity to present a defense and information, including the testimony of witnesses, in his or her behalf. The Reporting Party and the Accused Student may question the statements of any person who testifies in a manner deemed appropriate by the Hearing Body. The Reporting Party and the Accused Student may make concluding statements regarding the charges made and the information presented during the hearing. The Hearing Body may question the Accused Student and the Reporting Party, any witness presented by the Accused Student or the Reporting Party, and any other witness(es) the Hearing Body may choose to call to testify.
- e. Accused Student Can Choose Whether or Not to Testify in His or Her Own Defense: The Accused Student who is present at the hearing shall be advised by the Hearing Body that he or she is not required to testify, to answer questions, or to make any statement regarding the complaint or the allegations set forth in the complaint. Refusal to do so shall not be considered by the Hearing Body to constitute evidence of responsibility.
- f. Non-Appearance of Accused Student at Disciplinary Hearing: If an Accused Student does not appear at a disciplinary hearing, the Hearing Body shall enter a plea of “not responsible” on behalf of such student and the hearing shall proceed in the normal manner of hearing evidence, weighing facts, and rendering judgment. The failure of an Accused Student to appear at the disciplinary hearing shall not be considered by the Hearing Body to constitute evidence of responsibility.
- g. Advisors and Support Persons: The Reporting Party, any alleged victim, and the Accused Student shall each have the right to be accompanied by an Advisor and Support Person. The Advisor and the Support Person should be someone whose schedule allows attendance at the scheduled date and time for the disciplinary hearing because delays will not normally be allowed due to the scheduling conflicts of an Advisor or Support Person.
- h. Presentation of Evidence: Only evidence introduced at the hearing itself may be considered by the Hearing Body in determining whether it is more likely than not that the alleged violation was committed by the accused student.
- i. Evidence of Prior Convictions or Disciplinary Actions: Evidence of prior criminal convictions or University disciplinary actions may be presented to the Hearing Body only after a determination of responsibility has been made and only for consideration in connection with determining the sanction.
- j. Accommodation of Witnesses: The Hearing Body may accommodate concerns for the personal safety, well-being, and/or fears of confrontation of the Reporting Party, the Accused Student, and/or other witnesses during the hearing by providing separate facilities, by using a visual screen, and/or by permitting

participation by telephone, videophone, closed circuit television, video conferencing, videotape, audio tape, written statement, or other means, where and as determined in the sole judgment of the Hearing Body to be appropriate.

- k. Written Notice of Decision: The Accused Student shall receive written notice of the decision of the Hearing Body that shall set forth the decision rendered, including a finding of “responsible” or “not responsible,” and the sanctions imposed, if any. The decision of the Hearing Body, as well as the sanction(s) imposed, if any, generally will not be released to third parties without the prior written consent of the Accused Student. However, certain information may be released if and to the extent authorized by state or federal law.

With respect to Hearings alleging sexual assault, sexual, intimate partner, domestic violence or other sex related offense, any alleged victim shall receive written notice of the decision of the Hearing Body at the same time as the Accused Student, normally within one (1) business day after the conclusion of the Hearing.

In accordance with the Family Educational Rights and Privacy Act (FERPA) the notice to any alleged victim of sexual assault, sexual, intimate partner, domestic violence or other sex related offense shall contain only the following: the name of the student, the violation committed and any sanction imposed against the student.

- 6. Review: An Accused Student may request that the decision of the Hearing Body be reviewed by the Vice President for Student Affairs or his or her designee. A request for review must be made in writing to the Vice President for Student Affairs or his or her designee within three (3) Calendar Days of the Accused Student’s receipt of the written notice of decision. For good cause shown, the Vice President for Student Affairs may extend the three-University Calendar Day limitation on filing a request for a review. An Accused Student may request only one review of each decision rendered by the Hearing Body. A decision reached as a result of an Administrative Disposition may not be reviewed.
 - a. Grounds for Review: The Accused Student has the right to request a review of the decision of the Hearing Body on the grounds that: (i) the procedures set forth in this Code were not followed and, as a result, the decision was substantially affected; (ii) the sanction(s) imposed were not appropriate for the violation of the Code for which the Accused Student was found responsible; and/or (iii) new information, sufficient to alter the decision, or other relevant facts were not brought out in the original hearing because such information and/or facts were not known to the Accused Student at the time of the original hearing. The review shall be limited to a review of the record except as required to explain the basis of new information.
 - b. Review Procedures: In order to prepare for the review, the Accused Student may review the recording of the original hearing in a designated University office but will not be permitted to remove the recording from that office or make copies. The review will not be heard by anyone involved in the initial hearing. The review shall be considered and a decision rendered within ten (10) Calendar Days of the filing of the request for review.

If a request for review is granted, the matter shall be referred to the original Hearing Body for reconsideration of its original determination or to a newly-constituted Hearing Body for a new hearing, or the sanction imposed may be reduced, as appropriate. If a request is not granted, the matter shall be considered final and binding upon all involved.

- c. Status of Student Pending Review: All sanctions imposed by the Hearing Body shall be and continue in effect pending the outcome of a review. Any request to delay the commencement of sanctions pending a review must be made by the Accused Student, in writing, to the Vice President for Student Affairs or his or her designee.
- d. With respect only to Hearings related to sexual assault, sexual, intimate partner, domestic violence or other sex offense, the alleged victim shall have the same right to request a review in the same manner and on the same basis as shall the Accused Student as set forth above; however, in such cases, if a review by any alleged victim is granted, among the other actions that may be taken as set forth above, the sanction of the Hearing may also be increased.

Upon review, if the decision or sanction of the disciplinary proceeding is changed, any alleged victim must be notified in writing of the change in decision or sanction at the same time that the Accused Student is notified.

PART C: INTERIM SUSPENSIONS AND RESIDENCE HALL SEPARATIONS

In certain circumstances, the Vice President for Student Affairs, or his or her designee, may impose an interim suspension or residence hall separation on an Accused Student prior to the hearing before the Hearing Body.

- 1. Basis for Imposition of Interim Suspension or Residence Hall Separation: An interim suspension may be imposed upon an Accused Student only: (i) to ensure the safety and well-being of members of the University Community or preservation of University property; (ii) to ensure the Student's own physical or emotional safety and well-being; or (iii) if the student poses an ongoing threat of disruption of, or interference with, the normal operations of the University.

A residence hall separation may be imposed if a Student's continued presence will disrupt the academic and social well-being of the residential community. Residence hall separation is the removal of a student from the University residence hall in which he or she resides. Such separation may include a restriction of access to all or designate University residence halls. During the period of the separation, the removed Student shall not be permitted to enter the designated hall(s) as a guest of another resident.

An interim suspension or residence hall separation is not a sanction and will continue in effect only until such time as a hearing on the alleged violation has been completed.

2. Effect of Interim Suspension or Residence Hall Separation: During the interim suspension or residence hall separation, the removed Student shall be denied access to the residence halls and/or to the campus (including classes) and/or all other University activities or privileges for which the Student might otherwise be eligible, as the Vice President for Student Affairs, or his or her designee, may determine to be appropriate.
3. Procedure: The Accused Student shall be notified, either orally or in writing, of the pending imposition of an interim suspension or residence hall separation. Whenever possible prior to the imposition of the interim suspension or suspension, the affected Student will be afforded an opportunity to meet with the Vice President for Student Affairs, or his or her designee. Otherwise, the meeting will be held on the first Calendar Day that the Student is available.

At that meeting, the Accused Student will be advised of his/her reported behavior and be offered the opportunity to provide information upon which the determination may be based whether or not the Student engaged in conduct warranting an interim suspension or residence hall separation.

Any Student placed on an interim suspension will be given an opportunity to appear at an administrative conference or a formal hearing on the misconduct charges lodged against him or her in accordance with II.B.5 of this Code within ten (10) Calendar Days of being placed on such suspension, or as soon as practical after the Accused Student is prepared to participate in such a hearing.

PART D: DISCIPLINARY SANCTIONS

Sanctions which may be imposed for violations of the Student Code are listed below. In determining appropriate sanctions, the Hearing Body may take into consideration any and all prior violations of the Code for which the Accused Student was determined to be responsible. The Hearing Body shall have the authority to defer the imposition of any sanction when deemed appropriate. The University may withhold awarding a degree otherwise earned until the completion of the process set forth in this Student Code, including the completion of all sanctions imposed, if any.

1. **Sanctions Which May Be Imposed for Violations of the Code:** The following sanctions may be imposed, individually or in various combinations, on any student found to have violated the Student Code, and will be entered into the Student's disciplinary records. Notation of disciplinary sanctions shall be on file only in the appropriate office in the Division of Student Affairs and shall not be released without the written consent of the Student except to appropriate University enforcement personnel, University police, staff and administrators, or as required by law.
 - a. Warning: A disciplinary warning is a written notice to a Student advising him or her that specific behavior or activity constitutes a violation of the Code and that the repetition of such behavior will likely result in the commencement of more serious disciplinary action by the University.

- b. Fine: A sanction involving the imposition of a specified dollar amount due and payable by a specified date.
- c. Probation: Disciplinary probation is a designated period of time during which a Student is given the opportunity to modify unacceptable behavior and/or to complete specific assignments in an effort to regain full student privileges within University Community. Disciplinary probation may involve the imposition of certain restrictions and/or conditions upon the Student including, but not limited to, financial restitution, community service, fines, referral for professional services such as counseling, participation in educational programs, parental notification under limited circumstances, and ineligibility to participate in University activities or events. Periodic contact with a designated member of the University Community or non-college professional may be required. If the Student fully complies with the terms and conditions imposed in connection with the disciplinary probation, full student privileges will be restored to the student upon termination of the probationary period. Failure to comply with the terms and conditions of the probation constitutes prohibited conduct that is separate from and in addition to the conduct for which the probation was imposed. A Student accused of violation of probation will be given due notice of the alleged violation and the procedures set forth in this Code shall be followed.
- d. Loss of Privileges: Denial of specified privileges for a designated period.
- e. Restitution: Compensation for loss, damage to real or personal property. This may take the form of appropriate service and/or monetary or material replacement.
- f. Discretionary Sanctions: Work assignments, essays, service to the University, or other related discretionary assignments, referral for professional services such as counseling, participation in educational programs, parental notification under limited circumstances, and ineligibility to participate in University activities or events. Periodic contact with a designated member of the University Community or non-college professional may be required.
- g. Residence Hall Warning: A written notice to a Student advising him or her that specific behavior or activity constitutes a violation of the Code and that the repetition of such behavior will likely result in the commencement of more serious disciplinary action by the University.
- h. Residence Hall Probation: Residence hall probation is a designated period during which an Accused Student is given the opportunity to modify unacceptable behavior and/or to complete specific assignments in an effort to regain full student privileges within the residence hall in which the Student resides. Residence hall probation may include restrictions and/or conditions on the exercise of residence hall activities and privileges. Periodic contact with a designated member of the residence hall staff or professional may be required. If the Accused Student fully complies with the terms and conditions imposed in connection with the residence hall probation,

full residence hall privileges will be restored to the Student upon termination of the probationary period. Failure to comply with the terms and conditions of the probation constitutes prohibited conduct that is separate from and in addition to the conduct for which the probation was imposed. A Student accused of violation of probation will be given due notice and the procedures set forth in this Code shall be followed.

- i. Residence Hall Separation: Separation of the Student from the residence halls for a definite period of time, after which the Student is eligible to return. Conditions for readmission may be specified.
 - j. Residence Hall Expulsion: Permanent separation of the Student from the residence halls.
 - k. Suspension: Suspension is temporary disciplinary separation from all universities among CSCU and the denial of all student privileges. Suspension shall be effective on the date that notice of the suspension is provided to the Accused Student, or later, if so stated in the notice, and shall prescribe the date and conditions upon which the Student may petition for readmission to the University. A Student separated from all universities within CSCU by suspension may under the terms of the suspension be excluded from the premises of all CSCU premises when in the judgment of the suspending authority, the Student's continued presence would constitute a danger to persons or property or a threat to the academic process. Notwithstanding the foregoing, the suspending authority of the suspended Student's home University or his or her designee may authorize a suspended student who has been excluded from all University premises to enter the premises of the student's home University for designated purposes.
 - l. Expulsion: Expulsion is permanent disciplinary separation from all universities within CSCU and the denial of all student privileges. Expulsion shall be effective on the date that notice of expulsion is provided to the Accused Student, or later, if so stated in the notice. A student separated from all universities of CSCU by expulsion may under the terms of the expulsion be excluded from all CSCU Premises when in the judgment of the expelling authority the Student's presence would constitute a danger to persons or property or a threat to the academic process.
2. **Revocation of Admission and/or Degree**: Upon the recommendation of the Hearing Body, admission to or a degree awarded from the University may be revoked by the University, acting through its President (or his or her designee) for fraud, misrepresentation, or other violation of University standards in obtaining admission or the degree.
3. **Consequences of Failure to Comply with a Duly Assigned Sanction**: Failure to comply with sanctions which have been assigned through a formal judicial process may lead to one or more of the following consequences:
- a. Denial of access to certain university services, including, but not limited to housing and parking;

- b. Denial of access to administrative processes, including, but not limited to, course add/drop, pre-registration, registration, and room selection; and/or
- c. Withholding of the privilege of participation in university sponsored activities and/or public ceremonies, or formal disciplinary charges under II.B hereof.

4. Sanctions Which May Be Imposed on Student Organizations

- a. Sanctions: Those sanctions listed in subsections 1.a through f of Section II.D.
- b. Loss of recognition: Loss of recognition for a specified period of time results in the loss of privileges, such as the use of university space, access to student activity fee funding, and/or the privilege of functioning as a student organization. Loss of recognition for more than two (2) semesters shall require that an organization reapply for University recognition. Conditions for future recognition may be imposed by the hearing body.

III. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO COMMUNITY COLLEGE STUDENTS

Procedures for Community College students differ from those procedures applicable to either the Universities or Charter Oak State College. This is due to the environmental, cultural and administrative differences within the types of the institutions comprising CSCU. Procedures for addressing allegations and sanctions regarding academic misconduct (as defined in Section I.D.1 above) for Community College Students as set for in this Section III of the Code.

PART A: DISCIPLINARY PROCEDURES (Academic and Non-Academic Misconduct)

In regard to College Students, the following procedures shall govern the enforcement of the Code:

1. Information that a student may have violated the Code should be submitted to the Dean of Students, Dean of Academic Affairs or other designee of the President (hereinafter referred to as “the Dean”), normally within thirty (30) calendar days of the date of a possible violation or within thirty (30) calendar days of the date that the facts constituting a possible violation were known.
2. Upon receipt of information relating to a possible violation, the Dean may immediately place restrictions on or suspend a student on an interim basis if, in the judgment of the Dean, the continued presence of the student at the College or continued participation in the full range of college activities poses a danger to persons or property or constitutes an ongoing threat of disrupting the academic process.
 - a. “Interim restrictions” are limitations on the Student’s participation in certain College functions and activities, access to certain locations on campus or access to certain persons, that do not prevent the Student from continuing to pursue his/her academic program. A Student upon whom the Dean has placed interim restrictions shall be afforded written reasons for the restrictions, as well as the time period during which the interim restrictions shall apply. The decision of the Dean regarding interim restrictions shall be final.
 - b. “Interim suspension” is the temporary separation of the Student from the College that involves the denial of all privileges, including entrance to College premises. Prior to imposing an interim suspension, the Dean shall make a good faith effort to meet with the Student. At this meeting, the Dean shall inform the Student of the information received and provide the Student an opportunity to present other information for the Dean’s consideration. Based upon the information available at that time, the Dean shall determine whether the Student’s continued presence on campus poses a danger to persons or property or constitutes an ongoing threat of disrupting the academic process. A Student suspended on an interim basis by the Dean shall be provided written reasons for the suspension and shall be entitled to an administrative conference or a hearing as soon as possible, normally within ten (10) calendar days from the date the interim suspension was imposed. The decision of the Dean regarding an interim suspension shall be final.
3. Following the imposition of interim restrictions or interim suspension, if any, the Dean shall promptly investigate the information received by meeting with individuals who may have knowledge of the matter, including the accused Student, and by reviewing all relevant documents.

If upon the conclusion of the Dean's investigation, the Dean determines that there is insufficient reason to believe the Student has committed a violation of any part of Section I.D. of this Policy, the Dean shall dismiss the matter and shall so inform the Student in writing.

4. If upon the conclusion of the Dean's investigation, the Dean determines that there is reason to believe the Student has committed a violation of any part of Section I. D. of this Code and, after considering both the possible violation and the prior conduct record of the Student, that a sanction of less than suspension or expulsion is appropriate, the Dean shall schedule an administrative conference with the Student. The Student shall be given reasonable notice of the time and place of the conference. At the administrative conference, the Student shall have the opportunity to present information for the Dean's consideration. At the conclusion of the administrative conference, the Dean shall determine whether it is more likely than not that the Student has violated the Policy and, if so, impose a sanction less than suspension or expulsion. The Dean shall provide the Student with a written explanation for the determination. The decision of the Dean shall be final.
5. If upon the conclusion of the Dean's investigation, the Dean determines that there is reason to believe the Student has committed a violation of any part of Section I.D. of this Code and, after considering both the violation and the prior conduct record of the Student, that a sanction of suspension or expulsion is appropriate, the Dean shall provide the Student with reasonable written notice of a meeting and shall inform the Student that his/her failure to attend the meeting or to respond to the notice may result in the imposition of the maximum permissible sanction. At the meeting, the Dean shall provide the Student with a written statement that shall include the following:
 - a. a concise statement of the alleged facts;
 - b. the provision(s) of Section I.D. that appear to have been violated;
 - c. the maximum permissible sanction; and
 - d. a statement that the student may resolve the matter by mutual agreement with the Dean, or may request a hearing by notifying the Dean in writing, which must be received by 5:00pm on the following business day.
6. If the Student requests a hearing, he/she is entitled to the following:
 - a. to be heard within five (5) days or as soon as reasonably possible, by an impartial party or panel whose members shall be appointed by the Dean;
 - b. if the Dean appoints an impartial panel, to have a Student on the panel if requested by the Student;
 - c. to appear in person and to have an advisor who not shall attend as a representative of the Student. However, if there is pending at the time of the hearing a criminal matter pertaining to the same incident that is the subject of the hearing, a lawyer may be present for the sole purpose of observing the proceedings and advising the Student concerning the effect of the proceedings on the pending criminal matter;
 - d. to hear and to question the information presented;
 - e. to present information, to present witnesses, and to make a statement on his or her behalf; and
 - f. to receive a written decision following the hearing.
7. As used herein, the term "impartial" shall mean that the individual was not a party to the incident under consideration and has no personal interest in the outcome of the proceedings. Prior to the

commencement of the hearing, the Student who is subject to the hearing may challenge the appointment of an impartial party or panel member on the ground that the person(s) is (are) not impartial. The challenge shall be made in writing to the Dean and shall contain the reasons for the assertion that the person(s) is (are) not impartial. The decision of the Dean shall be final.

8. The written decision of the impartial party or panel shall specify whether, based on the information presented, it is more likely than not that the Student committed the violation(s) reported and shall state the sanction to be imposed, if any. The written decision shall be provided to the Student.
9. Sanctions imposed by an impartial party or panel are effective immediately. The President may, for good cause, suspend imposition of the sanctions imposed by the impartial party or panel to allow the Student time to prepare a written request for review. If a written request is received, the President may continue to suspend imposition of the sanctions until he has reviewed and acted on the Student's request.
10. A written request for review of the decision of the impartial party or panel must be received by the President within three (3) calendar days after the Student is notified of the decision and must clearly identify the grounds for review. The review by the President is limited to the record of the hearing, the written request, and any supporting documentation submitted with the request by the Student. The decision of the impartial party or the panel shall be upheld unless the President finds that:
 - a. a violation of the procedures set forth herein significantly prejudiced the Student; and/or
 - b. the information presented to the impartial party or panel was not substantial enough to justify the decision; and/or,
 - c. the sanction(s) imposed was (were) disproportionate to the seriousness of the violation.
11. Decisions under this procedure shall be made only by the college officials indicated.

PART B: DISCIPLINARY SANCTIONS

The prior conduct record of a Student shall be considered in determining the appropriate sanction for a Student who has been found to have violated any part of Section I.D. of this Code. Sanctions shall be progressive in nature; that is, more serious sanctions may be imposed if warranted by the prior conduct record of the Student.

A "sanction" may be any action affecting the status of an individual as a Student taken by the College in response to a violation of this Policy, and for the purposes of this Section III of the Code include but are not limited to the following:

1. "Expulsion" is a permanent separation from the College that involves denial of all Student privileges, including entrance to College premises;
2. "Suspension" is a temporary separation from the College that involves denial of all Student privileges, including entrance to college premises for the duration of the suspension, and may include conditions for reinstatement;

3. “Removal of College Privileges” involves restrictions on Student access to certain locations, functions and/or activities but does not preclude the Student from continuing to pursue his/her academic program;
4. “Probation” is a status that indicates either (a) serious misconduct not warranting expulsion, suspension, or removal of College privileges, or (b) repetition of misconduct after a warning has been imposed;
5. A “Warning” is a written notice to the Student indicating that he or she has engaged in conduct that is in violation of Section I.D. of this Code and that any repetition of such conduct or other conduct that violates this Code is likely to result in more serious sanctions;
6. “Community Restitution” requires a Student to perform a number of hours of service on the campus or in the community at large.

IV. CONDUCT AND DISCIPLINARY PROCEDURES APPLICABLE TO CHARTER OAK STATE COLLEGE STUDENTS

Procedures for Charter Oak State College students differ from those procedures applicable to either the Community Colleges or the Universities. This is due to the environmental, cultural, and administrative differences within the types of the institutions comprising CSCU. Procedures for addressing allegations and sanctions regarding academic misconduct (as defined in Section I.D.1 above) for Charter Oak State College Students as set for in this Section IV of the Code.

PART A: RIGHTS AND RESPONSIBILITIES OF HEARING PARTICIPANTS

Hearing participants may include the accused student(s), a complainant, witnesses, support person(s), and the members of the hearing body.

The complaining party, any alleged victim, and the student who has been charged shall each have the right to:

1. Be notified of all charges.
2. Review any written complaint(s) submitted in support of the charge(s).
3. Be informed of the hearing process.
4. Request a delay of a hearing due to extenuating circumstances.
5. Be accompanied by an advisor or support person during the hearing.
6. Be present at all stages of the hearing process except during the private deliberations of the hearing body.
7. Submit a written statement regarding the incident.
8. Give a personal statement.
9. Question all statements and other information presented at the hearing.
10. Present information and witnesses when deemed appropriate and relevant by the hearing body.
11. Be informed of the finding(s) as well as any sanctions imposed.
12. Present a personal or community impact statement to the hearing body upon a finding of "Violation."

In addition to the above-mentioned rights, a student who has been charged with a violation of the Student Code of Conduct shall have the right to:

1. Be notified of the proposed information to be presented and to know the identity of witnesses who have been called to speak at the hearing when the Chair of the disciplinary hearing knows such information.
2. Request an alternate hearing panel member when there is reasonable cause to believe that the hearing panel will be unable to conduct an impartial hearing.
3. Be presumed not to be in "violation" of the code unless the facts presented at the hearing prove otherwise.
4. Deny or admit violating the Code of Conduct.
5. Decline to give a personal statement.
6. Present Character Witnesses, if appropriate.
7. Receive a written notice of the sanction(s) imposed.

PART B: DISCIPLINARY PROCEDURES

The Administration and the Faculty of Charter Oak State College believe that all members of the academic community are entitled to expect compliance with Section I.D.1 Prohibited Conduct. Accordingly, any Student or employee of the College may initiate a disciplinary process in the manner specified by this section. Once the process has been initiated, all subsequent decisions concerning possible discipline of a Student or students rest with the appropriate College officials. The President shall designate the Provost or another College official to have responsibility for the disciplinary procedures.

1. A statement of possible violation must be filed in writing with the Provost within thirty (30) business days of the date of the alleged violation or within thirty (30) business days of the date the alleged violation was known. Said statement must specify the Student conduct in question and the part or parts of Section I.D.1 Prohibited Conduct, which it is alleged said conduct violates, if applicable.
2. If the Provost determines that the alleged conduct may violate the provisions of the Code or otherwise threatens the safety or order of the College, the Provost shall, within ten (10) business days of receiving a written statement, provide written notice to the Student of the statement of possible violation(s) and the fact that the allegations will be investigated. The investigation shall be conducted by the Provost and/or his or her designee(s), and may include but not be limited to interviews with witnesses, the complainant(s), and review of any pertinent materials and information, and shall include an interview with the Student suspected in engaging in misconduct conduct unless the Student suspected declines to be interviewed. The investigation shall be completed within thirty (30) business days of the Provost's receipt of the written statement of possible violation. A record of the investigations will be maintained.
3. Following completion of the informal investigation specified above, the Provost will (a) determine that there is insufficient basis in fact and dismiss the matter or (b) conclude that there is a sufficient factual basis for discipline.
4. If the Provost determines there is a sufficient factual basis for moving forward with disciplinary proceedings, he or she shall cause a written statement of charges to be provided to the Student. Said statement shall contain (a) a concise statement of the facts on which the charge is based; (b) a citation of the rule or rules alleged to have been violated; (c) a statement of the maximum penalty sought; (d) a statement that the Student may request a hearing by responding in writing to the Provost within thirty (30) business days requesting such hearing; and (e) a statement that failure to request a hearing may result in imposition of the penalty sought.
5. If the Student requests a formal hearing, the Student is entitled to the following: (a) a hearing be conducted within thirty (30) business days after receipt by Provost of a written request for a hearing; (b) to be heard by an impartial panel chaired by the Dean of the Faculty or his/her designee and composed of no fewer than two members of the Charter Oak State College Faculty, one appointed by the Dean of the Faculty and one Student appointed by the Student Association; (c) to appear in person or through a conference call or other mutually agreed upon electronic means, or to have a representative attend on his/her behalf; (d) be accompanied by a support person during the hearing; (e) to hear and have a reasonable opportunity to question adverse witnesses and to present evidence and testimony in his/her behalf; and (f) to receive a written decision within ten (10) business days following the hearing specifying the panel's

findings and the penalty assessed, if any. The hearing shall be taped and a record shall be maintained of this hearing.

6. Hearing: A hearing shall be conducted following the guidelines specified below:

On-site:

- a. A hearing shall be conducted in private.
- b. Admission of any person into the hearing room shall be at the discretion of the chair of the hearing body. The chair, who is the Dean of the Faculty or his/her designee, shall have the authority to discharge or to remove any person whose presence is deemed unnecessary or obstructive to the proceeding.
- c. Except as directed by the chair, support persons shall limit their role in a hearing to that of a consultant to the accused, to the complainant or to the victim.
- d. The complainant and the accused are responsible for presenting their respective witnesses, any additional information, and any concluding statements regarding the charges and the information.
- e. In a manner deemed appropriate by the chair, the complainant and/or the accused may question the statements of any person who testifies.
- f. The hearing panel may question any witness presented by the accused and the complainant, including the complainant and the accused as well as any other witnesses the chair may choose to call.
- g. Pertinent records, exhibits, and written statements may be accepted as information for consideration by the Hearing Body at the discretion of the chair.
- h. All procedural questions are subject to the final decision of the chair or the Provost.
- i. After the hearing has concluded, the hearing panel, in private, will decide whether the Student charged with misconduct is in violation of the Student Code of Conduct. The Dean of the Faculty or his/her designee may participate in the discussion, but is a non-voting member. Only evidence introduced at the hearing shall be considered in the determination of the decision. Each decision shall be made on the basis of whether or not the information presented at the hearing substantiates the charges in a more likely than not manner.
- j. If the panel finds that the student violated the Student Conduct Code, the panel, in private, shall review the Student's academic transcript and disciplinary record, hear a character witness, if appropriate, and impose the appropriate sanction (s). The decision of the panel will be provided in writing to the Provost. The decision and sanction will be sent to the student in writing by the Provost.
- k. A taped record of the hearing will be maintained. The record shall be the property of the College.

Via the Phone:

- a. For the accused or complainant who cannot attend in person, Charter Oak State College will set up a conference call.
- b. Twenty-one (21) business days before the hearing, the Provost must receive all materials to be presented by the accused and by the complainant, including the names and relationships of the character witnesses and support persons. The Provost will send copies of the materials to the hearing panel, the accused, and

- the complainant at least seven (7) business days before the hearing. The Provost will arrange for the conference call.
- c. The procedures outlined in the "on- site" section will be followed, unless they specifically apply only to the on-site hearing.
 - d. Within ten (10) business days of the conclusion of the formal hearing, a Student may appeal the decision, in writing, to the President. An appeal shall be limited to a consideration of the verbatim record of the hearing and supporting documents for one or more of the following: a.) the process set forth in the guidelines was not followed and resulted in prejudice to the Student; b.) the evidence presented was insufficient to justify the decision; and c.) sanction(s) imposed was/were disproportionate to the gravity of the offense. The President may accept the decision of the hearing panel, overturn their decision, return the matter to the original hearing panel, or appoint a new hearing panel. The decision of the original hearing panel or the new hearing panel or the President will be sent to the Student in writing by the President and will be final.
 - e. During any appeal period, any sanctions will remain in place and the Student will not be allowed to participate in a graduation ceremony nor graduate until the review process has been completed and a final decision rendered.

PART C: INTERIM ADMINISTRATIVE ACTION

The President or his/her designee may impose an interim "College Suspension" and/or other necessary restrictions on a Student prior to a hearing on the Student's alleged violation. Such action may be taken when, in the professional judgment of the President or his/her designee, a threat of imminent harm to persons or property exists.

Interim Administrative Action is not a sanction. Rather, it is an action to protect the safety and well-being of an accused Student, or other members of the College community, or greater community or to protect property. Such action is in effect only until a hearing is completed.

PART D: DISCIPLINARY SANCTIONS

Disciplinary penalty shall mean any action affecting the status of an individual as a Student taken by the College in response to a Student's misconduct in violation of Section I.D.1 Prohibited Conduct above, which penalties shall include but not be limited to:

1. Warning - A written notice that the Student has violated College Policy and a warning that another violation will likely result in a more severe sanction.
2. Restitution - Compensation for loss of or damage to property.
3. Academic Sanctions
4. Suspension - Suspension is a temporary disciplinary separation from the College involving denial of all Student privileges, including entrance to College premises. A notation of "suspension" will be placed in the Student database but will not be placed on the Student transcript. Charter Oak State College will not accept credits earned at another institution or through any other means during a period of suspension. Suspensions shall range from one semester to two years.
5. Students who are suspended will receive no refund of tuition or fees.
6. A Student who has been suspended must apply for re-matriculation if he/she plans to return.
7. Expulsion - Expulsion is mandatory separation from the College involving denial of all Student privileges, including entrance to college premises for a minimum of 5 years.

After the length of the term for expulsion has expired, the Student may request in writing directed to the Provost permission to re-matriculate. That permission must indicate why Charter Oak State College should allow the Student to return.

8. Charter Oak State College will not accept credits earned at another institution or through any other means during a period of expulsion. A permanent notation of "Dismissed" shall be placed on the Student's transcript.

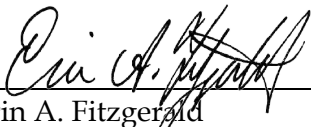
Failure to comply with requirements of Restitution or Academic Sanctions above will result in dismissal from the institution. The Student must complete the requirements of the sanction before he/she would be allowed to apply for readmission and/or graduate.

CT BOARD OF REGENTS FOR HIGHER EDUCATION
RESOLUTION
concerning
TUITION AND FEE REFUND POLICY
TO ALIGN LANGUAGE WITH ACADEMIC CALENDAR

June 16, 2016

- WHEREAS, Pursuant to the provisions of Section 10a-6 of the Connecticut General Statutes, "...the Board of Regents for Higher Education shall: ...(3) establish state-wide tuition and student fee policies," and
- WHEREAS, The components of both the Academic Year Housing Contract and the Non-Academic Year Housing Contract refund policies are stated in the resolution as passed on December 18, 2014, and
- WHEREAS, All Universities have now adopted the Academic Year Housing Contract and refund policy as stated in the resolution dated December 18, 2014, and
- WHEREAS, This amendment clarifies the language describing the proration of charges in the event of withdrawal, and the defined time periods when proration is applicable, and
- WHEREAS, The majority of courses offered at the Universities are scheduled for 8 weeks or more; therefore, this amendment aligns the refund policy to be on the same refund schedule for all such courses, and
- WHEREAS, This amendment updates the Universities part-time refund policy for courses that meet for less than eight weeks to be prorated based on calendar days into the term, consistent with the methodology used in the remainder of the policy, and be it
- WHEREAS, This amendment incorporates the refund policies of the Connecticut Community Colleges and Charter Oak State College into a unified Board of Regents Tuition and Fee Refund Policy.
- RESOLVED, The Connecticut State University System Board Resolution Housing and Board Fee Refund Policy dated December 18, 2014 is hereby rescinded, and
- RESOLVED, The Connecticut State University System Board Resolution Tuition and Fee Refund Policy dated December 18, 2014 is hereby rescinded, and
- RESOLVED, The Connecticut Community College Board Resolution Refunds of Tuition and Fees dated December 16, 2002 is hereby rescinded, and
- RESOLVED, The Tuition and Fee Refund Policy attached herein is effective for the 2016-2017 academic year forward.

A True Copy:



Erin A. Fitzgerald
Secretary

CSCU TUITION & FEE REFUND POLICY – APPROVED 6/16/16 For the purpose of this policy, a school term may be defined as the period of time with specific start and end dates, during which the University or College holds classes. Multiple terms of different lengths may exist within any given semester or intersession. This policy is comprehensive while distinct for each of the Connecticut State Universities (“CSU”), the Connecticut Community Colleges (“CCC”), and Charter Oak State College (“COSC”).

All refunds will be made automatically upon formal withdrawal from an institution.

CSU REFUNDS

TUITION/FEE	TIME DUE	CSU REFUND
Application Fee	Upon Submission of Application	<ul style="list-style-type: none"> • Non-refundable
Confirmation Deposit (UG/G) \$200 (applied to Tuition/Fees)	May 1 or within 15 days of invoicing thereafter	<ul style="list-style-type: none"> • Non-refundable
Re-registration Fee	Upon re-registration	<ul style="list-style-type: none"> • Non-refundable
Full-time Tuition and Fees	Fall Semester <u>not later than</u> August 1 Spring Semester <u>not later than</u> January 2	<ul style="list-style-type: none"> • Upon withdrawal from the University up to, but not including, the first day of the term, as defined by the published university calendar, 100% of the term charges will be cancelled; • 90% of the term charges will be cancelled during the first week of the term, • 60% of the term charges will be cancelled during the second week of the term, • 40% of the term charges will be cancelled during the third and the fourth weeks of the term, • No cancellation of charges after the fourth week of the term.

Housing Deposit \$250	On or before April 1 for returning students and on or before May 1 for new students, with specific date to be established annually. Dates will be no less than 30 days prior to the dates shown above (April 1 and May 1).	<ul style="list-style-type: none"> • Non-refundable
Housing Fee (applies to students who withdraw from university)	<p>Academic year contract to be paid in two installments:</p> <p>Fall Semester <u>not later than</u> August 1</p> <p>Spring Semester <u>not later than</u> January 2</p>	<ul style="list-style-type: none"> • Upon withdrawal from the University, the housing refund will mirror the University refund policy for tuition and fees: • 100% of the term charges will be cancelled upon withdrawal from the University up to, but not including, the first day of the term as defined by the published university calendar, • 90% of the term charges, will be cancelled during the first week of the term, • 60% of the term charges, will be cancelled during the second week of the term, • 40% of the term charges, will be cancelled during the third and fourth weeks of the term, • No cancellation of charges after the fourth week of the term.
Housing Fee (applies to students who remain enrolled but withdraw from university housing)		<ul style="list-style-type: none"> • Upon withdrawal from University housing up to and including June 30, 100% of the housing charges will be removed from the student's account. • No cancellation of charges for students who withdraw from housing on or after July 1 (academic year) or December 1 (spring term – for

		those students who plan to enter housing for the first time in spring), unless otherwise approved through a review process to be established by each university.
Housing Contract Cancellation	<p>A. Students who wish to cancel their Housing Contract/Assignment must do so in writing by adhering to the Housing Withdrawal process for their respective University.</p> <p>B. Students who request to cancel their Housing Contract/Assignment will be released for the following reasons:</p> <ul style="list-style-type: none"> • The student is participating in an internship, co-op, study abroad, student teaching, or other academic obligation that reduces or eliminates the need for on-campus housing. • The student has medical reasons for cancellation that are verified by the appropriate university department. • The student has graduated from the University before the end of the contract period. • The student is academically suspended before the end of the contract period. • The student has officially withdrawn, or taken an official leave of absence, from the University. <p>C. Students who request a Housing Contract Cancellation for reasons other than those noted in section B will have their Housing Cancellation request reviewed through a process to be established by each University.</p> <p>D. Students who are approved to have their Housing Contract cancelled for reasons other than those noted in section B, will forfeit the Housing Deposit that they have paid if their cancellation is before or during their initial contracted term of occupancy.</p> <p>E. Students who are not approved to have their Housing Contract cancelled shall remain responsible for the fees associated with the duration of their Housing Contract and retain the right to occupy their assigned room.</p> <p>F. Students who have their Housing Contract cancelled for the</p>	

	<p>convenience of the university will not be required to pay any housing fee associated with the contract period.</p> <p>G. Students who have their Housing Contract cancelled for judicial/disciplinary reasons will be responsible for paying for the duration of the semester in which their contract was cancelled and are not entitled to a refund.</p>	
Housing Contract Cancellation Review Process	<p>A. The Vice President for Student Affairs (or Vice President to whom Residence Life reports) at each university will establish a process to review and decide upon student requests to cancel their housing contract when the student does not meet any of the conditions identified in B above and the student requests relief from their obligation to pay the full academic-year housing fee.</p> <p>B. Under the process, each university may define conditions under which it will waive or refund any portion of the housing fee, with the exception of the housing deposit. In cases where the Committee agrees to cancel the housing contract during the fall term (or first term of occupancy), the student forfeits their housing deposit.</p>	
Food Service Fee	<p>Fall Semester <u>not later than</u> August 1</p> <p>Spring Semester <u>not later than</u> January 2</p>	<ul style="list-style-type: none"> Meal portion of fee refundable, on a prorated basis, upon withdrawal from the University; or upon withdrawal from University housing at the request of the student and contingent upon the concurrence of the University. The discretionary cash component of the food service fee, if any, will be refunded according to procedures established at each University.
Part-time Registration Fee	All Terms	<ul style="list-style-type: none"> Non-refundable

Part-time Tuition, General University Fee, and Course Fees	<p>All Terms</p> <p>Courses eight weeks or greater in length</p> <p>Courses three weeks to seven weeks in length</p> <p>Courses less than three weeks in length</p>	<ul style="list-style-type: none"> • 100% of the term charges will be cancelled during the first week of the term, • 60% of the term charges will be cancelled during the second week of the term, • 40% of the term charges will be cancelled during the third and the fourth weeks of the term, • No refund after the fourth week of the term. <ul style="list-style-type: none"> • 100% of the term charges will be cancelled during the first 3 calendar days of the term, • 60% of the term charges will be cancelled during the fourth, fifth, and sixth calendar day of the term, • 40% of the term charges will be cancelled during the seventh, eighth, and ninth calendar day of the term, • No cancellation of charges after the ninth calendar day of the term. <ul style="list-style-type: none"> • 100% of the term charges will be cancelled during the first 2 calendar days of the term, • 60% of the term charges will be cancelled during the third and fourth calendar day of the term, • No cancellation of charges after the fourth calendar day of the term.
Ed.D. Professional Seminar	<p>Summer – four full days, not meeting consecutively</p>	<ul style="list-style-type: none"> • 75% of the term charges will be cancelled within the first 2 calendar days of the term,

		<ul style="list-style-type: none"> • No cancellation of charges thereafter
E-Learning On-Line Fee	Upon Registration	<ul style="list-style-type: none"> • Non-refundable
E-Learning Course Fees	Included within Full-time and Part-time Refund Schedules Above	

CCC REFUNDS

TUITION/FEE	TIME DUE	CCC REFUND
Application / Program Enrollment Fee	Upon Submission of Application. A program enrollment fee shall be charged to all students applying for matriculation into an academic program, except that this fee is not applicable if the student has previously paid the application fee.	<ul style="list-style-type: none"> • Non-refundable
Late Registration Fee	After the deadline prescribed by the college for regular registration.	<ul style="list-style-type: none"> • Non-refundable
Full-time & Part-time Tuition	Tuition is due by the tuition due date at each college, which shall be not earlier than six weeks nor later than three weeks before the first day of classes unless a deferred payment plan is in place.	<ul style="list-style-type: none"> • Upon withdrawal from the College course up to, but not including, the first day of the term, as defined by the published academic calendar, 100% of term charges will be cancelled;
		<ul style="list-style-type: none"> • Withdrawal on the first day of the term and through the fourteenth calendar day of that term, 50% of term charges will be cancelled.
		<ul style="list-style-type: none"> • A reduction in load on the first day of the term and through the fourteenth calendar day of that term, 50% of applicable term charges will be cancelled.
		<ul style="list-style-type: none"> • No cancellation of charges after the fourteenth calendar day of the term.

		<ul style="list-style-type: none"> Students entering the armed services; being relocated by the military; or deployed, before earning degree credit will have 100% of term charges cancelled upon submitting notice in writing and a certified copy of enlistment papers
College Services and Student Activity Fee	Upon registration	<ul style="list-style-type: none"> Non-refundable except when course sections are cancelled by the college
Mandatory Clinical Fee	Due date established by college	<ul style="list-style-type: none"> Non-refundable
Mandatory Laboratory and Studio Fee	Due date established by college	<ul style="list-style-type: none"> Refundable according to the same terms as the applicable tuition or extension fee refund and when the course is cancelled by the college
Replacement ID Fee	Due date established by college	<ul style="list-style-type: none"> Non-refundable
Academic Evaluation Fee	Due date established by college	<ul style="list-style-type: none"> Non-refundable
Portfolio Fee	Due date established by college	<ul style="list-style-type: none"> Non-refundable
Extension Credit Fees	All students registering for extension funded courses must pay at the time of registration. Request for withdrawal must be received no later than the end of the last regular business day before the first course meeting	<ul style="list-style-type: none"> Withdrawal by the last business day before the first class meeting, 100% of the Extension Credit fee charges will be cancelled.
		<ul style="list-style-type: none"> No refunds for withdrawals after the last business day before the first class meeting

COSC REFUNDS

TUITION/FEE	TIME DUE	COSC REFUND ¹
Full-time & Part-time Tuition	Reference the online academic calendar and registration policies section of the COSC student portal	<ul style="list-style-type: none"> • Upon withdrawal from the College course up to, but not including, the first day of the term, as defined by the published academic calendar, 100% of the amount paid will be re-funded;
		<ul style="list-style-type: none"> • Withdrawal from full semester courses within thirteen days from the start of classes, a refund of 50% of the total tuition charges will be granted.
		<ul style="list-style-type: none"> • Withdrawal from term courses within seven days from the start of classes, a refund of 50% of the total tuition charges will be granted.
		<ul style="list-style-type: none"> • Withdrawal from session courses within five days from the start of classes, a refund of 50% of the total tuition charges will be granted.
		<ul style="list-style-type: none"> • No refund of charges outside of the parameters outlined above.
Fees		<ul style="list-style-type: none"> • Non-refundable
¹ Administrative withdrawals are not eligible for a tuition refund		

REFUND OF FEDERAL FUNDS

This refund policy excludes the effect of the return of Title IV funds. Students receiving Federal aid should consult with their University or College Financial Aid office prior to withdrawal in order to determine the financial impact that the return of Title IV funds will have upon the student.

In accordance with the Higher Education Amendments of 1998 (Public Law 105-244), the Federal government mandates that students receiving Title IV assistance who withdraw from all classes may only keep the financial aid they have “earned” up to the time of withdrawal. Title IV funds that were disbursed in excess of the earned amount must be returned by the University or College and/or the student to the Federal government. This could result in the student owing funds to the University or College, the government, or both. The amount of unearned aid to be returned is based on the percentage of enrollment period completed.

Federal regulations require that all refunds be restored to Federal programs in the following priority sequence:

1. Unsubsidized Federal Stafford Loans
2. Subsidized Federal Stafford Loans
3. Unsubsidized Federal Direct Stafford Loans
4. Subsidized Federal Direct Stafford Loans
5. Federal Perkins Loans
6. Federal PLUS Loans received on behalf of the student
7. Federal Direct PLUS received on behalf of the student
8. Federal Pell Grants
9. Federal SEOG Program Aid
10. Other grant or loan assistance authorized by title IV of the HEA

After obligations to the above are satisfied, funds will then be returned to:

11. Other State, Private, or Institutional Assistance
12. Student

REFUNDS OF TUITION AND FEES UNDER UNUSUAL CIRCUMSTANCES

Under circumstances beyond the control of the student or in cases where attendance has been denied by the institution, the University or College President may authorize the deferment or waive the collection of the admissions and/or housing deposit, as well as the refunding of tuition and fees otherwise designated as non-refundable.

RESOLUTION

concerning

FY2016-17 INSTITUTIONAL
AUTHORIZED EXPENDITURE LEVELS
FOR

THE CONNECTICUT STATE COLLEGES AND UNIVERSITIES
June 16, 2016

- WHEREAS, Pursuant to the provisions of Section 10a-8 of the Connecticut General Statutes, "...the Board of Regents for Higher Education shall be deemed the budgeted agency for the Connecticut State University System, the regional community-technical college system and Charter Oak State College. The Board of Regents for Higher Education shall develop a formula or program-based budgeting system to be used by each institution in preparing operating budgets.;..." and
- WHEREAS, Each college, university and the System Office submits a fiscal year budget proposal to the Board of Regents for its approval, and
- WHEREAS, Each college, university and the System Office has submitted budgets for FY 2016-17 to the Board of Regents which are summarized in Attachment A, and
- WHEREAS, The Board of Regents has reviewed and discussed the budget proposals with the institutions' Presidents and the BOR President, and finds the FY 2016-17 budgets appropriate to the System's present fiscal circumstances, and
- WHEREAS, The Board herein approves an overall spending level for all funds except for federal and private grants, bond funds, and intra/inter agency funds, and
- WHEREAS, Each President commits to adhere to the approved budget plan, maintaining expenditure control within the spending caps established, and
- WHEREAS, \$14.5 million additional spending reductions are required due to the State holdbacks announced on June 8, 2016 and the estimated fringe benefit impact of such holdbacks, and
- WHEREAS, The institutions will be asked to employ contingency plans for FY 2016-17 as discussed during their preliminary budget hearings to balance their budgets accordingly, and
- WHEREAS, While expenditures are authorized up to the limit of the budgets summarized in Attachment A, the System may be subject to further reductions, therefore be it

- RESOLVED, That Presidents are encouraged to seek further economies in their operations during FY 2016-17, and be it further
- RESOLVED, That the Board of Regents approves the FY 2016-17 budgets as summarized in Attachment A, subject to modifications required to spending plans in order to cover the estimated \$14.5 million impact of holdbacks, and
- RESOLVED, That the Board of Regents shall request that each institution and the System Office submit a progress report on their budgets during the year.

A True Copy:

A handwritten signature in black ink, appearing to read "Erin A. Fitzgerald", written over a horizontal line.

Erin A. Fitzgerald
Secretary

Attachment D to 6/16/16 BOR Minutes

Connecticut State Colleges & Universities CONSOLIDATED FY2016-17 Operating Budget

ATTACHMENT A to FY 16-17 Budget Resolution

	TOTAL REVENUE	PS	FRINGE	OTHER EXPENSES	TOTAL EXPENDITURES	DEBT SERVICE	OTHER TRANSFERS	TRANSFERS IN / OUT	ADDITIONAL FUNDS	NET
State Universities										
Central Connecticut State University	234,741,892	104,906,238	59,850,740	57,774,739	222,531,717	(11,949,856)	(672,051)	n/a	411,732	-
Eastern Connecticut State University	136,137,331	58,228,541	36,689,907	33,361,799	128,280,247	(6,943,217)	(1,325,599)	n/a	411,732	-
Southern Connecticut State University	223,350,000	102,865,719	61,090,774	50,549,370	214,505,863	(9,228,584)	-	n/a	411,732	27,285
Western Connecticut State University	126,586,123	59,914,114	32,918,060	30,578,387	123,410,561	(5,458,188)	1,870,894	n/a	411,732	-
CSU System Office	11,564,470	4,732,544	2,517,380	4,314,546	11,564,470	-	-	n/a	-	-
State Universities Total	732,379,816	330,647,156	193,066,861	176,578,841	700,292,858	(33,579,845)	(126,756)	-	1,646,928	27,285
Community Technical Colleges										
Asnuntuck Community College	19,388,072	10,002,203	6,362,401	2,961,194	19,325,798	n/a	n/a	(305,268)	242,994	-
Capital Community College	32,862,001	17,402,969	10,180,737	5,102,394	32,686,100	n/a	n/a	(707,227)	531,326	-
Gateway Community College	60,829,998	31,498,372	18,382,954	10,636,314	60,517,640	n/a	n/a	(1,428,242)	1,115,884	-
Housatonic Community College	41,754,275	21,452,375	11,800,000	8,272,012	41,524,387	n/a	n/a	(1,004,970)	775,082	-
Manchester Community College	55,173,085	29,262,483	18,315,160	7,450,367	55,028,010	n/a	n/a	(1,211,545)	1,066,470	-
Middlesex Community College	24,586,744	12,745,283	7,248,678	4,477,736	24,471,697	n/a	n/a	(537,356)	422,309	-
Naugatuck Valley Community College	58,965,559	31,016,621	19,909,522	7,730,172	58,656,315	n/a	n/a	(1,314,231)	1,030,047	25,060
Northwestern Community College	16,291,863	8,821,333	5,541,076	1,877,638	16,240,047	n/a	n/a	(248,707)	196,891	-
Norwalk Community College	49,410,971	26,940,701	14,310,465	7,899,546	49,150,712	n/a	n/a	(1,174,413)	914,154	-
Quinebaug Valley Community College	16,768,570	8,727,441	5,151,051	2,816,309	16,694,801	n/a	n/a	(335,101)	261,332	-
Three Rivers Community College	33,770,969	17,463,405	10,553,767	5,460,759	33,477,931	n/a	n/a	(830,137)	642,379	105,280
Tunxis Community College	34,871,881	18,540,827	11,540,515	4,637,943	34,719,285	n/a	n/a	(776,636)	624,040	-
CCC System Office	15,743,470	12,513,726	5,791,557	6,443,806	24,749,089	n/a	n/a	10,005,619	(1,000,000)	-
Community Technical College Total	460,417,458	246,387,739	145,087,883	75,766,190	467,241,812	-	-	131,786	6,822,908	130,340
Charter Oak State College	16,952,063	9,284,830	4,968,827	2,698,406	16,952,063	-	-	-	-	-
Board of Regents	720,553	460,375	274,163	-	734,538	-	-	13,985	-	-
Total Board of Regents for Higher Education	1,210,469,890	586,780,100	343,397,734	255,043,437	1,185,221,271	(33,579,845)	(126,756)	145,771	8,469,836	157,625

ITEM

Approval of FY 2017 Budget for the Connecticut State Colleges & Universities.

BACKGROUND

The seventeen institutions, as well as the System Office, provided individual, preliminary budgets to the Finance & Infrastructure Committee over three days of budget hearings, May 11 – 13, 2016. Budgets were prepared at the time assuming the Governor's Proposed Budget.

The state's budget as included herein is updated from our hearings as the final budget has been approved. In addition, there have been minor modifications by the institutions as more information has become available over the last few weeks. The attached financial data also reflects current, updated estimates for FY16 performance.

For purposes of comparison, the following is the FY16 Final Appropriations, the FY17 Governor's Proposed Budget (used for the preliminary budgets at the budget hearings), and the FY17 Final Budget signed on June 2, 2016:

<u>Account</u>	FY16 Final Appropriation	FY17 Governor's Proposed Budget	FY17 Final Budget
Workers' Comp Claims	3,813,269	3,569,149	3,571,674
Charter Oak State College	2,689,733	2,550,845	2,424,330
Comm Tech College System	161,936,815	160,430,714	161,446,565
Connecticut State University	162,485,488	152,219,454	153,640,756
Board of Regents	524,777	530,802	446,390
Transform CSCU (CCC Tuition Support)	10,000,000		
Transform CSCU (Developmental Services)	8,602,092	10,179,000	9,469,836
Outcomes Based Funding		2,356,250	1,662,925
Agency Total - General Fund	341,450,082	331,836,214	332,662,476

When considering the impact of fringe benefits where applicable, and splitting the accounts appropriately among the receiving constituents, the impact of the final FY Budget compared to the final appropriations of FY16 total approximately \$25M, broken down as follows:

	FY16 vs FY17
CCC	(10,227,476)
CSU	(15,754,710)
COSC	(475,071)
Workers Comp	(241,595)
Outcomes Based Funding	1,662,925
	(25,035,928)

The allocations to each institution of General Fund and Developmental Services appropriations have been aligned with the budget above. The CSUs and CCCs have been allotted an increase in general fund, while all other line items (including COSC) have been decreased. The working

group associated with the Task Force Concerning Outcomes-Based Financing will be convened to develop an appropriate plan for the program, including distribution of the related funds, consistent with the Task Force's findings.

During our budget hearings, each institution responded to the following questions:

1. What assumptions have you used in your balanced budget scenario besides those provided in the instructions?
2. Do you assume there are any shared services in order to balance your budget, either system-wide or among fewer sister institutions?
3. What support services might you require or desire from the System to ensure you have adequate resources to manage your institution?
4. Any other information you think is pertinent to this preliminary budget.

There were few comments concerning desired system-wide services, however there has been a recurring theme of required support in the following areas: (1) financial aid, (2) purchasing and contracts, and (3) human resources (included benefit programs and compliance reporting). Accordingly, the system will convene working groups comprising representatives from the institutions and system office to further study the viability and benefits of such consolidated services. It is most likely that any benefits will not be realized until FY18, however as discussed during the budget hearings we expect fiscal constraints to worsen, not improve.

ANALYSIS

FY16 Estimated Results

Since the mid-year review was performed a few significant factors have impacted our results positively:

- The mid-year plan included a rescission of \$1.6M to the CSUs, which was subsequently reversed, and instead there was a sweep of \$1.8M of CSU reserves. This improved the current year's projected results considerably as the \$1.6M also carries fringe benefits.
- This same improvement to the current year results has negatively impacted Unrestricted Net Position which is included herein at Attachment I.
- There was a hiring freeze implemented in the third quarter which preserved funds in FY16; this allowed the institutions to establish modest reserves going into FY17 which we believe will be a considerable challenge.

These actions supported a 0.7% savings to unrestricted net position, which will in turn support our efforts to balance in FY17, as follows:

	FY16 Projections		
	Projected Revenues	Projected Net Results	%
Connecticut State Universities	725,739,006	5,264,384	0.7%
Connecticut Community Colleges	455,928,217	3,347,297	0.7%
Charter Oak State College	15,904,856	(610,450)	-3.8%
BOR	843,165	-	
CSCU Consolidated	<u>1,198,415,244</u>	<u>8,001,231</u>	0.7%

FY17 Budget

Changes from our preliminary budget presented to the Finance Committee are generally not significant. The few changes that are noteworthy are as follows:

Connecticut State Universities

- The additional state appropriations compared to the preliminary budget has afforded the CSUs to (1) set aside contingencies to support the institutions and/or potential for reductions in appropriations, and (2) in the case of WCSU, improve the negative results from \$3.6M to \$2.2M in this final submitted budget.
- Savings realized from the FY16 hiring freeze that roll into FY17 have been redeployed to fund contingency reserves, auxiliary equipment reserves, and an energy conservation and compliance program.
- CSUs have budget upside potential as we have been conservative in enrollment projections, and concerted efforts are underway to improve enrollment/retention. For example:
 - ECSU has employed predictive measures to allow for intervention with students deemed at risk; this has already improved retention metrics and are expected to continue that trend.
 - WCSU has led a study on out-of-state student enrollment for the CSUs and we expect to bring a pilot to the Committee for consideration at the next (August 2016) meeting.

Connecticut Community Colleges

- Three Rivers CC has forecasted an enrollment decline of 8% instead of the 5% decline used for the preliminary budget. This was for a more conservative view; costs have been reduced accordingly to arrive at a balanced budget. Should enrollment result in something better than an 8% decline, the college will be able to relax some of the measures taken to balance the budget, such as fill open position(s).

Charter Oak State College

- The COSC state appropriation has been reduced compared to the preliminary budget by about \$125K, plus the impact of fringe benefits. Management has accordingly reduced spending on personnel in order to balance the budget.

Budget Challenges

As discussed in the preliminary budget hearings in May, we recognize our considerable challenges, not only in FY17, but also into the next biennium. We are taking the following measures, among several others, to prepare for upcoming conditions:

- Working Groups for cost saving initiatives
 - To convene separate groups to plan and implement cost-saving ideas, focusing initially on those identified above: (1) financial aid, (2) purchasing and contracts, and (3) human resources (included benefit programs and compliance reporting).
 - Groups will consist of expert, institutional personnel, presidents, and System Office support staff.

- Enrollment strategies
 - ACC this meeting is presenting a pilot proposal designed to capture students from across the border (Massachusetts).
 - WCSU will be leading a presentation on behalf of the CSUs to also attract more students from out of state.
 - SCSU and HCC are collaborating on a plan which will send automatic acceptance letters for HCC to students who applied for, but are not quite ready for, acceptance to SCSU.
 - Marketing funds have been budgeted to conduct a system-wide brand-awareness program, support retention and recruitment efforts.
- Continue to carefully scrutinize the need for new-hires, particularly in light of budget challenges anticipated in the next biennium.

CONCLUSION AND RECOMMENDATION

We believe that the FY 2017 Budget presented is achievable while also somewhat challenging. We have taken a very realistic position on enrollments in the next year, and several institutions believe that they may have some upside opportunity.

We request approval by the Committee for the budget presented in Attachment A herein.

Attachments:

For Approval

A – FY 17 Budget

For Information

B – FY16 Budget

C – FY16 Projection

D – CSCU Consolidated FY17 Budget and Comparatives

E – CSU Institutional FY17 Budget and Comparatives

F – CCC Institutional FY17 Budget and Comparatives

G – Institutional Enrollment

H – Financial Aid – Federal, State, Private, and Institutional

I – Unrestricted Net Position Estimate

J – CSCU Personnel Schedule

Connecticut State Colleges & Universities
CONSOLIDATED
FY2016-17 Operating Budget

	TOTAL REVENUE	PS	FRINGE	OTHER EXPENSES	TOTAL EXPENDITURES	DEBT SERVICE	OTHER TRANSFERS	TRANSFERS IN / OUT	ADDITIONAL FUNDS	NET
State Universities										
Central Connecticut State University	234,741,892	104,906,238	59,850,740	57,774,739	222,531,717	(11,949,856)	(672,051)	n/a	411,732	-
Eastern Connecticut State University	136,137,331	58,228,541	36,689,907	33,361,799	128,280,247	(6,943,217)	(1,325,599)	n/a	411,732	-
Southern Connecticut State University	223,350,000	102,865,719	61,090,774	50,549,370	214,505,863	(9,228,584)	-	n/a	411,732	27,285
Western Connecticut State University	126,586,123	59,914,114	32,918,060	30,578,387	123,410,561	(5,458,188)	1,870,894	n/a	411,732	-
CSU System Office	11,564,470	4,732,544	2,517,380	4,314,546	11,564,470	-	-	n/a	-	-
State Universities Total	732,379,816	330,647,156	193,066,861	176,578,841	700,292,858	(33,579,845)	(126,756)	-	1,646,928	27,285
Community Technical Colleges										
Asnuntuck Community College	19,388,072	10,002,203	6,362,401	2,961,194	19,325,798	n/a	n/a	(305,268)	242,994	-
Capital Community College	32,862,001	17,402,969	10,180,737	5,102,394	32,686,100	n/a	n/a	(707,227)	531,326	-
Gateway Community College	60,829,998	31,498,372	18,382,954	10,636,314	60,517,640	n/a	n/a	(1,428,242)	1,115,884	-
Housatonic Community College	41,754,275	21,452,375	11,800,000	8,272,012	41,524,387	n/a	n/a	(1,004,970)	775,082	-
Manchester Community College	55,173,085	29,262,483	18,315,160	7,450,367	55,028,010	n/a	n/a	(1,211,545)	1,066,470	-
Middlesex Community College	24,586,744	12,745,283	7,248,678	4,477,736	24,471,697	n/a	n/a	(537,356)	422,309	-
Naugatuck Valley Community College	58,965,559	31,016,621	19,909,522	7,730,172	58,656,315	n/a	n/a	(1,314,231)	1,030,047	25,060
Northwestern Community College	16,291,863	8,821,333	5,541,076	1,877,638	16,240,047	n/a	n/a	(248,707)	196,891	-
Norwalk Community College	49,410,971	26,940,701	14,310,465	7,899,546	49,150,712	n/a	n/a	(1,174,413)	914,154	-
Quinebaug Valley Community College	16,768,570	8,727,441	5,151,051	2,816,309	16,694,801	n/a	n/a	(335,101)	261,332	-
Three Rivers Community College	33,770,969	17,463,405	10,553,767	5,460,759	33,477,931	n/a	n/a	(830,137)	642,379	105,280
Tunxis Community College	34,871,881	18,540,827	11,540,515	4,637,943	34,719,285	n/a	n/a	(776,636)	624,040	-
CCC System Office	15,743,470	12,513,726	5,791,557	6,443,806	24,749,089	n/a	n/a	10,005,619	(1,000,000)	-
Community Technical College Total	460,417,458	246,387,739	145,087,883	75,766,190	467,241,812	-	-	131,786	6,822,908	130,340
Charter Oak State College	16,952,063	9,284,830	4,968,827	2,698,406	16,952,063	-	-	-		-
Board of Regents	720,553	460,375	274,163	-	734,538	-	-	13,985	-	-
Total Board of Regents for Higher Education	1,210,469,890	586,780,100	343,397,734	255,043,437	1,185,221,271	(33,579,845)	(126,756)	145,771	8,469,836	157,625

ATTACHMENT B

Connecticut State Colleges & Universities CONSOLIDATED FY2015-16 Operating Budget

	TOTAL REVENUE	PS	FRINGE	OTHER EXPENSES	TOTAL EXPENDITURES	DEBT SERVICE	OTHER TRANSFERS	TRANSFERS IN / OUT	ADDITIONAL FUNDS	NET
State Universities										
Central Connecticut State University	231,712,638	103,891,497	58,574,687	57,924,998	220,391,182	(7,730,417)	(4,000,000)	n/a	408,961	-
Eastern Connecticut State University	134,940,976	58,434,100	35,981,999	33,440,718	127,856,817	(6,939,119)	(554,001)	n/a	408,961	-
Southern Connecticut State University	219,389,716	102,189,940	55,538,257	54,447,440	212,175,637	(8,614,273)	1,000,000	n/a	408,961	8,767
Western Connecticut State University	128,509,046	60,284,483	32,054,641	32,264,371	124,603,495	(6,003,122)	1,688,610	n/a	408,961	-
CSU System Office	12,794,094	5,387,650	2,816,228	4,290,216	12,494,094	-	(300,000)	n/a	-	-
State Universities Total	727,346,470	330,187,670	184,965,812	182,367,743	697,521,225	(29,286,931)	(2,165,391)	-	1,635,844	8,767
Community Technical Colleges										
Asnuntuck Community College	19,150,015	10,464,192	6,079,474	3,243,115	19,786,781	n/a	n/a	(206,016)	842,782	-
Capital Community College	34,036,198	19,100,339	10,329,430	5,652,169	35,081,938	n/a	n/a	(504,765)	1,550,505	-
Gateway Community College	60,202,674	32,887,704	17,233,161	11,121,467	61,242,332	n/a	n/a	(932,774)	1,972,432	-
Housatonic Community College	41,329,714	21,190,896	11,587,685	9,576,498	42,355,079	n/a	n/a	(716,581)	1,741,946	-
Manchester Community College	55,306,729	30,654,717	17,472,516	8,259,498	56,386,731	n/a	n/a	(917,931)	1,997,933	-
Middlesex Community College	23,754,196	13,298,406	6,974,968	4,188,504	24,461,878	n/a	n/a	(353,240)	1,060,922	-
Naugatuck Valley Community College	57,186,602	31,512,814	19,021,219	7,579,927	58,113,960	n/a	n/a	(904,155)	1,832,212	699
Northwestern Community College	15,898,743	8,972,950	5,572,203	2,226,958	16,772,111	n/a	n/a	(168,189)	1,041,557	-
Norwalk Community College	49,333,525	27,770,329	13,857,394	8,531,296	50,159,019	n/a	n/a	(804,341)	1,629,835	-
Quinebaug Valley Community College	17,359,048	9,254,862	5,714,930	3,065,086	18,034,878	n/a	n/a	(232,642)	909,472	1,000
Three Rivers Community College	34,227,658	18,394,022	11,466,390	4,955,424	34,815,836	n/a	n/a	(583,724)	1,346,051	174,149
Tunxis Community College	35,570,566	19,584,715	12,284,036	5,089,708	36,958,459	n/a	n/a	(456,720)	1,844,613	-
CCC System Office	19,280,152	14,295,797	5,868,423	5,982,009	26,146,229	n/a	n/a	6,866,077	-	-
Community Technical College Total	462,635,820	257,381,743	143,461,829	79,471,659	480,315,231	-	-	84,999	17,770,260	175,848
Charter Oak State College	17,151,529	10,117,786	4,441,047	2,729,897	17,288,730	-	-	137,201		-
Board of Regents	939,276	596,568	373,238	-	969,806	-	-	30,530	-	-
Total Board of Regents for Higher Education	1,208,073,095	598,283,767	333,241,926	264,569,299	1,196,094,992	(29,286,931)	(2,165,391)	252,730	19,406,104	184,615

Connecticut State Colleges & Universities
CONSOLIDATED
FY2015-16 Estimate

	TOTAL REVENUE	PS	FRINGE	OTHER EXPENSES	TOTAL EXPENDITURES	DEBT SERVICE	OTHER TRANSFERS	TRANSFERS IN / OUT	ADDITIONAL FUNDS	NET
State Universities										
Central Connecticut State University	231,474,984	101,894,502	56,553,932	60,051,806	218,500,240	(11,700,856)	(1,649,100)	n/a	375,212	-
Eastern Connecticut State University	134,141,568	56,915,931	34,264,536	33,173,401	124,353,868	(6,328,152)	(1,362,649)	n/a	375,212	2,472,111
Southern Connecticut State University	221,982,298	102,542,148	57,308,932	52,320,222	212,171,302	(8,802,724)	1,000,000	n/a	375,212	2,383,484
Western Connecticut State University	125,460,371	59,356,457	31,459,305	31,283,717	122,099,479	(5,399,258)	1,663,154	n/a	375,212	-
CSU System Office	12,679,785	5,153,895	2,491,946	4,325,154	11,970,995	-	(300,000)	n/a	-	408,790
State Universities Total	725,739,006	325,862,933	182,078,651	181,154,300	689,095,884	(32,230,990)	(648,595)	-	1,500,848	5,264,385
Community Technical Colleges										
Asnuntuck Community College	19,047,814	10,338,160	6,335,743	3,085,744	19,759,647	n/a	n/a	(163,923)	875,756	-
Capital Community College	33,236,555	18,444,491	10,443,026	5,191,012	34,078,529	n/a	n/a	(663,092)	1,505,066	-
Gateway Community College	58,956,936	31,780,186	17,634,825	10,519,395	59,934,406	n/a	n/a	(1,010,256)	1,987,726	-
Housatonic Community College	41,127,160	21,428,376	11,819,000	8,614,097	41,861,473	n/a	n/a	(941,348)	1,675,661	-
Manchester Community College	55,326,990	29,988,681	17,871,969	7,828,429	55,689,079	n/a	n/a	(1,057,135)	2,130,552	711,328
Middlesex Community College	23,712,476	13,045,085	6,809,590	4,361,620	24,216,295	n/a	n/a	(464,039)	1,024,806	56,948
Naugatuck Valley Community College	57,807,466	31,370,343	19,500,188	7,317,359	58,187,890	n/a	n/a	(1,187,758)	1,833,830	265,648
Northwestern Community College	15,843,045	8,949,443	5,657,858	2,248,224	16,855,525	n/a	n/a	(9,874)	1,035,567	13,213
Norwalk Community College	49,384,578	27,618,824	14,248,916	8,335,544	50,203,284	n/a	n/a	(1,056,636)	1,875,342	-
Quinebaug Valley Community College	16,841,633	8,835,845	5,185,532	3,121,674	17,143,051	n/a	n/a	(305,614)	948,461	341,429
Three Rivers Community College	34,682,748	18,311,242	10,564,810	5,441,607	34,317,659	n/a	n/a	(1,095,189)	1,382,902	652,802
Tunxis Community College	35,364,718	19,434,764	11,885,459	4,733,997	36,054,220	n/a	n/a	(551,616)	1,814,802	573,684
CCC System Office	14,596,098	10,177,724	5,290,317	5,584,712	21,052,753	n/a	n/a	7,178,778	10,122	732,245
Community Technical College Total	455,928,217	249,723,164	143,247,233	76,383,414	469,353,811	-	-	(1,327,702)	18,100,593	3,347,297
Charter Oak State College	15,904,856	9,524,464	4,810,330	2,317,713	16,652,507	-	-	-	137,201	(610,450)
Board of Regents	843,165	586,879	318,547	5,392	910,818	-	-	67,653	-	-
Total Board of Regents for Higher Education	1,198,415,244	585,697,440	330,454,761	259,860,819	1,176,013,020	(32,230,990)	(648,595)	(1,260,049)	19,738,642	8,001,232

CONNECTICUT STATE COLLEGES and UNIVERSITIES
FY17 Budget, FY16 Estimate and FY16 Budget

Account Name	FY16		FY17 Budget	FY17 Budget vs. FY16 Estimate Increase (Decrease)	
	Budget	Estimate		Dollars (\$)	
	Dollars (\$)	Dollars (\$)	Dollars (\$)	Dollars (\$)	Percent %
Revenue:					
Tuition (Gross)	297,898,695	290,197,938	299,864,002	9,666,064	3.30%
Student Fees	223,719,546	211,846,389	226,480,465	14,634,076	6.90%
State Appropriations	330,218,573	327,642,072	317,958,041	(9,684,031)	-3.00%
Fringe Benefits Paid By State	242,687,281	248,082,293	248,077,495	(4,798)	0.00%
Housing	63,975,864	63,535,597	66,011,155	2,475,558	3.90%
Food	32,282,590	32,071,648	33,204,575	1,132,927	3.50%
All Other Revenue	24,098,605	33,074,072	27,639,006	(5,435,066)	-16.40%
Less: Contra Revenue	(6,808,059)	(8,034,765)	(8,764,849)	(730,084)	9.10%
Total Revenue	1,208,073,095	1,198,415,244	1,210,469,890	12,054,646	1.00%
Expenditures:					
Personal Services:					
Full Time	437,761,389	427,972,647	429,806,003	1,833,356	0.40%
Part Time	24,125,905	24,904,321	24,505,036	(399,285)	-1.60%
Lecturers	88,256,319	87,912,750	86,159,231	(1,753,519)	-2.00%
Student Labor	11,693,044	8,833,133	9,002,118	168,985	1.90%
Other Part Time	10,046,537	13,353,156	13,558,652	205,496	1.50%
Overtime	4,689,206	4,672,678	4,627,865	(44,813)	-1.00%
All Other Personal Services	21,711,367	18,048,755	19,121,195	1,072,440	5.90%
Subtotal Personal Services	598,283,767	585,697,440	586,780,100	1,082,660	0.20%
Fringe Benefits	333,241,924	330,454,761	343,397,735	12,942,974	3.90%
Total P.S. & Fringe Benefits	931,525,691	916,152,201	930,177,835	14,025,634	1.50%
Other Expenses:					
Inst. Financial Aid/Match	54,137,687	52,324,094	54,509,657	2,185,563	4.20%
Waivers	13,342,561	13,120,097	13,196,644	76,547	0.60%
All Other Expenses	185,443,800	180,677,280	176,158,205	(4,519,075)	-2.50%
Total Other Expenses	252,924,048	246,121,471	243,864,506	(2,256,965)	-0.90%
Library Expenses	6,928,209	6,761,271	6,401,888	(359,383)	-5.30%
Total Equipment (excludes Library)	4,717,042	6,978,078	4,777,042	(2,201,036)	-31.50%
Total Expenditures	1,196,094,990	1,176,013,021	1,185,221,271	9,208,250	0.80%
Addition to (Use of) Funds Before Transfers	11,978,105	22,402,223	25,248,619	2,846,396	12.70%
Debt Service					
CSU Debt Service (University Fee)	(20,620,003)	(20,632,027)	(21,184,472)	(552,445)	-2.70%
CSU Debt Service Residence Halls	(9,191,545)	(8,379,469)	(9,002,404)	(622,935)	-7.40%
CSU Debt Service Parking Garage	(3,475,383)	(3,219,494)	(3,392,969)	(173,475)	-5.40%
Total Debt Service	(33,286,931)	(32,230,990)	(33,579,845)	(1,348,855)	-4.20%
Other Fund Transfers					
CSU Fund Transfers	1,834,609	(648,595)	(126,756)	521,839	80.50%
CCC Fund Transfers	84,999	(1,327,702)	131,786	1,459,488	-109.90%
Charter Oak Fund Transfers	137,201	137,201	-	(137,201)	NA
BOR Transfers	30,530	67,653	13,985	(53,668)	NA
Additional Funds					
Supplemental Tuition and Addtl. Operations Support	10,000,000	10,000,000	-	(10,000,000)	-100.00%
Shared Services (reserved funds)	-	-	(1,000,000)	(1,000,000)	NA
Developmental Education	9,406,104	9,201,319	9,469,836	268,517	2.90%
Early College	-	400,122	-	(400,122)	-100.00%
Total Additional Funds	19,406,104	19,601,441	8,469,836	(11,131,605)	-56.80%
Net Change	184,617	8,001,231	157,625	(7,843,606)	98.00%

CONNECTICUT STATE UNIVERSITIES
FY17 Budget, FY16 Estimate and FY16 Budget

Account Name	FY16		FY17 Budget	FY17 Budget vs. FY16 Estimate Increase (Decrease)	
	Budget	Estimate		Increase (Decrease)	
	Dollars (\$)	Dollars (\$)	Dollars (\$)	Dollars (\$)	Percent %
Revenue:					
Tuition (Gross)	157,493,010	157,225,018	164,522,371	7,297,353	4.60%
Student Fees	171,946,556	162,425,863	176,455,608	14,029,745	8.60%
State Appropriations	163,728,123	162,485,588	153,640,756	(8,844,832)	-5.40%
Fringe Benefits Paid By State	127,707,934	129,176,041	125,831,779	(3,344,262)	-2.60%
Housing	63,975,864	63,535,597	66,011,155	2,475,558	3.90%
Food	32,282,590	32,071,648	33,204,575	1,132,927	3.50%
All Other Revenue	17,020,452	26,854,016	21,478,421	(5,375,595)	-20.00%
Less: Contra Revenue	(6,808,059)	(8,034,765)	(8,764,849)	(730,084)	9.10%
Total Revenue	727,346,470	725,739,006	732,379,816	6,640,810	0.90%
Expenditures:					
Personal Services:					
Full Time	264,270,636	258,006,643	262,662,240	4,655,597	1.80%
Part Time	1,756,062	1,786,088	1,818,045	31,957	1.80%
Lecturers	33,568,964	35,057,336	34,307,879	(749,457)	-2.10%
Student Labor	8,948,624	5,918,217	6,305,468	387,251	6.50%
Other Part Time	5,961,807	9,422,336	9,753,150	330,814	3.50%
Overtime	3,381,033	3,470,481	3,472,566	2,085	0.10%
All Other Personal Services	12,300,544	12,201,832	12,327,808	125,976	1.00%
Subtotal Personal Services	330,187,670	325,862,933	330,647,156	4,784,223	1.50%
Fringe Benefits	184,965,812	182,078,651	193,066,861	10,988,210	6.00%
Total P.S. & Fringe Benefits	515,153,482	507,941,584	523,714,017	15,772,433	3.10%
Other Expenses:					
Inst. Financial Aid/Match	35,385,604	34,144,810	36,332,238	2,187,428	6.40%
Waivers	7,166,976	7,275,930	7,254,474	(21,456)	-0.30%
All Other Expenses	129,079,665	126,953,449	122,619,969	(4,333,480)	-3.40%
Total Other Expenses	171,632,245	168,374,189	166,206,681	(2,167,508)	-1.30%
Library Expenses:					
Books	889,915	548,060	524,004	24,056	4.40%
Periodicals	2,320,318	1,861,741	1,882,394	(20,653)	-1.10%
Electronic Periodicals / Subscriptions	2,591,720	3,059,196	2,786,697	272,499	8.90%
All Other Library Equipment	300,244	409,073	402,023	7,050	1.70%
Library Expenses	6,018,456	5,878,070	5,595,118	(282,952)	-4.80%
Total Equipment (excludes Library)	4,717,042	6,902,042	4,777,042	(2,125,000)	-30.80%
Total Expenditures	697,521,225	689,095,885	700,292,858	11,196,973	1.60%
Addition to (Use of) Funds Before Transfers	29,825,245	36,643,121	32,086,958	(4,556,163)	-12.40%
Debt Service					
CSU Debt Service (University Fee)	(20,620,003)	(20,632,027)	(21,184,472)	(552,445)	-2.70%
CSU Debt Service Residence Halls	(9,191,545)	(8,379,469)	(9,002,404)	(622,935)	-7.40%
CSU Debt Service Parking Garage	(3,475,383)	(3,219,494)	(3,392,969)	(173,475)	-5.40%
Total Debt Service	(33,286,931)	(32,230,990)	(33,579,845)	(1,348,855)	-4.20%
Other Fund Transfers					
Other Transfers	3,000,000	2,568,423	2,183,473	(384,950)	15.00%
Auxiliary Renewal and Replacement	(1,165,391)	(3,217,018)	(2,310,229)	906,789	-28.20%
Total Other Fund Transfers	1,834,609	(648,595)	(126,756)	521,839	-80.50%
Additional Funds					
Developmental Education	1,635,844	1,500,848	1,646,928	146,080	9.70%
Total Additional Funds	1,635,844	1,500,848	1,646,928	146,080	-9.70%
Net Change	8,767	5,264,384	27,285	(5,237,099)	99.50%

CONNECTICUT STATE COMMUNITY COLLEGES
FY17 Budget, FY16 Estimate and FY16 Budget

Account Name	FY16		FY17 Budget	FY17 Budget vs. FY16 Estimate Increase (Decrease)	
	Budget	Estimate		Increase (Decrease)	
	Dollars (\$)	Dollars (\$)	Dollars (\$)	Dollars (\$)	Percent %
Revenue:					
Tuition (Gross)	130,964,085	124,547,920	126,084,591	1,536,671	1.20%
Student Fees	50,493,190	48,222,678	48,312,138	89,460	0.20%
State Appropriations	163,191,027	161,936,814	161,446,565	(490,249)	-0.30%
Fringe Benefits Paid By State	113,212,083	116,946,349	120,306,781	3,360,432	2.90%
All Other Revenue	4,775,435	4,274,456	4,267,383	(7,073)	-0.20%
Less: Contra Revenue	-	-	-	-	NA
Total Revenue	462,635,820	455,928,217	460,417,458	4,489,241	1.00%
Expenditures:					
Personal Services:					
Full Time	166,611,333	163,096,206	160,799,257	(2,296,949)	-1.40%
Part Time	21,879,300	22,722,515	22,274,441	(448,074)	-2.00%
Lecturers	51,882,989	50,428,227	49,222,214	(1,206,013)	-2.40%
Student Labor	2,418,851	2,596,321	2,418,503	(177,818)	-6.80%
Other Part Time	4,084,730	3,930,820	3,805,502	(125,318)	-3.20%
Overtime	1,308,173	1,202,197	1,155,299	(46,898)	-3.90%
All Other Personal Services	9,196,367	5,746,878	6,712,523	965,645	16.80%
Subtotal Personal Services	257,381,743	249,723,164	246,387,739	(3,335,425)	-1.30%
Fringe Benefits	143,461,827	143,247,233	145,087,884	1,840,651	1.30%
Total P.S. & Fringe Benefits	400,843,570	392,970,397	391,475,623	(1,494,774)	-0.40%
Other Expenses:					
Inst. Financial Aid/Match	18,752,083	18,038,814	18,035,615	(3,199)	0.00%
Waivers	6,175,585	5,780,330	5,877,170	96,840	
All Other Expenses	53,634,238	51,605,033	51,046,634	(558,399)	-1.10%
Total Other Expenses	78,561,906	75,424,177	74,959,419	(464,758)	-0.60%
Library Expenses	909,753	883,201	806,770	(76,431)	-8.70%
Total Equipment (excludes Library)	-	76,036	-	(76,036)	-100.00%
Total Expenditures	480,315,229	469,353,811	467,241,812	(2,111,999)	-0.40%
Addition to (Use of) Funds Before Transfers	(17,679,409)	(13,425,594)	(6,824,354)	6,601,240	49.20%
Other Fund Transfers					
CCC Transfer in	6,951,077	10,131,136	10,137,406	6,270	0.10%
CCC Transfer out	(6,866,078)	(11,458,838)	(10,005,620)	1,453,218	-12.70%
Total Other Fund Transfers	84,999	(1,327,702)	131,786	1,459,488	-109.90%
Additional Funds					
Supplemental Tuition and Addtl. Operations Support	10,000,000	10,000,000	-	(10,000,000)	-100.00%
Shared Services (reserved funds)	-	-	(1,000,000)	(1,000,000)	NA
Developmental Education	7,770,260	5,383,609	6,093,633	710,024	13.20%
Transitional Adult Education	-	2,316,862	1,729,275	(587,587)	-25.40%
Early College	-	400,122	-	(400,122)	-100.00%
Total Additional Funds	17,770,260	18,100,593	6,822,908	1,459,488	8.10%
Net Change	175,850	3,347,297	130,340	(3,216,957)	96.10%

CHARTER OAK STATE COLLEGE and CT DISTANCE LEARNING CONSORTIUM
FY17 Budget, FY16 Estimate and FY16 Budget

Account Name	FY16		FY17 Budget	FY17 Budget vs. FY16 Estimate Increase (Decrease)	
	Budget Dollars (\$)	Estimate Dollars (\$)		Dollars (\$)	Percent %
Revenue:					
Tuition (Gross)	9,441,600	8,425,000	9,257,040	832,040	9.90%
Student Fees	1,279,800	1,197,848	1,712,719	514,871	43.00%
State Appropriations	2,733,385	2,689,233	2,424,330	(264,903)	-9.90%
Fringe Benefits Paid By State	1,394,026	1,647,175	1,664,772	17,597	1.10%
All Other Revenue	2,302,718	1,945,600	1,893,202	(52,398)	-2.70%
Less: Contra Revenue	-	-	-	-	NA
Total Revenue	<u>17,151,529</u>	<u>15,904,856</u>	<u>16,952,063</u>	<u>1,047,207</u>	<u>6.60%</u>
Expenditures:					
Personal Services:					
Full Time	6,282,852	6,282,919	5,884,131	(398,788)	-6.30%
Part Time	490,543	395,718	412,550	16,832	4.30%
Lecturers	2,804,366	2,427,187	2,629,138	201,951	8.30%
Student Labor	325,569	318,595	278,147	(40,448)	-12.70%
Other Part Time	-	-	-	-	NA
Overtime	-	-	-	-	NA
All Other Personal Services	214,456	100,045	80,864	(19,181)	-19.20%
Subtotal Personal Services	<u>10,117,786</u>	<u>9,524,464</u>	<u>9,284,830</u>	<u>(239,634)</u>	<u>-2.50%</u>
Fringe Benefits	4,441,047	4,810,330	4,968,827	158,497	3.30%
Total P.S. & Fringe Benefits	<u>14,558,833</u>	<u>14,334,794</u>	<u>14,253,657</u>	<u>(81,137)</u>	<u>-0.60%</u>
Other Expenses:					
Inst. Financial Aid/Match	-	140,470	141,804	1,334	0.90%
Waivers	-	63,837	65,000	1,163	
All Other Expenses	2,729,897	2,113,406	2,491,602	378,196	17.90%
Total Other Expenses	<u>2,729,897</u>	<u>2,317,713</u>	<u>2,698,406</u>	<u>380,693</u>	<u>16.40%</u>
Library Expenses	-	-	-	-	NA
Total Equipment (excludes Library)	-	-	-	-	NA
Total Expenditures	<u>17,288,730</u>	<u>16,652,507</u>	<u>16,952,063</u>	<u>299,556</u>	<u>1.80%</u>
Addition to (Use of) Funds Before Transfers	(137,201)	(747,651)	-	747,651	-100.00%
Other Fund Transfers					
Charter Oak Other Designated Transfers	137,201	137,201	-	(137,201)	-100.00%
Supplemental Tuition and Addtl. Operations Support	-	-	-	-	NA
Total Other Fund Transfers	<u>137,201</u>	<u>137,201</u>	<u>-</u>	<u>-</u>	<u>0.00%</u>
Net Change	<u>-</u>	<u>(610,450)</u>	<u>-</u>	<u>610,450</u>	<u>100.00%</u>

BOR SYSTEM OFFICE
FY17 Budget, FY16 Estimate and FY16 Budget

Account Name	FY16		FY17 Budget	FY17 Budget vs. FY16 Estimate Increase (Decrease)	
	Budget Dollars (\$)	Estimate Dollars (\$)		Dollars (\$)	Percent (%)
Revenue:					
Tuition (Gross)	-	-	-	-	NA
Fees	-	-	-	-	NA
State Appropriations	566,038	530,437	446,390	(84,047)	-15.80%
Fringe Benefits Paid By State	373,238	312,728	274,163	(38,565)	-12.30%
Sales of Educational Activities	-	-	-	-	NA
All Other Revenue	-	-	-	-	NA
Total Revenue	939,276	843,165	720,553	(122,612)	-14.50%
Expenditures:					
Personal Services:					
Full Time	596,568	586,879	460,375	(126,504)	-21.60%
Part Time	-	-	-	-	NA
Student Labor	-	-	-	-	NA
Other Part Time	-	-	-	-	NA
Overtime	-	-	-	-	NA
All Other Personal Services	-	-	-	-	NA
Subtotal Personal Services	596,568	586,879	460,375	(126,504)	21.60%
Fringe Benefits	373,238	318,547	274,163	(44,384)	-13.90%
Total P.S. & Fringe Benefits	969,806	905,426	734,538	82,120	9.10%
Other Expenses:					
Inst. Financial Aid/Match	-	-	-	-	NA
Waivers	-	-	-	-	NA
All Other Expenses	-	5,392	-	(5,392)	-100.00%
Total Other Expenses	-	5,392	-	(5,392)	-100.00%
Library Expenses:					
Books	-	-	-	-	NA
Periodicals	-	-	-	-	NA
Electronic Periodicals / Subscriptions	-	-	-	-	NA
All Other Library Equipment	-	-	-	-	NA
Library Expenses:	-	-	-	-	NA
Total Equipment (excludes Library)	-	-	-	-	NA
Total Expenditures	969,806	910,818	734,538	(176,280)	-19.40%
Addition to (Use of) Funds Before Transfers	(30,530)	(67,653)	(13,985)	53,668	-79.30%
Other Fund Transfers					
Transfer in	30,530	67,653	13,985	(53,668)	-79.30%
Transfer out	-	-	-	-	NA
Total Other Fund Transfers	30,530	67,653	13,985	(53,668)	-79.30%
Net Change	-	0	-	(0)	-100.00%

CONNECTICUT STATE UNIVERSITIES
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	130,708,486	130,778,198	137,250,805	6,472,607	4.9%
Part Time Tuition (Gross)	26,784,524	26,446,820	27,271,566	824,746	3.1%
General University Fee	26,235,473	25,915,173	26,774,539	859,366	3.3%
University General Fee (excluding Accident Ins.)	78,402,755	76,709,872	86,211,527	9,501,655	12.4%
University Fee	21,036,580	20,947,027	21,616,226	669,199	3.2%
Extension Fee (Gross)	26,082,099	27,196,909	28,294,931	1,098,022	4.0%
All Other Student Fees	11,933,658	11,656,882	13,558,385	1,901,503	16.3%
Accident Insurance	6,779,771	7,695,176	2,682,985	(5,012,191)	-65.1%
Telecom Revenue	1,476,220	1,227,677	1,454,750	227,073	18.5%
State Appropriations	163,728,123	162,485,588	153,640,756	(8,844,832)	-5.4%
Fringe Benefits Paid By State	127,707,934	129,176,041	125,831,779	(3,344,262)	-2.6%
Housing	63,975,864	63,535,597	66,011,155	2,475,559	3.9%
Food Service	32,282,590	32,071,648	33,204,575	1,132,927	3.5%
All Other Revenue	17,020,452	17,931,164	17,340,686	(590,478)	-3.3%
Less: ContraRevenue	(6,808,059)	(8,034,765)	(8,764,849)	(730,084)	9.1%
Total Revenue	727,346,470	725,739,006	732,379,816	6,640,810	0.9%
Expenditures:					
Personal Services:					
Total Full Time	264,270,636	258,006,643	262,662,240	4,655,597	1.8%
Part Time:					
Lecturers	33,568,964	35,057,336	34,307,879	(749,457)	-2.1%
Perm/Intermit PT	1,756,062	1,786,088	1,818,045	31,957	1.8%
University Assistants	4,294,246	4,180,969	4,494,366	313,397	7.5%
Graduate Assistants	1,667,561	1,737,248	1,811,102	73,854	4.3%
Other Part Time	8,948,624	9,422,336	9,753,150	330,814	3.5%
Total Part Time	50,235,457	52,183,977	52,184,542	565	0.0%
Overtime	3,381,033	3,470,481	3,472,566	2,085	0.1%
All Other Personal Services	12,300,544	12,201,832	12,327,808	125,976	1.0%
Subtotal Personal Services	330,187,670	325,862,933	330,647,156	4,784,223	1.5%
Fringe Benefits	183,343,887	180,610,911	191,641,275	11,030,364	6.1%
Worker's Comp. Recovery	1,621,925	1,467,740	1,425,586	(42,154)	-2.9%
Total P.S. & Fringe Benefits	515,153,482	507,941,584	523,714,017	15,772,433	3.1%
Other Expenses:					
Inst. Financial Aid/Match	35,385,604	34,144,810	36,332,238	2,187,428	6.4%
Waivers	7,166,976	7,275,930	7,254,474	(21,456)	-0.3%
Bad Debt Expense (current year)	1,346,424	1,698,150	1,597,176	(100,974)	-5.9%
All Other Expenses	125,894,412	122,061,034	118,569,294	(3,491,740)	-2.9%
Telecom Expense	1,838,829	3,194,265	2,453,499	(740,766)	-23.2%
Total Other Expenses	171,632,245	168,374,189	166,206,681	(2,167,508)	-1.3%
Library Expenses:					
Books	600,907	548,060	524,004	(24,056)	-4.4%
Periodicals	1,963,523	1,861,741	1,882,394	20,653	1.1%
Electronic Periodicals / Subscriptions	3,028,638	3,059,196	2,786,697	(272,499)	-8.9%
All Other Library Equipment	425,388	409,073	402,023	(7,050)	-1.7%
Total Non-P.S. Library Expense	6,018,456	5,878,070	5,595,118	(282,952)	-4.8%
Total Equipment (excludes Library)	4,717,042	6,902,042	4,777,042	(2,125,000)	-30.8%
Total Expenditures	697,521,225	689,095,885	700,292,858	11,196,973	1.6%
Addition to (Use of) Funds Before Transfers	29,825,245	36,643,121	32,086,958	(4,556,163)	-12.4%
Debt Service					
Debt Service (University Fee)	(20,620,003)	(20,632,027)	(21,184,472)	(552,445)	2.7%
Debt Service Residence Halls	(9,191,545)	(8,379,469)	(9,002,404)	(622,935)	7.4%
Debt Service Parking Garage	(3,402,130)	(3,156,745)	(3,330,220)	(173,475)	5.5%
Debt Service - WS Parking Garage WCSU	(73,253)	(62,749)	(62,749)	-	0.0%
Total Debt Service	(33,286,931)	(32,230,990)	(33,579,845)	(1,348,855)	4.2%
Other Fund Transfers					
Auxiliary Renewal and Replacement	(1,165,391)	(3,217,018)	(2,310,229)	906,789	-28.2%
Other Transfer - To Plant Fund (Energy Center)	-	(400,000)	-	400,000	-100.0%
Other Request - SCSU General Reserves	1,000,000	1,000,000	-	(1,000,000)	-100.0%
Other Request - WCSU General Reserves	2,000,000	1,968,423	2,183,473	215,050	10.9%
Total Other Fund Transfers	1,834,609	(648,595)	(126,756)	521,839	-80.5%
Additional Funds					
Developmental Education	1,635,844	1,500,848	1,646,928	146,080	9.7%
Total Additional Funds	1,635,844	1,500,848	1,646,928	146,080	9.7%
Net Change	8,767	5,264,384	27,285	(5,237,099)	-99.5%

Connecticut State Universities
FY17 Budget

Account Name	CSU Total	CCSU	ECSU	SCSU	WSCU	SO
Revenue:						
Tuition (Gross)	137,250,805	46,125,136	23,383,632	43,851,209	23,890,828	-
Part Time Tuition (Gross)	27,271,566	11,925,964	2,022,298	8,488,751	4,834,553	-
General University Fee	26,774,539	11,483,474	2,305,195	8,452,513	4,533,357	-
University General Fee (excluding Accident Ins.)	86,211,527	26,766,000	17,183,448	27,389,003	14,873,076	-
University Fee	21,616,226	7,268,000	3,747,828	6,808,610	3,791,788	-
Extension Fee (Gross)	28,294,931	10,148,103	3,035,356	11,254,947	3,856,525	-
All Other Student Fees	13,558,385	3,387,000	2,371,241	5,055,800	2,744,344	-
Accident Insurance	2,682,985	906,800	466,658	849,991	459,536	-
Telecom Revenue	1,454,750	368,240	394,800	469,740	221,970	-
State Appropriations	153,640,756	44,119,783	29,753,680	42,472,560	28,312,214	8,982,519
Fringe Benefits Paid By State	125,831,779	39,977,815	22,589,608	38,489,124	22,258,878	2,516,354
Housing	66,011,155	16,597,626	20,990,305	17,905,154	10,518,070	-
Food Service	33,204,575	11,589,689	7,218,735	9,227,228	5,168,924	-
All Other Revenue	17,340,686	7,896,000	2,270,723	4,343,369	2,764,997	65,597
Less: ContraRevenue	(8,764,849)	(3,817,738)	(1,596,175)	(1,707,999)	(1,642,937)	-
Total Revenue	732,379,816	234,741,892	136,137,331	223,350,000	126,586,123	11,564,470
Expenditures:						
Personal Services:						
Total Full Time	262,662,240	85,040,063	45,565,695	80,210,516	47,288,609	4,557,357
Part Time:						
Lecturers	34,353,502	9,736,552	5,549,539	11,934,891	7,086,897	45,623
Perm/Intermit PT	1,772,422	469,750	172,456	926,132	204,084	-
University Assistants	4,494,366	1,200,000	1,219,010	1,256,769	818,587	-
Graduate Assistants	1,811,102	320,000	151,431	1,142,142	197,529	-
Other Part Time	9,753,150	4,454,004	119,327	3,307,452	1,872,367	-
Total Part Time	52,184,542	16,180,306	7,211,763	18,567,386	10,179,464	45,623
Overtime	3,472,566	560,000	1,003,163	1,066,448	842,955	-
All Other Personal Services	12,327,808	3,125,869	4,447,920	3,021,369	1,603,086	129,564
Subtotal Personal Services	330,647,156	104,906,238	58,228,541	102,865,719	59,914,114	4,732,544
Fringe Benefits	191,641,275	59,449,782	36,282,307	60,690,774	32,710,058	2,508,354
Worker's Comp. Recovery	1,425,586	400,958	407,600	400,000	208,002	9,026
Total P.S. & Fringe Benefits	523,714,017	164,756,978	94,918,448	163,956,493	92,832,174	7,249,924
Other Expenses:						
Inst. Financial Aid/Match	36,332,238	12,411,124	8,656,231	9,769,739	5,495,144	-
Waivers	7,254,474	2,747,672	1,222,626	2,170,000	1,114,176	-
Bad Debt Expense (current year)	1,597,176	400,000	831,195	27,100	338,881	-
All Other Expenses	118,569,294	36,398,583	20,904,641	34,886,943	22,012,707	4,366,419
Telecom Expense	2,453,499	1,167,360	422,635	786,400	654,477	(577,373)
Total Other Expenses	166,206,681	53,124,739	32,037,328	47,640,182	29,615,385	3,789,046
Library Expenses:						
Books	524,004	40,000	174,993	253,168	55,843	-
Periodicals	1,882,394	1,360,000	438,314	28,830	55,250	-
Electronic Periodicals / Subscriptions	2,786,697	470,000	239,548	1,311,198	280,451	485,500
All Other Library Equipment	402,023	30,000	21,616	13,950	336,457	-
Total Non-P.S. Library Expense	5,595,118	1,900,000	874,471	1,607,146	728,001	485,500
Total Equipment (excludes Library)	4,777,042	2,750,000	450,000	1,302,042	235,000	40,000
Total Expenditures	700,292,858	222,531,717	128,280,247	214,505,863	123,410,560	11,564,470
Addition to (Use of) Funds Before Transfers	32,086,958	12,210,175	7,857,084	8,844,136	3,175,562	-
Debt Service						
Debt Service (University Fee)	(21,184,472)	(7,125,000)	(3,747,828)	(6,633,610)	(3,678,034)	-
Debt Service Residence Halls	(9,002,404)	(4,000,000)	(2,759,811)	(1,062,180)	(1,180,413)	-
Debt Service Parking Garage	(3,392,969)	(824,856)	(435,578)	(1,532,794)	(599,741)	-
Total Debt Service	(33,579,845)	(11,949,856)	(6,943,217)	(9,228,584)	(5,458,188)	-
Other Fund Transfers						
Auxiliary Renewal and Replacement	(2,310,229)	(672,051)	(1,325,599)	-	(312,579)	-
WCSU Request One Time Use of Reserves	2,183,473	-	-	-	2,183,473	-
Total Other Fund Transfers	(126,756)	(672,051)	(1,325,599)	-	1,870,894	-
Additional Funds						
Developmental Education	1,646,928	411,732	411,732	411,732	411,732	-
Total Additional Funds	1,646,928	411,732	411,732	411,732	411,732	-
Net Change	\$ 27,285	\$ 0	\$ 0	\$ 27,284	\$ 0	\$ -

Cost per FTE (Enrollment)	25,216	24,312	28,895	26,546	27,346	n.a.
----------------------------------	---------------	---------------	---------------	---------------	---------------	-------------

Central Connecticut State University
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	43,776,387	43,590,407	46,125,136	2,534,729	5.8%
Part Time Tuition (Gross)	10,949,608	11,368,947	11,925,964	557,017	4.9%
General University Fee	10,580,595	10,920,015	11,483,474	563,459	5.2%
University General Fee (excluding Accident Ins.)	24,324,500	23,428,000	26,766,000	3,338,000	14.2%
University Fee	7,056,000	7,016,000	7,268,000	252,000	3.6%
Extension Fee (Gross)	9,507,706	9,632,363	10,148,103	515,740	5.4%
All Other Student Fees	3,297,500	3,387,000	3,387,000	-	0.0%
Accident Insurance	2,145,500	2,875,000	906,800	(1,968,200)	-68.5%
Telecom Revenue	381,680	362,480	368,240	5,760	1.6%
State Appropriations	46,838,106	46,482,651	44,119,783	(2,362,868)	-5.1%
Fringe Benefits Paid By State	40,184,950	40,646,187	39,977,815	(668,372)	-1.6%
Housing	16,265,902	15,791,267	16,597,626	806,359	5.1%
Food Service	11,494,943	11,038,830	11,589,689	550,859	5.0%
All Other Revenue	7,186,646	8,246,000	7,896,000	(350,000)	-4.2%
Less: ContraRevenue	(2,277,385)	(3,310,163)	(3,817,738)	(507,575)	15.3%
Total Revenue	231,712,638	231,474,984	234,741,892	3,266,908	1.4%
Expenditures:					
Personal Services:					
Total Full Time	84,756,952	81,629,425	85,040,063	3,410,638	4.2%
Part Time:					
Lecturers	9,738,225	10,480,757	9,736,552	(744,205)	-7.1%
Perm/Intermit PT	414,750	469,750	469,750	-	0.0%
University Assistants	1,200,000	1,200,000	1,200,000	-	0.0%
Graduate Assistants	315,000	320,000	320,000	-	0.0%
Other Part Time	4,100,000	4,200,000	4,454,004	254,004	6.0%
Total Part Time	15,767,975	16,670,507	16,180,306	(490,201)	-2.9%
Overtime	552,000	560,000	560,000	-	0.0%
All Other Personal Services	2,814,570	3,034,570	3,125,869	91,299	3.0%
Subtotal Personal Services	103,891,497	101,894,502	104,906,238	3,011,736	3.0%
Fringe Benefits	57,975,257	56,168,453	59,449,782	3,281,329	5.8%
Worker's Comp. Recovery	599,430	385,479	400,958	15,479	4.0%
Total P.S. & Fringe Benefits	162,466,184	158,448,434	164,756,978	6,308,544	4.0%
Other Expenses:					
Inst. Financial Aid/Match	12,365,198	11,497,511	12,411,124	913,613	7.9%
Waivers	2,687,023	2,631,991	2,747,672	115,681	4.4%
Bad Debt Expense (current year)	100,000	400,000	400,000	-	0.0%
All Other Expenses	37,103,097	39,214,343	36,398,583	(2,815,760)	-7.2%
Telecom Expense	1,169,680	1,189,680	1,167,360	(22,320)	-1.9%
Total Other Expenses	53,424,998	54,933,525	53,124,739	(1,808,786)	-3.3%
Library Expenses:					
Books	40,000	45,000	40,000	(5,000)	-11.1%
Periodicals	1,360,000	1,360,000	1,360,000	-	0.0%
Electronic Periodicals / Subscriptions	470,000	627,281	470,000	(157,281)	-25.1%
All Other Library Equipment	30,000	36,000	30,000	(6,000)	-16.7%
Total Non-P.S. Library Expense	1,900,000	2,068,281	1,900,000	(168,281)	-8.1%
Total Equipment (excludes Library)	2,600,000	3,050,000	2,750,000	(300,000)	-9.8%
Total Expenditures	220,391,182	218,500,240	222,531,717	4,031,477	1.8%
Addition to (Use of) Funds Before Transfers	11,321,456	12,974,744	12,210,175	(764,569)	-5.9%
Debt Service					
Debt Service (University Fee)	(6,928,000)	(6,876,000)	(7,125,000)	(249,000)	3.6%
Debt Service Residence Halls	(4,000,000)	(4,000,000)	(4,000,000)	-	0.0%
Debt Service Parking Garage	(802,417)	(824,856)	(824,856)	-	0.0%
Total Debt Service	(11,730,417)	(11,700,856)	(11,949,856)	(249,000)	2.1%
Other Fund Transfers					
Auxiliary Renewal and Replacement	0	(1,249,100)	(672,051)	577,049	-46.2%
Other Transfer - To Plant Fund (Energy Center)	0	(400,000)	0	400,000	-100.0%
Total Other Fund Transfers	0	(1,649,100)	(672,051)	977,049	-59.2%
Additional Funds					
Developmental Education	408,961	375,212	411,732	36,520	9.7%
Total Additional Funds	408,961	375,212	411,732	36,520	9.7%
Net Change	\$ -	\$ -	\$ 0	\$ 0	n.a.

Eastern Connecticut State University
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	22,586,779	22,327,367	23,383,632	1,056,265	4.7%
Part Time Tuition (Gross)	1,861,261	1,926,700	2,022,298	95,598	5.0%
General University Fee	2,128,216	2,191,563	2,305,195	113,632	5.2%
University General Fee (excluding Accident Ins.)	15,820,596	15,342,994	17,183,448	1,840,454	12.0%
University Fee	3,695,400	3,629,173	3,747,828	118,655	3.3%
Extension Fee (Gross)	2,841,083	2,883,818	3,035,356	151,538	5.3%
All Other Student Fees	1,763,284	1,878,790	2,371,241	492,451	26.2%
Accident Insurance	1,239,832	1,527,281	466,658	(1,060,623)	-69.4%
Telecom Revenue	398,720	395,457	394,800	(657)	-0.2%
State Appropriations	31,140,248	30,903,924	29,753,680	(1,150,244)	-3.7%
Fringe Benefits Paid By State	22,759,795	23,061,625	22,589,608	(472,017)	-2.0%
Housing	20,548,093	20,278,591	20,990,305	711,714	3.5%
Food Service	7,151,969	6,974,396	7,218,735	244,339	3.5%
All Other Revenue	2,445,951	2,311,785	2,270,723	(41,062)	-1.8%
Less: ContraRevenue	(1,440,251)	(1,491,896)	(1,596,175)	(104,279)	7.0%
Total Revenue	134,940,976	134,141,568	136,137,331	1,995,763	1.5%
Expenditures:					
Personal Services:					
Total Full Time	46,085,654	44,847,825	45,565,695	717,870	1.6%
Part Time:					
Lecturers	5,507,035	5,519,539	5,549,539	30,000	0.5%
Perm/Intermit PT	140,475	145,365	172,456	27,091	18.6%
University Assistants	1,119,736	983,069	1,219,010	235,941	24.0%
Graduate Assistants	80,000	82,631	151,431	68,800	83.3%
Other Part Time	16,000	7,941	119,327	111,386	1402.7%
Total Part Time	6,863,246	6,738,545	7,211,763	473,218	7.0%
Overtime	890,000	953,163	1,003,163	50,000	5.2%
All Other Personal Services	4,595,200	4,376,398	4,447,920	71,522	1.6%
Subtotal Personal Services	58,434,100	56,915,931	58,228,541	1,312,610	2.3%
Fringe Benefits	35,678,147	33,894,582	36,282,307	2,387,725	7.0%
Worker's Comp. Recovery	303,852	369,954	407,600	37,646	10.2%
Total P.S. & Fringe Benefits	94,416,099	91,180,467	94,918,448	3,737,981	4.1%
Other Expenses:					
Inst. Financial Aid/Match	7,268,340	7,900,436	8,656,231	755,795	9.6%
Waivers	1,349,868	1,288,328	1,222,626	(65,702)	-5.1%
Bad Debt Expense (current year)	952,493	952,493	831,195	(121,298)	-12.7%
All Other Expenses	21,528,214	20,641,228	20,904,641	263,413	1.3%
Telecom Expense	938,720	564,970	422,635	(142,335)	-25.2%
Total Other Expenses	32,037,635	31,347,455	32,037,328	689,873	2.2%
Library Expenses:					
Books	165,083	174,993	174,993	-	0.0%
Periodicals	418,200	415,491	438,314	22,823	5.5%
Electronic Periodicals / Subscriptions	254,500	238,846	239,548	702	0.3%
All Other Library Equipment	15,300	21,616	21,616	-	0.0%
Total Non-P.S. Library Expense	853,083	850,946	874,471	23,525	2.8%
Total Equipment (excludes Library)	550,000	975,000	450,000	(525,000)	-53.8%
Total Expenditures	127,856,817	124,353,868	128,280,247	3,926,379	3.2%
Addition to (Use of) Funds Before Transfers	7,084,159	9,787,700	7,857,084	(1,930,615)	-19.7%
Debt Service					
Debt Service (University Fee)	(3,695,400)	(3,629,173)	(3,747,828)	(118,655)	3.3%
Debt Service Residence Halls	(2,788,551)	(2,286,876)	(2,759,811)	(472,935)	20.7%
Debt Service Parking Garage	(455,168)	(412,103)	(435,578)	(23,475)	5.7%
Total Other Fund Transfers	(6,939,119)	(6,328,152)	(6,943,217)	(615,065)	9.7%
Other Fund Transfers					
Auxiliary Renewal and Replacement	(554,001)	(1,362,649)	(1,325,599)	37,050	-2.7%
Total Other Fund Requests	(554,001)	(1,362,649)	(1,325,599)	37,050	-2.7%
Additional Funds					
Developmental Education	408,961	375,212	411,732	36,520	9.7%
Total Additional Funds	408,961	375,212	411,732	36,520	9.7%
Net Change	\$ -	\$ 2,472,111	\$ 0	(2,472,110)	-100.0%

Southern Connecticut State University
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs
	Budget	Estimate	Budget	\$ Inc(Dec)
Revenue:				
Tuition (Gross)	40,854,899	42,402,380	43,851,209	1,448,829
Part Time Tuition (Gross)	9,017,040	8,209,744	8,488,751	279,007
General University Fee	8,732,921	8,120,884	8,452,513	331,629
University General Fee (excluding Accident Ins.)	24,653,040	24,979,645	27,389,003	2,409,358
University Fee	6,499,280	6,682,750	6,808,610	125,860
Extension Fee (Gross)	9,957,044	11,052,261	11,254,947	202,686
All Other Student Fees	4,086,691	4,095,926	5,055,800	959,874
Accident Insurance	2,113,540	1,861,370	849,991	(1,011,379)
Telecom Revenue	469,740	469,740	469,740	-
State Appropriations	45,457,392	45,112,415	42,472,560	(2,639,855)
Fringe Benefits Paid By State	39,554,331	40,001,167	38,489,124	(1,512,043)
Housing	16,762,060	17,372,472	17,905,154	532,683
Food Service	8,350,364	8,857,400	9,227,228	369,828
All Other Revenue	4,407,095	4,406,413	4,343,369	(63,044)
Less: ContraRevenue	(1,525,721)	(1,642,269)	(1,707,999)	(65,730)
Total Revenue	219,389,716	221,982,298	223,350,000	1,367,702
Expenditures:				
Personal Services:				
Total Full Time	80,210,576	79,901,945	80,210,516	308,571
Part Time:				
Lecturers	11,535,704	11,934,891	11,934,891	-
Perm/Intermit PT	927,856	926,132	926,132	-
University Assistants	1,180,263	1,256,769	1,256,769	-
Graduate Assistants	1,070,041	1,142,142	1,142,142	-
Other Part Time	3,041,000	3,192,452	3,307,452	115,000
Total Part Time	17,754,864	18,452,386	18,567,386	115,000
Overtime	975,000	1,066,448	1,066,448	-
All Other Personal Services	3,249,500	3,121,369	3,021,369	(100,000)
Subtotal Personal Services	102,189,940	102,542,148	102,865,719	323,571
Fringe Benefits	55,100,257	56,908,932	60,690,774	3,781,842
Worker's Comp. Recovery	438,000	400,000	400,000	-
Total P.S. & Fringe Benefits	157,728,197	159,851,080	163,956,493	4,105,413
Other Expenses:				
Inst. Financial Aid/Match	10,413,241	9,552,418	9,769,739	217,321
Waivers	1,991,877	2,253,770	2,170,000	(83,770)
Bad Debt Expense (current year)	20,000	27,100	27,100	-
All Other Expenses	37,779,007	35,270,378	34,886,943	(383,435)
Telecom Expense	906,400	886,400	786,400	(100,000)
Total Other Expenses	51,110,525	47,990,066	47,640,182	(349,884)
Library Expenses:				
Books	339,981	272,224	253,168	(19,056)
Periodicals	130,073	31,000	28,830	(2,170)
Electronic Periodicals / Subscriptions	1,521,188	1,409,890	1,311,198	(98,692)
All Other Library Equipment	43,631	15,000	13,950	(1,050)
Total Non-P.S. Library Expense	2,034,873	1,728,114	1,607,146	(120,968)
Total Equipment (excludes Library)	1,302,042	2,602,042	1,302,042	(1,300,000)
Total Expenditures	212,175,637	212,171,302	214,505,863	2,334,561
Addition to (Use of) Funds Before Transfers	7,214,079	9,810,996	8,844,136	(966,859)
Debt Service				
Debt Service (University Fee)	(6,324,280)	(6,507,750)	(6,633,610)	(125,860)
Debt Service Residence Halls	(961,704)	(912,180)	(1,062,180)	(150,000)
Debt Service Parking Garage	(1,328,289)	(1,382,794)	(1,532,794)	(150,000)
Total Debt Service	(8,614,273)	(8,802,724)	(9,228,584)	(425,860)
Other Fund Transfers				
Other Request - SCSU General Reserves	1,000,000	1,000,000	-	(1,000,000)
Total Other Fund Transfers	1,000,000	1,000,000	-	(1,000,000)
Additional Funds				
Developmental Education	408,961	375,212	411,732	36,520
Total Additional Funds	408,961	375,212	411,732	36,520
Net Change	\$ -	\$ 2,383,484	\$ 27,284	(2,356,199)

Western Connecticut State University
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	23,490,421	22,458,044	23,890,828	1,432,784	6.4%
Part Time Tuition (Gross)	4,956,615	4,941,429	4,834,553	(106,876)	-2.2%
General University Fee	4,793,741	4,682,711	4,533,357	(149,354)	-3.2%
University General Fee (excluding Accident Ins.)	13,604,619	12,959,233	14,873,076	1,913,843	14.8%
University Fee	3,785,900	3,619,104	3,791,788	172,684	4.8%
Extension Fee (Gross)	3,776,266	3,628,467	3,856,525	228,058	6.3%
All Other Student Fees	2,786,183	2,295,166	2,744,344	449,178	19.6%
Accident Insurance	1,280,899	1,431,525	459,536	(971,989)	-67.9%
Telecom Revenue	226,080	-	221,970	221,970	n.a.
State Appropriations	29,975,877	29,748,390	28,312,214	(1,436,176)	-4.8%
Fringe Benefits Paid By State	22,762,665	23,056,886	22,258,878	(798,008)	-3.5%
Housing	10,399,809	10,093,267	10,518,070	424,803	4.2%
Food Service	5,285,314	5,201,022	5,168,924	(32,098)	-0.6%
All Other Revenue	2,949,359	2,935,565	2,764,997	(170,568)	-5.8%
Less: ContraRevenue	(1,564,702)	(1,590,437)	(1,642,937)	(52,500)	3.3%
Total Revenue	128,509,046	125,460,371	126,586,123	1,125,751	0.9%
Expenditures:					
Personal Services:					
Total Full Time	47,857,004	46,543,630	47,288,609	744,979	1.6%
Part Time:					
Lecturers	6,788,000	7,122,149	7,086,897	(35,252)	-0.5%
Perm/Intermit PT	245,781	202,985	204,084	1,099	0.5%
University Assistants	794,247	741,131	818,587	77,456	10.5%
Graduate Assistants	202,520	192,475	197,529	5,054	2.6%
Other Part Time	1,791,624	2,021,943	1,872,367	(149,576)	-7.4%
Total Part Time	9,822,172	10,280,683	10,179,464	(101,219)	-1.0%
Overtime	964,033	890,870	842,955	(47,915)	-5.4%
All Other Personal Services	1,641,274	1,641,274	1,603,086	(38,188)	-2.3%
Subtotal Personal Services	60,284,483	59,356,457	59,914,114	557,657	0.9%
Fringe Benefits	31,783,024	31,149,798	32,710,058	1,560,260	5.0%
Worker's Comp. Recovery	271,617	309,507	208,002	(101,505)	-32.8%
Total P.S. & Fringe Benefits	92,339,124	90,815,762	92,832,174	2,016,412	2.2%
Other Expenses:					
Inst. Financial Aid/Match	5,338,825	5,194,445	5,495,144	300,699	5.8%
Waivers	1,138,208	1,101,841	1,114,176	12,335	1.1%
Bad Debt Expense (current year)	273,931	318,557	338,881	20,324	6.4%
All Other Expenses	23,796,387	22,959,322	22,012,707	(946,614)	-4.1%
Telecom Expense	707,520	700,053	654,477	(45,576)	-6.5%
Total Other Expenses	31,254,871	30,274,218	29,615,385	(658,832)	-2.2%
Library Expenses:					
Books	55,843	55,843	55,843	-	0.0%
Periodicals	55,250	55,250	55,250	-	0.0%
Electronic Periodicals / Subscriptions	326,950	326,950	280,451	(46,499)	-14.2%
All Other Library Equipment	336,457	336,457	336,457	-	0.0%
Total Non-P.S. Library Expense	774,500	774,500	728,001	(46,499)	-6.0%
Total Equipment (excludes Library)	235,000	235,000	235,000	-	0.0%
Total Expenditures	124,603,495	122,099,480	123,410,560	1,311,081	1.1%
Addition to (Use of) Funds Before Transfers	3,905,551	3,360,892	3,175,562	(185,329)	-5.5%
Debt Service					
Debt Service (University Fee)	(3,672,323)	(3,619,104)	(3,678,034)	(58,930)	1.6%
Debt Service Residence Hall	(1,441,290)	(1,180,413)	(1,180,413)	-	0.0%
Debt Service Parking Garage	(816,256)	(536,992)	(536,992)	-	0.0%
Debt Service WS Parking Garage	(73,253)	(62,749)	(62,749)	-	0.0%
Total Debt Service	(6,003,122)	(5,399,258)	(5,458,188)	(58,930)	1.1%
Other Fund Transfers					
Auxiliary Renewal and Replacement	(311,390)	(305,269)	(312,579)	(7,310)	2.4%
Other Request - 1 Time Use of Reserves	2,000,000	1,968,423	2,183,473	215,050	10.9%
Total Other Fund Transfers	1,688,610	1,663,154	1,870,894	207,740	12.5%
Additional Funds					
Developmental Education	408,961	375,212	411,732	36,520	9.7%
Total Additional Funds	408,961	375,212	411,732	36,520	9.7%
Net Change	\$ -	\$ (0)	\$ 0	\$ 1	-141.4%

System Office

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY2017	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)				-	n.a.
Part Time Tuition (Gross)				-	n.a.
General University Fee				-	n.a.
University General Fee (excluding Accident Ins.)				-	n.a.
University Fee				-	n.a.
Extension Fee (Gross)				-	n.a.
All Other Student Fees				-	n.a.
Accident Insurance				-	n.a.
Telecom Revenue				-	n.a.
State Appropriations	10,316,500	10,238,208	8,982,519	(1,255,689)	-12.3%
Fringe Benefits Paid By State	2,446,193	2,410,176	2,516,354	106,178	4.4%
Housing				-	n.a.
Food Service				-	n.a.
All Other Revenue	31,401	31,401	65,597	34,196	108.9%
Less: ContraRevenue				-	n.a.
Total Revenue	12,794,094	12,679,785	11,564,470	(1,115,315)	-8.8%
Expenditures:					
Personal Services:					
Total Full Time	5,360,450	5,083,818	4,557,357	(526,461)	-10.4%
Part Time:	-			-	n.a.
Lecturers	-			-	n.a.
Perm/Intermit PT	27,200	41,856	45,623	3,767	9.0%
University Assistants	-			-	n.a.
Graduate Assistants	-			-	n.a.
Other Part Time	-			-	n.a.
Total Part Time	27,200	41,856	45,623	3,767	9.0%
Overtime	-			-	n.a.
All Other Personal Services	-	28,221	129,564	101,343	359.1%
Subtotal Personal Services	5,387,650	5,153,895	4,732,544	(421,351)	-8.2%
				-	n.a.
Fringe Benefits	2,807,202	2,489,146	2,508,354	19,208	0.8%
Worker's Comp. Recovery	9,026	2,800	9,026	6,226	222.4%
Total P.S. & Fringe Benefits	8,203,878	7,645,841	7,249,924	(395,917)	-5.2%
Other Expenses:					
Inst. Financial Aid/Match	-			-	n.a.
Waivers	-			-	n.a.
Bad Debt Expense (current year)	-			-	n.a.
All Other Expenses	5,687,707	3,975,763	4,366,419	390,656	9.8%
Telecom Expense	(1,883,491)	(146,838)	(577,373)	(430,535)	293.2%
Total Other Expenses	3,804,216	3,828,925	3,789,046	(39,879)	-1.0%
Library Expenses:					
Books				-	n.a.
Periodicals				-	n.a.
Electronic Periodicals / Subscriptions	456,000	456,229	485,500	29,271	6.4%
All Other Library Equipment				-	n.a.
Total Non-P.S. Library Expense	456,000	456,229	485,500	29,271	6.4%
Total Equipment (excludes Library)	30,000	40,000	40,000	-	0.0%
Total Expenditures	12,494,094	11,970,995	11,564,470	(406,525)	-3.4%
Addition to (Use of) Funds Before Transfers	300,000	708,790	-	(708,790)	-100.0%
Other Fund Transfers					
Auxiliary Renewal and Replacement	(300,000)	(300,000)	-	300,000	-100.0%
Total Other Fund Transfers	(300,000)	(300,000)	-	300,000	-100.0%
Net Change	-	408,790	-	(408,790)	-100.0%

Connecticut Community Colleges
FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	130,964,085	124,547,920	126,084,591	1,536,671	1.2%
Fees	50,493,190	48,222,678	48,312,138	89,460	0.2%
State Appropriations	163,191,027	161,936,814	161,446,565	(490,249)	-0.3%
Fringe Benefits Paid By State	113,212,083	116,946,349	120,306,781	3,360,432	2.9%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	110,813	107,377	211,500	104,123	97.0%
Sales of Educational Activities	1,182,918	898,520	812,530	(85,990)	-9.6%
All Other Revenue	3,481,704	3,268,559	3,243,353	(25,206)	-0.8%
Total Revenue	462,635,820	455,928,217	460,417,458	4,489,241	1.0%
Expenditures:					
Personal Services:					
Total Full Time (6101)	166,611,333	163,096,206	160,799,257	(2,296,949)	-1.4%
Continuing Part-Time (6111)	1,409,273	1,767,488	1,565,591	(201,897)	-11.4%
Temporary Part-Time (6102, B, D, G)	20,470,027	20,955,027	20,708,850	(246,177)	-1.2%
Contractual PTL (6103D)	45,168,772	44,026,733	42,680,716	(1,346,017)	-3.1%
Contractual NCL (6103E)	4,084,730	3,930,820	3,805,502	(125,318)	-3.2%
Contractual ECL (6103F)	6,714,217	6,401,494	6,541,498	140,004	2.2%
Student Labor (6104, H)	2,418,851	2,596,321	2,418,503	(177,818)	-6.8%
Overtime (6107)	1,308,173	1,202,197	1,155,299	(46,898)	-3.9%
All Other Personal Services	9,196,367	5,746,878	6,712,523	965,645	16.8%
Subtotal Personal Services	257,381,743	249,723,164	246,387,739	(3,335,425)	-1.3%
Fringe Benefits	143,461,828	143,247,233	145,087,884	1,840,651	1.3%
Total P.S. & Fringe Benefits	400,843,571	392,970,397	391,475,623	(1,494,774)	-0.4%
Other Expenses:					
Inst. Financial Aid/Match	18,752,083	18,038,814	18,035,615	(3,199)	0.0%
Waivers	6,175,585	5,780,330	5,877,170	96,840	1.7%
All Other Expenses	53,634,238	51,605,033	51,046,634	(558,399)	-1.1%
Total Other Expenses	78,561,906	75,424,177	74,959,419	(464,758)	-0.6%
Library Expenses:					
Books	416,937	373,911	341,044	(32,867)	-8.8%
Periodicals	127,410	129,410	91,714	(37,696)	-29.1%
Electronic Periodicals / Subscriptions	329,371	343,745	343,152	(593)	-0.2%
All Other Library Equipment	36,035	36,135	30,860	(5,275)	-14.6%
Total Non-P.S. Library Expense	909,753	883,201	806,770	(76,431)	-8.7%
Total Equipment (excludes Library)	-	76,036	-	(76,036)	-100.0%
Total Expenditures	480,315,230	469,353,811	467,241,812	(2,111,999)	-0.4%
Addition to (Use of) Funds Before Transfers	(17,679,410)	(13,425,594)	(6,824,354)	6,601,240	-49.2%
Additional Funds					
Tuition Supplemental Funds	10,000,000	10,000,000	-	(10,000,000)	-100.0%
Additional Funds - Shared Services	-	-	(1,000,000)	(1,000,000)	n.a.
Developmental Education-Intensive & Embedded	6,052,623	5,383,609	6,093,633	710,024	13.2%
Developmental Education-Transitional	1,717,637	2,316,862	1,729,275	(587,587)	-25.4%
Early College	-	400,122	-	(400,122)	-100.0%
Total Additional Funds	17,770,260	18,100,593	6,822,908	(11,277,685)	-62.3%
Other Transfers					
Transfer in	6,951,077	10,131,136	10,137,406	6,270	0.1%
Transfer out	(6,866,078)	(11,458,838)	(10,005,620)	1,453,218	-12.7%
Total Other Transfers	84,999	(1,327,702)	131,786	1,459,488	-109.9%
Net Change	175,849	3,347,297	130,340	(3,216,957)	-96.1%

Total CCC FY16 Bud

Connecticut Community Colleges
FY17 Budget

All Colleges Consolidating

Account Name	Consolidated	System Office	Asnuntuck	Capital	Gateway	Housatonic	Manchester	Middlesex	Naugatuck	Northwestern	Norwalk	Quinebaug	Three Rivers	Tunxis
Revenue:														
Tuition (Gross)	126,084,591	-	3,898,460	8,000,550	18,598,647	13,793,500	15,714,941	7,292,270	16,920,521	3,426,283	15,648,392	3,635,713	9,539,854	9,615,460
Fees	48,312,138	-	2,632,113	3,650,704	6,536,413	3,244,098	7,247,566	2,711,703	6,351,672	1,021,000	6,716,180	1,415,278	2,664,839	4,120,572
State Appropriations	161,446,565	10,237,429	7,106,827	11,880,155	20,180,689	14,288,093	17,806,604	8,208,612	19,532,525	6,522,428	15,544,937	6,829,105	11,833,201	11,475,960
Fringe Benefits Paid By State	120,306,781	5,506,041	5,613,683	9,034,317	15,004,342	10,148,584	14,191,044	6,190,114	15,756,205	5,039,652	11,001,462	4,753,588	8,881,505	9,186,244
Government Grants & Contracts	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Private Gifts, Grants and Contracts	211,500	-	-	-	-	-	-	16,000	-	192,500	-	-	-	3,000
Sales of Educational Activities	812,530	-	20,489	25,000	21,000	-	10,700	3,500	109,120	-	210,000	-	300,721	112,000
All Other Revenue	3,243,353	-	116,500	271,275	488,907	280,000	202,230	164,545	295,516	90,000	290,000	134,886	550,849	358,645
Total Revenue	460,417,458	15,743,470	19,388,072	32,862,001	60,829,998	41,754,275	55,173,085	24,586,744	58,965,559	16,291,863	49,410,971	16,768,570	33,770,969	34,871,881
Expenditures:														
Personnel Services:														
Full Time (6101)	160,799,257	10,089,957	5,229,308	11,969,278	18,372,932	14,612,937	19,400,462	8,736,484	19,171,300	6,665,971	17,239,770	6,206,919	11,540,056	11,563,883
Continuing Part Time (6111)	1,565,591	-	26,572	30,432	60,064	174,155	82,244	-	290,553	57,485	140,291	316,437	-	387,358
Temporary Part Time (6102, B, D, G)	20,708,850	112,133	2,395,613	1,995,851	3,405,134	1,172,734	1,844,971	385,000	3,282,335	487,753	2,620,772	558,971	1,144,388	1,303,195
Contractual PTL (6103D)	42,680,716	-	1,431,398	2,142,703	6,968,592	4,403,654	5,289,175	2,606,029	5,732,888	1,205,786	4,555,774	1,150,565	3,663,162	3,530,990
Contractual NCL (6103E)	3,805,502	-	432,582	382,055	380,900	225,547	375,000	125,000	373,493	81,515	662,205	168,984	200,000	398,221
Contractual ECL (6103F)	6,541,498	-	342,928	432,650	954,110	311,598	1,280,000	441,542	737,248	150,000	727,304	60,000	343,644	760,474
Student Labor (6104, H)	2,418,503	35,339	39,988	95,000	656,666	100,000	310,000	170,000	213,719	25,000	440,000	15,075	170,000	147,716
Overtime (6107)	1,155,299	-	34,564	80,000	281,682	81,000	89,197	25,000	337,600	30,699	44,500	69,885	13,500	67,672
All Other Personnel Services	6,712,523	2,276,297	69,250	275,000	418,292	370,750	591,434	256,228	877,485	117,124	510,085	180,605	388,655	381,318
Subtotal Personnel Services	246,387,739	12,513,726	10,002,203	17,402,969	31,498,372	21,452,375	29,262,483	12,745,283	31,016,621	8,821,333	26,940,701	8,727,441	17,463,405	18,540,827
Fringe Benefits	145,087,884	5,791,557	6,362,401	10,180,737	18,382,954	11,800,000	18,315,160	7,248,678	19,909,522	5,541,077	14,310,465	5,151,051	10,553,767	11,540,515
Total P.S. & Fringe Benefits	391,475,623	18,305,283	16,364,604	27,583,706	49,881,326	33,252,375	47,577,643	19,993,961	50,926,143	14,362,410	41,251,166	13,878,492	28,017,172	30,081,342
Other Expenses:														
Inst. Financial Aid/Match	18,035,615	-	575,899	1,200,083	2,383,393	1,971,525	2,265,591	1,153,841	2,450,959	466,239	2,263,559	545,357	1,368,814	1,390,355
Waivers	5,877,170	-	258,604	550,000	948,498	650,000	611,000	410,320	580,792	318,022	558,000	154,519	490,985	346,430
All Other Expenses	51,046,634	6,443,806	2,126,691	3,254,435	7,236,443	5,612,987	4,547,776	2,908,575	4,546,417	1,030,653	4,950,000	2,001,733	3,500,960	2,886,158
Total Other Expenses	74,959,419	6,443,806	2,961,194	5,004,518	10,568,334	8,234,512	7,424,367	4,472,736	7,578,168	1,814,914	7,771,559	2,701,609	5,360,759	4,622,943
Library Expenses:														
Books	341,044	-	-	33,476	67,980	37,500	26,000	5,000	47,790	11,098	20,200	50,000	27,000	15,000
Periodicals	91,714	-	-	-	-	-	-	-	21,749	9,965	60,000	-	-	-
Electronic Periodicals / Subscriptions	343,152	-	-	64,400	-	-	-	-	74,935	36,030	47,787	47,000	73,000	-
All Other Library Equipment	30,860	-	-	-	-	-	-	-	7,530	5,630	-	17,700	-	-
Total Non-P.S. Library Expense	806,770	-	-	97,876	67,980	37,500	26,000	5,000	152,004	62,723	127,987	114,700	100,000	15,000
Total Equipment (excludes Library)	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Expenditures	467,241,812	24,749,089	19,325,798	32,686,100	60,517,640	41,524,387	55,028,010	24,471,697	58,656,315	16,240,047	49,150,712	16,694,801	33,477,931	34,719,285
Addition to (Use of) Funds Before Transfers	(6,824,354)	(9,005,619)	62,274	175,901	312,358	229,888	145,075	115,047	309,244	51,816	260,259	73,769	293,038	152,596
Other Transfers														
Additional Funds - Shared Services	(1,000,000)	(1,000,000)	-	-	-	-	-	-	-	-	-	-	-	-
Developmental Education-Intensive & Embedded	6,093,633	-	189,279	413,875	869,215	603,748	830,724	328,956	802,353	153,368	712,078	203,564	500,379	486,094
Developmental Education-Transitional	1,729,275	-	53,715	117,451	246,669	171,334	235,746	93,353	227,694	43,523	202,076	57,768	142,000	137,946
Early College	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfer in	10,137,406	10,005,619	-	-	-	-	131,787	-	-	-	-	-	-	-
Transfer out	(10,005,620)	-	(305,268)	(707,227)	(1,428,242)	(1,004,970)	(1,343,332)	(537,356)	(1,314,231)	(248,707)	(1,174,413)	(335,101)	(830,137)	(776,636)
Total Other Transfers	6,954,694	9,005,619	(62,274)	(175,901)	(312,358)	(229,888)	(145,075)	(115,047)	(284,184)	(51,816)	(260,259)	(73,769)	(187,758)	(152,596)
Net Change	130,340	-	-	-	-	-	-	-	25,060	-	-	-	105,280	-

System Office

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	-	-	-	-	n.a.
Fees	-	-	-	-	n.a.
State Appropriations	13,717,546	9,537,292	10,237,429	700,137	7.3%
Fringe Benefits Paid By State	5,562,606	5,058,806	5,506,041	447,235	8.8%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	-	-	-	-	n.a.
All Other Revenue	-	-	-	-	n.a.
Total Revenue	19,280,152	14,596,098	15,743,470	1,147,372	7.9%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	10,011,725	9,507,528	10,089,957	582,429	6.1%
Continuing Part-Time (6111)	-	-	-	-	n.a.
Temporary Part-Time (6102, B, D, G)	112,500	109,774	112,133	2,359	2.1%
Contractual PTL (6103D)	-	-	-	-	n.a.
Contractual NCL (6103E)	-	-	-	-	n.a.
Contractual ECL (6103F)	-	-	-	-	n.a.
Student Labor	62,998	49,087	35,339	(13,748)	-28.0%
Overtime	-	-	-	-	n.a.
All Other Personal Services	4,108,574	511,335	2,276,297	1,764,962	345.2%
Subtotal Personal Services	14,295,797	10,177,724	12,513,726	2,336,002	23.0%
Fringe Benefits	5,868,423	5,290,317	5,791,557	501,240	9.5%
Total P.S. & Fringe Benefits	20,164,220	15,468,041	18,305,283	2,837,242	18.3%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	-	-	-	-	n.a.
Waivers	-	-	-	-	n.a.
All Other Expenses	5,982,009	5,554,712	6,443,806	889,094	16.0%
Total Other Expenses	5,982,009	5,554,712	6,443,806	889,094	16.0%
<u>Library Expenses:</u>					
Books	-	-	-	-	n.a.
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	-	-	-	-	n.a.
Total Equipment (excludes Library)	-	30,000	-	(30,000)	-100.0%
Total Expenditures	26,146,229	21,052,753	24,749,089	3,696,336	17.6%
Addition to (Use of) Funds Before Transfers	(6,866,077)	(6,456,655)	(9,005,619)	(2,548,964)	39.5%
Other Transfers					
Additional Funds - Shared Services	-	-	(1,000,000)	(1,000,000)	n.a.
Early College (Remaining Funds)	-	10,122	-	(10,122)	-100.0%
Transfer in SO & SW	6,866,077	9,133,989	10,005,619	871,630	9.5%
Transfer out	-	(1,955,211)	-	1,955,211	-100.0%
Total Other Transfers	6,866,077	7,188,900	9,005,619	1,816,719	25.3%
Net Change	-	732,245	-	(732,245)	-100.0%

* BOR approved transfers are from reserves (expenses were not budgeted).

Asnuntuck Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	4,032,211	3,855,300	3,898,460	43,160	1.1%
Fees	2,709,498	2,594,638	2,632,113	37,475	1.4%
State Appropriations	7,021,182	7,089,449	7,106,827	17,378	0.2%
Fringe Benefits Paid By State	5,197,424	5,384,437	5,613,683	229,246	4.3%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	44,200	20,489	20,489	-	0.0%
All Other Revenue	145,500	103,501	116,500	12,999	12.6%
Total Revenue	19,150,015	19,047,814	19,388,072	340,258	1.8%
Expenditures:					
Personal Services:					
Total Full Time (6101)	6,578,935	5,794,135	5,229,308	(564,827)	-9.7%
Continuing Part-Time (6111)	18,305	25,890	26,572	682	2.6%
Temporary Part-Time (6102, B, D, G)	1,578,802	2,016,964	2,395,613	378,649	18.8%
Contractual PTL (6103D)	1,323,926	1,431,398	1,431,398	-	0.0%
Contractual NCL (6103E)	443,889	432,582	432,582	-	0.0%
Contractual ECL (6103F)	282,110	335,087	342,928	7,841	2.3%
Student Labor (6104, H)	39,988	33,387	39,988	6,601	19.8%
Overtime (6107)	29,976	34,564	34,564	-	0.0%
All Other Personal Services	168,261	234,153	69,250	(164,903)	-70.4%
Subtotal Personal Services	10,464,192	10,338,160	10,002,203	(335,957)	-3.2%
Fringe Benefits	6,079,474	6,335,743	6,362,401	26,658	0.4%
Total P.S. & Fringe Benefits	16,543,666	16,673,903	16,364,604	(309,299)	-1.9%
Other Expenses:					
Inst. Financial Aid/Match	616,245	545,155	575,899	30,744	5.6%
Waivers	270,500	267,601	258,604	(8,997)	-3.4%
All Other Expenses	2,356,370	2,272,988	2,126,691	(146,297)	-6.4%
Total Other Expenses	3,243,115	3,085,744	2,961,194	(124,550)	-4.0%
Library Expenses:					
Books	-	-	-	-	n.a.
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	-	-	-	-	n.a.
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	19,786,781	19,759,647	19,325,798	(433,849)	-2.2%
Addition to (Use of) Funds Before Transfers	(636,766)	(711,833)	62,274	774,107	-108.7%
Other Transfers					
Tuition Supplemental Funds	601,424	601,424	-	(601,424)	-100.0%
Developmental Education - Intensive & Embedded	188,005	167,224	189,279	22,055	13.2%
Developmental Education - Transitional	53,353	107,108	53,715	(53,393)	-49.8%
Early College	-	-	-	-	n.a.
GBTGA	-	-	-	-	n.a.
Transfer in	-	106,714	-	(106,714)	-100.0%
Transfer out	(206,016)	(270,637)	(305,268)	(34,631)	12.8%
Total Other Transfers	636,766	711,833	(62,274)	(774,107)	-108.7%
Net Change	-	-	-	-	n.a.

Capital Connecticut Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	9,193,988	7,988,336	8,000,550	12,214	0.2%
Fees	4,063,466	3,722,313	3,650,704	(71,609)	-1.9%
State Appropriations	12,053,210	12,260,123	11,880,155	(379,968)	-3.1%
Fringe Benefits Paid By State	8,430,533	9,028,774	9,034,317	5,543	0.1%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	25,000	25,000	25,000	-	0.0%
All Other Revenue	270,001	212,009	271,275	59,266	28.0%
Total Revenue	34,036,198	33,236,555	32,862,001	(374,554)	-1.1%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	12,517,050	12,510,803	11,969,278	(541,525)	-4.3%
Continuing Part Time (6111)	30,432	30,432	30,432	-	0.0%
Temporary Part Time (6102, B, D, G)	2,322,265	2,249,063	1,995,851	(253,212)	-11.3%
Contractual PTL (6103D)	2,957,180	2,380,781	2,142,703	(238,078)	-10.0%
Contractual NCL (6103E)	382,055	382,055	382,055	-	0.0%
Contractual ECL (6103F)	432,650	432,650	432,650	-	0.0%
Student Labor	100,000	100,000	95,000	(5,000)	-5.0%
Overtime	80,000	80,000	80,000	-	0.0%
All Other Personal Services	278,707	278,707	275,000	(3,707)	-1.3%
Subtotal Personal Services	19,100,339	18,444,491	17,402,969	(1,041,522)	-5.6%
Fringe Benefits	10,329,430	10,443,026	10,180,737	(262,289)	-2.5%
Total P.S. & Fringe Benefits	29,429,769	28,887,517	27,583,706	(1,303,811)	-4.5%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	1,294,852	1,193,556	1,200,083	6,527	0.5%
Waivers	641,641	624,672	550,000	(74,672)	-12.0%
All Other Expenses	3,610,676	3,267,784	3,254,435	(13,349)	-0.4%
Total Other Expenses	5,547,169	5,086,012	5,004,518	(81,494)	-1.6%
<u>Library Expenses:</u>					
Books	35,000	35,000	33,476	(1,524)	-4.4%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	70,000	70,000	64,400	(5,600)	-8.0%
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	105,000	105,000	97,876	(7,124)	-6.8%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	35,081,938	34,078,529	32,686,100	(1,392,429)	-4.1%
Addition to (Use of) Funds Before Transfers	(1,045,740)	(841,974)	175,901	1,017,875	-120.9%
Other Transfers					
Tuition Supplemental Funds	1,022,756	1,022,756	-	(1,022,756)	-100.0%
Developmental Education - Intensive & Embedded	411,089	365,650	413,875	48,225	13.2%
Developmental Education - Transitional	116,660	116,660	117,451	791	0.7%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	-	-	-	n.a.
Transfer out	(504,765)	(663,092)	(707,227)	(44,135)	6.7%
Total Other Transfers	1,045,740	841,974	(175,901)	(1,017,875)	-120.9%
Net Change	-	-	-	-	n.a.

Capital CC

Gateway Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	19,337,292	18,151,219	18,598,647	447,428	2.5%
Fees	7,011,473	6,459,691	6,536,413	76,722	1.2%
State Appropriations	19,359,494	19,764,295	20,180,689	416,394	2.1%
Fringe Benefits Paid By State	14,077,415	14,131,471	15,004,342	872,871	6.2%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	17,000	43,973	21,000	(22,973)	-52.2%
All Other Revenue	400,000	406,287	488,907	82,620	20.3%
Total Revenue	60,202,674	58,956,936	60,829,998	1,873,062	3.2%
Expenditures:					
Personal Services:					
Total Full Time	18,218,758	17,868,462	18,372,932	504,470	2.8%
Continuing Part Time (6111)	71,787	80,562	60,064	(20,498)	-25.4%
Temporary Part Time (6102, B, D, G)	3,881,939	3,567,328	3,405,134	(162,194)	-4.5%
Contractual PTL (6103D)	7,643,061	7,345,318	6,968,592	(376,726)	-5.1%
Contractual NCL (6103E)	587,908	410,000	380,900	(29,100)	-7.1%
Contractual ECL (6103F)	1,060,430	970,281	954,110	(16,171)	-1.7%
Student Labor	677,577	666,666	656,666	(10,000)	-1.5%
Overtime	349,160	307,357	281,682	(25,675)	-8.4%
All Other Personal Services	397,084	564,212	418,292	(145,920)	-25.9%
Subtotal Personal Services	32,887,704	31,780,186	31,498,372	(281,814)	-0.9%
Fringe Benefits	17,233,161	17,634,825	18,382,954	748,129	4.2%
Total P.S. & Fringe Benefits	50,120,865	49,415,011	49,881,326	466,315	0.9%
Other Expenses:					
Inst. Financial Aid/Match	2,554,248	2,297,249	2,383,393	86,144	3.7%
Waivers	822,306	875,294	948,498	73,204	8.4%
All Other Expenses	7,676,933	7,278,872	7,236,443	(42,429)	-0.6%
Total Other Expenses	11,053,487	10,451,415	10,568,334	116,919	1.1%
Library Expenses:					
Books	67,980	67,980	67,980	-	0.0%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	67,980	67,980	67,980	-	0.0%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	61,242,332	59,934,406	60,517,640	583,234	1.0%
Addition to (Use of) Funds Before Transfers	(1,039,658)	(977,470)	312,358	1,289,828	-132.0%
Other Transfers					
Tuition Supplemental Funds	864,057	864,057	-	(864,057)	-100.0%
Developmental Education - Intensive & Embedded	863,366	767,936	869,215	101,279	13.2%
Developmental Education - Transitional	245,009	355,733	246,669	(109,064)	-30.7%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	215,097	-	(215,097)	-100.0%
Transfer out	(932,774)	(1,225,353)	(1,428,242)	(202,889)	16.6%
Total Other Transfers	1,039,658	977,470	(312,358)	(1,289,828)	-132.0%
Net Change	-	-	-	-	n.a.

Housatonic Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	12,638,880	12,638,000	13,793,500	1,155,500	9.1%
Fees	3,850,928	3,198,361	3,244,098	45,737	1.4%
State Appropriations	14,524,674	14,838,330	14,288,093	(550,237)	-3.7%
Fringe Benefits Paid By State	9,655,232	10,220,469	10,148,584	(71,885)	-0.7%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	260,000	-	-	-	n.a.
All Other Revenue	400,000	232,000	280,000	48,000	20.7%
Total Revenue	41,329,714	41,127,160	41,754,275	627,115	1.5%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	14,705,366	14,612,937	14,612,937	-	0.0%
Continuing Part-Time (6111)	143,482	174,156	174,155	(1)	0.0%
Temporary Part-Time (6102, B, D, G)	642,442	1,172,734	1,172,734	-	0.0%
Contractual PTL (6103D)	4,421,790	4,403,654	4,403,654	-	0.0%
Contractual NCL (6103E)	187,861	225,547	225,547	-	0.0%
Contractual ECL (6103F)	459,558	311,598	311,598	-	0.0%
Student Labor	114,647	76,000	100,000	24,000	31.6%
Overtime	145,000	81,000	81,000	-	0.0%
All Other Personal Services	370,750	370,750	370,750	-	0.0%
Subtotal Personal Services	21,190,896	21,428,376	21,452,375	23,999	0.1%
Fringe Benefits	11,587,685	11,819,000	11,800,000	(19,000)	-0.2%
Total P.S. & Fringe Benefits	32,778,581	33,247,376	33,252,375	4,999	0.0%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	1,816,782	2,212,162	1,971,525	(240,637)	-10.9%
Waivers	527,000	620,000	650,000	30,000	4.8%
All Other Expenses	7,195,216	5,744,435	5,612,987	(131,448)	-2.3%
Total Other Expenses	9,538,998	8,576,597	8,234,512	(342,085)	-4.0%
<u>Library Expenses:</u>					
Books	37,500	37,500	37,500	-	0.0%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	37,500	37,500	37,500	-	0.0%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	42,355,079	41,861,473	41,524,387	(337,086)	-0.8%
Addition to (Use of) Funds Before Transfers	(1,025,365)	(734,313)	229,888	964,201	-131.3%
Other Transfers					
Tuition Supplemental Funds	972,080	972,080	-	(972,080)	-100.0%
Developmental Education - Intensive & Embedded	599,685	533,400	603,748	70,348	13.2%
Developmental Education - Transitional	170,181	170,181	171,334	1,153	0.7%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	-	-	-	n.a.
Transfer out	(716,581)	(941,348)	(1,004,970)	(63,622)	6.8%
Total Other Transfers	1,025,365	734,313	(229,888)	(964,201)	-131.3%
Net Change	0	-	-	-	n.a.

Manchester Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	16,913,309	15,840,687	15,714,941	(125,746)	-0.8%
Fees	7,434,444	7,270,260	7,247,566	(22,694)	-0.3%
State Appropriations	17,583,244	17,992,908	17,806,604	(186,304)	-1.0%
Fringe Benefits Paid By State	13,158,930	13,913,364	14,191,044	277,680	2.0%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	10,700	9,864	10,700	836	8.5%
All Other Revenue	206,102	299,907	202,230	(97,677)	-32.6%
Total Revenue	55,306,729	55,326,990	55,173,085	(153,905)	-0.3%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	20,776,168	20,187,385	19,400,462	(786,923)	-3.9%
Continuing Part-Time (6111)	81,792	91,019	82,244	(8,775)	-9.6%
Temporary Part-Time (6102, B, D, G)	1,718,649	1,691,044	1,844,971	153,927	9.1%
Contractual PTL (6103D)	5,190,374	5,389,175	5,289,175	(100,000)	-1.9%
Contractual NCL (6103E)	445,262	325,000	375,000	50,000	15.4%
Contractual ECL (6103F)	1,342,115	1,280,007	1,280,000	(7)	0.0%
Student Labor	284,400	319,340	310,000	(9,340)	-2.9%
Overtime	99,855	89,197	89,197	-	0.0%
All Other Personal Services	716,102	616,514	591,434	(25,080)	-4.1%
Subtotal Personal Services	30,654,717	29,988,681	29,262,483	(726,198)	-2.4%
Fringe Benefits	17,472,516	17,871,969	18,315,160	443,191	2.5%
Total P.S. & Fringe Benefits	48,127,233	47,860,650	47,577,643	(283,007)	-0.6%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	2,434,263	2,434,263	2,265,591	(168,672)	-6.9%
Waivers	822,275	603,130	611,000	7,870	1.3%
All Other Expenses	4,976,960	4,726,154	4,547,776	(178,378)	-3.8%
Total Other Expenses	8,233,498	7,763,547	7,424,367	(339,180)	-4.4%
<u>Library Expenses:</u>					
Books	26,000	18,846	26,000	7,154	38.0%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	26,000	18,846	26,000	7,154	38.0%
Total Equipment (excludes Library)	-	46,036	-	(46,036)	-100.0%
Total Expenditures	56,386,731	55,689,079	55,028,010	(661,069)	-1.2%
Addition to (Use of) Funds Before Transfers	(1,080,002)	(362,089)	145,075	507,164	-140.1%
Other Transfers					
Tuition Supplemental Funds	938,640	938,640	-	(938,640)	-100.0%
Developmental Education - Intensive & Embedded	825,133	733,929	830,724	96,795	13.2%
Developmental Education - Transitional	234,160	457,983	235,746	(222,237)	-48.5%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	148,720	131,787	(16,933)	-11.4%
Transfer out	(917,931)	(1,205,855)	(1,343,332)	(137,477)	11.4%
Total Other Transfers	1,080,002	1,073,417	(145,075)	(1,218,492)	-113.5%
Net Change	-	711,328	-	(711,328)	-100.0%

Middlesex Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	7,448,098	7,045,672	7,292,270	246,598	3.5%
Fees	2,661,245	2,645,101	2,711,703	66,602	2.5%
State Appropriations	7,930,700	8,079,383	8,208,612	129,229	1.6%
Fringe Benefits Paid By State	5,432,946	5,791,163	6,190,114	398,951	6.9%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	16,613	11,877	16,000	4,123	34.7%
Sales of Educational Activities	2,288	3,100	3,500	400	12.9%
All Other Revenue	262,306	136,180	164,545	28,365	20.8%
Total Revenue	23,754,196	23,712,476	24,586,744	874,268	3.7%
Expenditures:					
Personal Services:					
Total Full Time	8,822,007	8,855,648	8,736,484	(119,164)	-1.3%
Continuing Part-Time (6111)	94,579	127,087	-	(127,087)	-100.0%
Temporary Part-Time (6102, B, D, G)	477,968	409,271	385,000	(24,271)	-5.9%
Contractual PTL (6103D)	2,712,329	2,633,904	2,606,029	(27,875)	-1.1%
Contractual NCL (6103E)	146,581	121,900	125,000	3,100	2.5%
Contractual ECL (6103F)	486,505	382,007	441,542	59,535	15.6%
Student Labor	186,000	151,610	170,000	18,390	12.1%
Overtime	29,779	21,000	25,000	4,000	19.0%
All Other Personal Services	342,658	342,658	256,228	(86,430)	-25.2%
Subtotal Personal Services	13,298,406	13,045,085	12,745,283	(299,802)	-2.3%
Fringe Benefits	6,974,968	6,809,590	7,248,678	439,088	6.4%
Total P.S. & Fringe Benefits	20,273,374	19,854,675	19,993,961	139,286	0.7%
Other Expenses:					
Inst. Financial Aid/Match	1,055,075	1,055,075	1,153,841	98,766	9.4%
Waivers	413,710	402,720	410,320	7,600	1.9%
All Other Expenses	2,709,754	2,898,776	2,908,575	9,799	0.3%
Total Other Expenses	4,178,539	4,356,571	4,472,736	116,165	2.7%
Library Expenses:					
Books	9,965	5,049	5,000	(49)	-1.0%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	9,965	5,049	5,000	(49)	-1.0%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	24,461,878	24,216,295	24,471,697	255,402	1.1%
Addition to (Use of) Funds Before Transfers	(707,682)	(503,819)	115,047	618,866	-122.8%
Other Transfers					
Tuition Supplemental Funds	641,454	641,454	-	(641,454)	-100.0%
Developmental Education - Intensive & Embedded	326,743	290,627	328,956	38,329	13.2%
Developmental Education - Transitional	92,725	92,725	93,353	628	0.7%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	-	-	-	n.a.
Transfer out	(353,240)	(464,039)	(537,356)	(73,317)	15.8%
Total Other Transfers	707,682	560,767	(115,047)	(675,814)	-120.5%
Net Change	-	56,948	-	(56,948)	-100.0%

Naugatuck Valley Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	17,269,754	16,597,788	16,920,521	322,733	1.9%
Fees	6,297,767	6,277,538	6,351,672	74,134	1.2%
State Appropriations	18,946,590	19,337,577	19,532,525	194,948	1.0%
Fringe Benefits Paid By State	14,211,342	15,152,889	15,756,205	603,316	4.0%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	91,730	91,730	109,120	17,390	19.0%
All Other Revenue	369,419	349,944	295,516	(54,428)	-15.6%
Total Revenue	57,186,602	57,807,466	58,965,559	1,158,093	2.0%
Expenditures:					
Personal Services:					
Total Full Time	19,754,085	19,468,990	19,171,300	(297,690)	-1.5%
Continuing Part Time (6111)	380,834	318,141	290,553	(27,588)	-8.7%
Temporary Part Time (6102, B, D, G)	3,045,661	2,930,538	3,282,335	351,797	12.0%
Contractual PTL (6103D)	5,959,115	5,868,403	5,732,888	(135,515)	-2.3%
Contractual NCL (6103E)	333,200	395,746	373,493	(22,253)	-5.6%
Contractual ECL (6103F)	657,781	736,319	737,248	929	0.1%
Student Labor	166,917	231,512	213,719	(17,793)	-7.7%
Overtime	337,600	317,300	337,600	20,300	6.4%
All Other Personal Services	877,621	1,103,394	877,485	(225,909)	-20.5%
Subtotal Personal Services	31,512,814	31,370,343	31,016,621	(353,722)	-1.1%
Fringe Benefits	19,021,219	19,500,188	19,909,522	409,334	2.1%
Total P.S. & Fringe Benefits	50,534,033	50,870,531	50,926,143	55,612	0.1%
Other Expenses:					
Inst. Financial Aid/Match	2,410,400	2,200,000	2,450,959	250,959	11.4%
Waivers	616,184	580,675	580,792	117	0.0%
All Other Expenses	4,429,079	4,384,680	4,546,417	161,737	3.7%
Total Other Expenses	7,455,663	7,165,355	7,578,168	412,813	5.8%
Library Expenses:					
Books	30,000	47,790	47,790	-	0.0%
Periodicals	19,749	21,749	21,749	-	0.0%
Electronic Periodicals / Subscriptions	66,985	74,935	74,935	-	0.0%
All Other Library Equipment	7,530	7,530	7,530	-	0.0%
Total Non-P.S. Library Expense	124,264	152,004	152,004	-	0.0%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	58,113,960	58,187,890	58,656,315	468,425	0.8%
Addition to (Use of) Funds Before Transfers	(927,358)	(380,424)	309,244	689,668	-181.3%
Other Transfers					
Tuition Supplemental Funds	809,097	809,097		(809,097)	-100.0%
Developmental Education - Intensive & Embedded	796,953	708,864	802,353	93,489	13.2%
Developmental Education - Transitional	226,162	265,869	227,694	(38,175)	-14.4%
Early College		50,000		(50,000)	-100.0%
GBGTA		-		-	n.a.
Transfer in		-		-	n.a.
Transfer out	(904,155)	(1,187,758)	(1,314,231)	(126,473)	10.6%
Total Other Transfers	928,057	646,072	(284,184)	(930,256)	-144.0%
Net Change	699	265,648	25,060	(240,588)	-90.6%

Northwestern Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	3,405,823	3,310,418	3,426,283	115,865	3.5%
Fees	1,017,940	986,868	1,021,000	34,132	3.5%
State Appropriations	6,457,294	6,507,442	6,522,428	14,986	0.2%
Fringe Benefits Paid By State	4,835,186	4,835,029	5,039,652	204,623	4.2%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	92,500	92,500	192,500	100,000	108.1%
Sales of Educational Activities	-	-	-	-	n.a.
All Other Revenue	90,000	110,788	90,000	(20,788)	-18.8%
Total Revenue	15,898,743	15,843,045	16,291,863	448,818	2.8%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	6,961,201	6,849,088	6,665,971	(183,117)	-2.7%
Continuing Part-Time (6111)	60,296	60,296	57,485	(2,811)	-4.7%
Temporary Part-Time (6102, B, D, G)	422,456	419,536	487,753	68,217	16.3%
Contractual PTL (6103D)	1,178,864	1,180,392	1,205,786	25,394	2.2%
Contractual NCL (6103E)	81,515	85,504	81,515	(3,989)	-4.7%
Contractual ECL (6103F)	113,348	113,691	150,000	36,309	31.9%
Student Labor	25,000	31,857	25,000	(6,857)	-21.5%
Overtime	30,699	31,551	30,699	(852)	-2.7%
All Other Personal Services	99,571	177,528	117,124	(60,404)	-34.0%
Subtotal Personal Services	8,972,950	8,949,443	8,821,333	(128,110)	-1.4%
Fringe Benefits	5,572,202	5,657,858	5,541,077	(116,781)	-2.1%
Total P.S. & Fringe Benefits	14,545,152	14,607,301	14,362,410	(244,891)	-1.7%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	510,873	510,873	466,239	(44,634)	-8.7%
Waivers	326,217	326,217	318,022	(8,195)	-2.5%
All Other Expenses	1,320,821	1,331,911	1,030,653	(301,258)	-22.6%
Total Other Expenses	2,157,911	2,169,001	1,814,914	(354,087)	-16.3%
<u>Library Expenses:</u>					
Books	18,092	27,598	11,098	(16,500)	-59.8%
Periodicals	9,965	9,965	9,965	-	0.0%
Electronic Periodicals / Subscriptions	35,360	36,030	36,030	-	0.0%
All Other Library Equipment	5,630	5,630	5,630	-	0.0%
Total Non-P.S. Library Expense	69,047	79,223	62,723	(16,500)	-20.8%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	16,772,110	16,855,525	16,240,047	(615,478)	-3.7%
Addition to (Use of) Funds Before Transfers	(873,367)	(1,012,480)	51,816	1,064,296	-105.1%
Other Transfers					
Tuition Supplemental Funds	845,992	845,992	-	(845,992)	-100.0%
Developmental Education - Intensive & Embedded	152,335	135,497	153,368	17,871	13.2%
Developmental Education - Transitional	43,230	54,078	43,523	(10,555)	-19.5%
Early College	-	-	-	-	n.a.
GBGTA	-	-	-	-	n.a.
Transfer in	-	230,649	-	(230,649)	-100.0%
Transfer out	(168,189)	(240,523)	(248,707)	(8,184)	3.4%
Total Other Transfers	873,368	1,025,693	(51,816)	(1,077,509)	-105.1%
Net Change	1	13,213	-	(13,213)	-100.0%

Norwalk Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	16,200,548	15,511,000	15,648,392	137,392	0.9%
Fees	6,867,381	6,646,397	6,716,180	69,783	1.0%
State Appropriations	15,374,496	15,733,719	15,544,937	(188,782)	-1.2%
Fringe Benefits Paid By State	10,380,600	11,023,816	11,001,462	(22,354)	-0.2%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	180,000	204,052	210,000	5,948	2.9%
All Other Revenue	330,500	265,594	290,000	24,406	9.2%
Total Revenue	49,333,525	49,384,578	49,410,971	26,393	0.1%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	17,527,932	17,391,089	17,239,770	(151,319)	-0.9%
Continuing Part-Time (6111)	121,777	131,276	140,291	9,015	6.9%
Temporary Part-Time (6102, B, D, G)	2,838,871	3,035,441	2,620,772	(414,669)	-13.7%
Contractual PTL (6103D)	4,740,707	4,663,385	4,555,774	(107,611)	-2.3%
Contractual NCL (6103E)	595,496	672,887	662,205	(10,682)	-1.6%
Contractual ECL (6103F)	700,463	727,304	727,304	-	0.0%
Student Labor	418,234	442,857	440,000	(2,857)	-0.6%
Overtime	76,764	44,500	44,500	-	0.0%
All Other Personal Services	750,085	510,085	510,085	-	0.0%
Subtotal Personal Services	27,770,329	27,618,824	26,940,701	(678,123)	-2.5%
Fringe Benefits	13,857,394	14,248,916	14,310,465	61,549	0.4%
Total P.S. & Fringe Benefits	41,627,723	41,867,740	41,251,166	(616,574)	-1.5%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	2,346,382	1,877,518	2,263,559	386,041	20.6%
Waivers	558,000	528,000	558,000	30,000	5.7%
All Other Expenses	5,441,992	5,745,104	4,950,000	(795,104)	-13.8%
Total Other Expenses	8,346,374	8,150,622	7,771,559	(379,063)	-4.7%
<u>Library Expenses:</u>					
Books	40,200	40,200	20,200	(20,000)	-49.8%
Periodicals	97,696	97,696	60,000	(37,696)	-38.6%
Electronic Periodicals / Subscriptions	47,026	47,026	47,787	761	1.6%
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	184,922	184,922	127,987	(56,935)	-30.8%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	50,159,019	50,203,284	49,150,712	(1,052,572)	-2.1%
Addition to (Use of) Funds Before Transfers	(825,494)	(818,706)	260,259	1,078,965	-131.8%
Other Transfers					
Tuition Supplemental Funds	721,833	721,833	-	(721,833)	-100.0%
Developmental Education - Intensive & Embedded	707,286	629,108	712,078	82,970	13.2%
Developmental Education - Transitional	200,716	284,401	202,076	(82,325)	-28.9%
Early College	-	240,000	-	(240,000)	-100.0%
GBGTA	-	-	-	-	n.a.
Transfer in	-	-	-	-	n.a.
Transfer out	(804,341)	(1,056,636)	(1,174,413)	(117,777)	11.1%
Total Other Transfers	825,494	818,706	(260,259)	(1,078,965)	-131.8%
Net Change	-	-	-	-	n.a.

Quinebaug Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	4,394,775	3,819,800	3,635,713	(184,087)	-4.8%
Fees	1,529,829	1,400,964	1,415,278	14,314	1.0%
State Appropriations	6,767,862	6,853,889	6,829,105	(24,784)	-0.4%
Fringe Benefits Paid By State	4,525,115	4,641,931	4,753,588	111,657	2.4%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	-	-	-	-	n.a.
All Other Revenue	141,467	125,049	134,886	9,837	7.9%
Total Revenue	17,359,048	16,841,633	16,768,570	(73,063)	-0.4%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time (6101)	6,345,888	6,262,991	6,206,919	(56,072)	-0.9%
Continuing Part-Time (6111)	122,700	363,052	316,437	(46,615)	-12.8%
Temporary Part-Time (6102, B, D, G)	667,523	438,735	558,971	120,236	27.4%
Contractual PTL (6103D)	1,515,153	1,211,251	1,150,565	(60,686)	-5.0%
Contractual NCL (6103E)	191,045	195,372	168,984	(26,388)	-13.5%
Contractual ECL (6103F)	74,260	59,716	60,000	284	0.5%
Student Labor (6104, H)	15,225	15,225	15,075	(150)	-1.0%
Overtime (6107)	58,025	79,212	69,885	(9,327)	-11.8%
All Other Personal Services	265,043	210,291	180,605	(29,686)	-14.1%
Subtotal Personal Services	9,254,862	8,835,845	8,727,441	(108,404)	-1.2%
Fringe Benefits	5,714,930	5,185,532	5,151,051	(34,481)	-0.7%
Total P.S. & Fringe Benefits	14,969,792	14,021,377	13,878,492	(142,885)	-1.0%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	733,927	733,927	545,357	(188,570)	-25.7%
Waivers	244,789	164,867	154,519	(10,348)	-6.3%
All Other Expenses	1,966,495	2,101,953	2,001,733	(100,220)	-4.8%
Total Other Expenses	2,945,211	3,000,747	2,701,609	(299,138)	-10.0%
<u>Library Expenses:</u>					
Books	60,000	50,952	50,000	(952)	-1.9%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	37,000	47,000	47,000	-	0.0%
All Other Library Equipment	22,875	22,975	17,700	(5,275)	-23.0%
Total Non-P.S. Library Expense	119,875	120,927	114,700	(6,227)	-5.1%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	18,034,878	17,143,051	16,694,801	(448,250)	-2.6%
Addition to (Use of) Funds Before Transfers	(675,830)	(301,418)	73,769	375,187	-124.5%
Other Transfers					
Tuition Supplemental Funds	649,898	649,898	-	(649,898)	-100.0%
Developmental Education - Intensive & Embedded	202,194	179,845	203,564	23,719	13.2%
Developmental Education - Transitional	57,380	68,718	57,768	(10,950)	-15.9%
Early College	-	50,000	-	(50,000)	-100.0%
GBTGA	-	-	-	-	n.a.
Transfer in	-	-	-	-	n.a.
Transfer out	(232,642)	(305,614)	(335,101)	(29,487)	9.6%
Total Other Transfers	676,830	642,847	(73,769)	(716,616)	-111.5%
Net Change	1,000	341,429	-	(341,429)	-100.0%

Three Rivers Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	9,877,903	10,019,200	9,539,854	(479,346)	-4.8%
Fees	2,662,561	2,794,752	2,664,839	(129,913)	-4.6%
State Appropriations	11,917,845	12,172,685	11,833,201	(339,484)	-2.8%
Fringe Benefits Paid By State	8,829,349	8,630,993	8,881,505	250,512	2.9%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	-	-	-	-	n.a.
Sales of Educational Activities	440,000	385,751	300,721	(85,030)	-22.0%
All Other Revenue	500,000	679,367	550,849	(128,518)	-18.9%
Total Revenue	34,227,658	34,682,748	33,770,969	(911,779)	-2.6%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	12,168,027	11,996,673	11,540,056	(456,617)	-3.8%
Continuing Part-Time (6111)	-	-	-	-	n.a.
Temporary Part-Time (6102, B, D, G)	1,326,017	1,185,912	1,144,388	(41,524)	-3.5%
Contractual PTL (6103D)	3,808,104	3,813,082	3,663,162	(149,920)	-3.9%
Contractual NCL (6103E)	232,796	200,000	200,000	-	0.0%
Contractual ECL (6103F)	305,443	342,988	343,644	656	0.2%
Student Labor	170,000	322,256	170,000	(152,256)	-47.2%
Overtime	27,000	13,500	13,500	-	0.0%
All Other Personal Services	356,635	436,831	388,655	(48,176)	-11.0%
Subtotal Personal Services	18,394,022	18,311,242	17,463,405	(847,837)	-4.6%
Fringe Benefits	11,466,390	10,564,810	10,553,767	(11,043)	-0.1%
Total P.S. & Fringe Benefits	29,860,412	28,876,052	28,017,172	(858,880)	-3.0%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	1,494,795	1,494,795	1,368,814	(125,981)	-8.4%
Waivers	576,400	490,985	490,985	-	0.0%
All Other Expenses	2,784,229	3,355,827	3,500,960	145,133	4.3%
Total Other Expenses	4,855,424	5,341,607	5,360,759	19,152	0.4%
<u>Library Expenses:</u>					
Books	27,000	31,246	27,000	(4,246)	-13.6%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	73,000	68,754	73,000	4,246	6.2%
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	100,000	100,000	100,000	-	0.0%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	34,815,836	34,317,659	33,477,931	(839,728)	-2.4%
Addition to (Use of) Funds Before Transfers	(588,178)	365,089	293,038	(72,051)	-19.7%
Other Transfers					
Tuition Supplemental Funds	707,996	707,996	-	(707,996)	-100.0%
Developmental Education - Intensive & Embedded	497,011	442,075	500,379	58,304	13.2%
Developmental Education - Transitional	141,044	182,831	142,000	(40,831)	-22.3%
Early College	-	50,000	-	(50,000)	-100.0%
GBGTA	-	-	-	-	n.a.
Transfer in	-	135,945	-	(135,945)	-100.0%
Transfer out	(583,724)	(1,231,134)	(830,137)	400,997	-32.6%
Total Other Transfers	762,327	287,713	(187,758)	(475,471)	-165.3%
Net Change	174,149	652,802	105,280	(547,522)	-83.9%

Tunxis Community College

FY17 Budget, FY16 Budget and Estimate

Account Name	FY2016		FY17	FY17 Budget vs FY16 Estimate	
	Budget	Estimate	Budget	\$ Inc(Dec)	% Inc(Dec)
Revenue:					
Tuition (Gross)	10,251,504	9,770,500	9,615,460	(155,040)	-1.6%
Fees	4,386,658	4,225,795	4,120,572	(105,223)	-2.5%
State Appropriations	11,536,890	11,769,722	11,475,960	(293,762)	-2.5%
Fringe Benefits Paid By State	8,915,405	9,133,207	9,186,244	53,037	0.6%
Government Grants & Contracts	-	-	-	-	n.a.
Private Gifts, Grants and Contracts	1,700	3,000	3,000	-	0.0%
Sales of Educational Activities	112,000	114,561	112,000	(2,561)	-2.2%
All Other Revenue	366,409	347,933	358,645	10,712	3.1%
Total Revenue	35,570,566	35,364,718	34,871,881	(492,837)	-1.4%
Expenditures:					
<u>Personal Services:</u>					
Total Full Time	12,224,191	11,790,477	11,563,883	(226,594)	-1.9%
Continuing Part-Time (6111)	283,289	365,577	387,358	21,781	6.0%
Temporary Part-Time (6102, B, D, G)	1,434,934	1,728,687	1,303,195	(425,492)	-24.6%
Contractual PTL (6103D)	3,718,169	3,705,990	3,530,990	(175,000)	-4.7%
Contractual NCL (6103E)	457,122	484,227	398,221	(86,006)	-17.8%
Contractual ECL (6103F)	799,554	709,846	760,474	50,628	7.1%
Student Labor	157,865	156,524	147,716	(8,808)	-5.6%
Overtime	44,315	103,016	67,672	(35,344)	-34.3%
All Other Personal Services	465,276	390,420	381,318	(9,102)	-2.3%
Subtotal Personal Services	19,584,715	19,434,764	18,540,827	(893,937)	-4.6%
Fringe Benefits	12,284,036	11,885,459	11,540,515	(344,944)	-2.9%
Total P.S. & Fringe Benefits	31,868,751	31,320,223	30,081,342	(1,238,881)	-4.0%
<u>Other Expenses:</u>					
Inst. Financial Aid/Match	1,484,241	1,484,241	1,390,355	(93,886)	-6.3%
Waivers	356,563	296,169	346,430	50,261	17.0%
All Other Expenses	3,183,704	2,941,837	2,886,158	(55,679)	-1.9%
Total Other Expenses	5,024,508	4,722,247	4,622,943	(99,304)	-2.1%
<u>Library Expenses:</u>					
Books	65,200	11,750	15,000	3,250	27.7%
Periodicals	-	-	-	-	n.a.
Electronic Periodicals / Subscriptions	-	-	-	-	n.a.
All Other Library Equipment	-	-	-	-	n.a.
Total Non-P.S. Library Expense	65,200	11,750	15,000	3,250	27.7%
Total Equipment (excludes Library)	-	-	-	-	n.a.
Total Expenditures	36,958,459	36,054,220	34,719,285	(1,334,935)	-3.7%
Addition to (Use of) Funds Before Transfers	(1,387,893)	(689,502)	152,596	842,098	-122.1%
Other Transfers					
Tuition Supplemental Funds	1,224,773	1,224,773		(1,224,773)	-100.0%
Developmental Education - Intensive & Embedded	482,823	429,454	486,094	56,640	13.2%
Developmental Education - Transitional	137,017	160,575	137,946	(22,629)	-14.1%
Early College		-		-	n.a.
GBGTA		-		-	n.a.
Transfer in	85,000	160,022		(160,022)	-100.0%
Transfer out	(541,720)	(711,638)	(776,636)	(64,998)	9.1%
Total Other Transfers	1,387,893	1,263,186	(152,596)	(1,415,782)	-112.1%
Net Change	-	573,684	-	(573,684)	-100.0%

CONNECTICUT STATE COLLEGES and UNIVERSITIES

ENROLLMENT - HEADCOUNT & FTE

FY16 Actual and FY17 Budget

ATTACHMENT G
HEADCOUNT Enrollment
Undergraduate

 State Universities
 Community Colleges
 Charter Oak
 Total Undergraduate

HEADCOUNT - Avg Fall and Spring Semesters									FY16 vs FY16			
FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
22,293	5,344	27,637	22,241	5,315	27,556	22,101	5,257	27,358	(140)	-3%	(58)	-1%
16,702	36,255	52,957	15,827	34,505	50,332	15,299	33,323	48,622	(528)	-2%	(1,182)	-3%
363	1,452	1,815	344	1,407	1,751	346	1,425	1,771	2	0%	18	1%
39,358	43,051	82,409	38,412	41,227	79,639	37,746	40,005	77,751	(666)	-1.6%	(1,222)	-3.0%
1,474	3,902	5,376	1,391	3,720	5,111	1,373	3,680	5,053	(18)	0%	(40)	-1%
23,767	9,246	33,013	23,632	9,035	32,667	23,474	8,937	32,411	(158)	-2%	(98)	-1%
16,702	36,255	52,957	15,827	34,505	50,332	15,299	33,323	48,622	(528)	-2%	(1,182)	-3%
363	1,452	1,815	344	1,407	1,751	346	1,425	1,771	2	0%	18	1%
40,832	46,953	87,785	39,803	44,947	84,750	39,119	43,685	82,804	(684)	-1.5%	(1,262)	-2.8%

Graduate

State Universities Graduate

Total Undergraduate & Graduate

 State Universities
 Community Colleges
 Charter Oak
 Total Headcount

FTE Enrollment
Undergraduate

 State Universities
 Community Colleges
 Charter Oak
 Total Undergraduate

FTE - Avg Fall and Spring Semesters									FY16 vs FY15			
FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
21,594	2,262	23,856	21,509	2,277	23,786	21,370	2,261	23,631	(139)	-6%	(16)	-1%
14,822	15,373	30,195	14,027	14,650	28,677	13,578	14,179	27,757	(449)	-3%	(471)	-3%
363	484	847	294	525	819	295	532	827	1	0%	7	1%
36,779	18,119	54,898	35,830	17,452	53,282	35,243	16,972	52,215	(587)	-3.4%	(480)	-2.8%
1,338	1,486	2,824	1,265	1,442	2,707	1,250	1,427	2,677	(15)	-1%	(15)	-1%
22,932	3,748	26,680	22,774	3,719	26,493	22,620	3,688	26,308	(154)	-4%	(31)	-1%
14,822	15,373	30,195	14,027	14,650	28,677	13,578	14,179	27,757	(449)	-3%	(471)	-3%
363	484	847	294	525	819	295	532	827	1	0%	7	1%
38,117	19,605	57,722	37,095	18,894	55,989	36,493	18,399	54,892	(602)	-3.2%	(495)	-2.6%

Graduate

State Universities Graduate

Total Undergraduate & Graduate

 State Universities
 Community Colleges
 Charter Oak
 Total FTE

CONNECTICUT STATE UNIVERSITIES

ENROLLMENT - HEADCOUNT & FTE

FY16 Actual and FY17 Budget

ATTACHMENT G
HEADCOUNT Enrollment
Undergraduate

CCSU	7,414	2,131	9,545	7,439	2,151	9,590	7,460	2,151	9,611	21	0%	-	0%
ECSU	4,203	852	5,055	4,141	846	4,987	4,141	846	4,987	-	0%	-	0%
SCSU	6,547	1,299	7,846	6,609	1,234	7,843	6,477	1,209	7,686	(132)	-2%	(25)	-2%
WCSU	4,129	1,062	5,191	4,052	1,084	5,136	4,023	1,051	5,074	(29)	-1%	(33)	-3%
CSU Total Undergraduate	22,293	5,344	27,637	22,241	5,315	27,556	22,101	5,257	27,358	(140)	-1%	(58)	-1.1%

Graduate

CCSU	544	1,593	2,137	473	1,628	2,101	473	1,628	2,101	-	0%	-	0%
ECSU	43	114	157	48	114	162	47	114	161	(1)	-2%	-	0%
SCSU	833	1,758	2,591	794	1,536	2,330	778	1,505	2,283	(16)	-2%	(31)	-2%
WCSU	54	437	491	76	442	518	75	433	508	(1)	-1%	(9)	-2%
CSU Total Graduate	1,474	3,902	5,376	1,391	3,720	5,111	1,373	3,680	5,053	(18)	-1%	(40)	-1.1%

Total

CCSU	7,958	3,724	11,682	7,912	3,779	11,691	7,933	3,779	11,712	21	0%	-	0%
ECSU	4,246	966	5,212	4,189	960	5,149	4,188	960	5,148	(1)	0%	-	0%
SCSU	7,380	3,057	10,437	7,403	2,770	10,173	7,255	2,714	9,969	(148)	-2%	(56)	-2%
WCSU	4,183	1,499	5,682	4,128	1,526	5,654	4,098	1,484	5,582	(30)	-1%	(42)	-3%
CSU Total Headcount	23,767	9,246	33,013	23,632	9,035	32,667	23,474	8,937	32,411	(158)	-1%	(98)	-1.1%

HEADCOUNT - Avg Fall and Spring Semesters									FY17 vs FY16			
FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
7,414	2,131	9,545	7,439	2,151	9,590	7,460	2,151	9,611	21	0%	-	0%
4,203	852	5,055	4,141	846	4,987	4,141	846	4,987	-	0%	-	0%
6,547	1,299	7,846	6,609	1,234	7,843	6,477	1,209	7,686	(132)	-2%	(25)	-2%
4,129	1,062	5,191	4,052	1,084	5,136	4,023	1,051	5,074	(29)	-1%	(33)	-3%
22,293	5,344	27,637	22,241	5,315	27,556	22,101	5,257	27,358	(140)	-1%	(58)	-1.1%
544	1,593	2,137	473	1,628	2,101	473	1,628	2,101	-	0%	-	0%
43	114	157	48	114	162	47	114	161	(1)	-2%	-	0%
833	1,758	2,591	794	1,536	2,330	778	1,505	2,283	(16)	-2%	(31)	-2%
54	437	491	76	442	518	75	433	508	(1)	-1%	(9)	-2%
1,474	3,902	5,376	1,391	3,720	5,111	1,373	3,680	5,053	(18)	-1%	(40)	-1.1%
7,958	3,724	11,682	7,912	3,779	11,691	7,933	3,779	11,712	21	0%	-	0%
4,246	966	5,212	4,189	960	5,149	4,188	960	5,148	(1)	0%	-	0%
7,380	3,057	10,437	7,403	2,770	10,173	7,255	2,714	9,969	(148)	-2%	(56)	-2%
4,183	1,499	5,682	4,128	1,526	5,654	4,098	1,484	5,582	(30)	-1%	(42)	-3%
23,767	9,246	33,013	23,632	9,035	32,667	23,474	8,937	32,411	(158)	-1%	(98)	-1.1%

FTE Enrollment
Undergraduate

CCSU	7,132	941	8,073	7,136	964	8,100	7,157	964	8,121	21	0%	-	0%
ECSU	4,162	296	4,458	4,106	294	4,400	4,106	294	4,400	-	0%	-	0%
SCSU	6,338	573	6,911	6,381	551	6,932	6,253	540	6,793	(128)	-2%	(11)	-2%
WCSU	3,962	452	4,414	3,886	468	4,354	3,854	463	4,317	(32)	-1%	(5)	-1%
CSU Total Undergraduate	21,594	2,262	23,856	21,509	2,277	23,786	21,370	2,261	23,631	(139)	-1%	(16)	-0.7%

Graduate

CCSU	481	617	1,098	414	640	1,054	414	640	1,054	-	0%	-	0%
ECSU	37	40	77	42	43	85	41	43	84	(1)	-2%	-	0%
SCSU	766	661	1,427	734	581	1,315	720	569	1,289	(14)	-2%	(12)	-2%
WCSU	54	168	222	75	178	253	75	175	250	-	0%	(3)	-2%
CSU Total Graduate	1,338	1,486	2,824	1,265	1,442	2,707	1,250	1,427	2,677	(15)	-1%	(15)	-1.0%

Total

CCSU	7,613	1,558	9,171	7,550	1,604	9,154	7,571	1,604	9,175	21	0%	-	0%
ECSU	4,199	336	4,535	4,148	337	4,485	4,147	337	4,484	(1)	0%	-	0%
SCSU	7,104	1,234	8,338	7,115	1,132	8,247	6,973	1,109	8,082	(142)	-2%	(23)	-2%
WCSU	4,016	620	4,636	3,961	646	4,607	3,929	638	4,567	(32)	-1%	(8)	-1%
CSU Total Headcount	22,932	3,748	26,680	22,774	3,719	26,493	22,620	3,688	26,308	(154)	-1%	(31)	-0.8%

FTE - Avg Fall and Spring Semesters									FY17 vs FY16			
FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
7,132	941	8,073	7,136	964	8,100	7,157	964	8,121	21	0%	-	0%
4,162	296	4,458	4,106	294	4,400	4,106	294	4,400	-	0%	-	0%
6,338	573	6,911	6,381	551	6,932	6,253	540	6,793	(128)	-2%	(11)	-2%
3,962	452	4,414	3,886	468	4,354	3,854	463	4,317	(32)	-1%	(5)	-1%
21,594	2,262	23,856	21,509	2,277	23,786	21,370	2,261	23,631	(139)	-1%	(16)	-0.7%
481	617	1,098	414	640	1,054	414	640	1,054	-	0%	-	0%
37	40	77	42	43	85	41	43	84	(1)	-2%	-	0%
766	661	1,427	734	581	1,315	720	569	1,289	(14)	-2%	(12)	-2%
54	168	222	75	178	253	75	175	250	-	0%	(3)	-2%
1,338	1,486	2,824	1,265	1,442	2,707	1,250	1,427	2,677	(15)	-1%	(15)	-1.0%
7,613	1,558	9,171	7,550	1,604	9,154	7,571	1,604	9,175	21	0%	-	0%
4,199	336	4,535	4,148	337	4,485	4,147	337	4,484	(1)	0%	-	0%
7,104	1,234	8,338	7,115	1,132	8,247	6,973	1,109	8,082	(142)	-2%	(23)	-2%
4,016	620	4,636	3,961	646	4,607	3,929	638	4,567	(32)	-1%	(8)	-1%
22,932	3,748	26,680	22,774	3,719	26,493	22,620	3,688	26,308	(154)	-1%	(31)	-0.8%

CONNECTICUT COMMUNITY COLLEGES

ENROLLMENT - HEADCOUNT & FTE

FY16 Actual and FY17 Budget

ATTACHMENT G

HEADCOUNT Enrollment	HEADCOUNT - Avg Fall and Spring Semesters												FY17 vs FY16			
	FY16 Budget				FY16 Actual				FY17 Budget				Full Time		Part Time	
	Full Time	Part Time	% Part Time	Total	Full Time	Part Time	% Part Time	Total	Full Time	Part Time	% Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
College																
Asnuntuck	640	903	58.5%	1,543	576	939	62.0%	1,515	563	917	62.0%	1,480	(13)	-2.3%	(22)	-2.3%
Capital	887	3,048	77.5%	3,935	730	2,640	78.3%	3,370	679	2,455	78.3%	3,134	(51)	-7.0%	(185)	-5.9%
Gateway	2,369	5,561	70.1%	7,930	2,120	5,384	71.7%	7,504	2,092	5,314	71.8%	7,406	(28)	-1.3%	(70)	-1.3%
Housatonic	1,564	3,658	70.0%	5,222	1,541	3,612	70.1%	5,153	1,496	3,505	70.1%	5,001	(45)	-2.9%	(107)	-2.8%
Manchester	2,335	4,749	67.0%	7,084	2,138	4,550	68.0%	6,688	2,052	4,368	68.0%	6,420	(86)	-4.0%	(182)	-3.8%
Middlesex	1,065	1,881	63.8%	2,946	1,001	1,792	64.2%	2,793	1,001	1,792	64.2%	2,793	-	0.0%	-	0.0%
Naugatuck Valley	2,161	4,704	68.5%	6,865	2,117	4,464	67.8%	6,581	2,075	4,375	67.8%	6,450	(42)	-2.0%	(89)	-1.9%
Northwestern	402	1,157	74.2%	1,559	407	1,023	71.5%	1,430	407	1,023	71.5%	1,430	-	0.0%	-	0.0%
Norwalk	2,007	4,102	67.1%	6,109	1,987	3,805	65.7%	5,792	1,937	3,709	65.7%	5,646	(50)	-2.5%	(96)	-2.2%
Quinebaug Valley	603	1,129	65.2%	1,732	524	1,050	66.7%	1,574	482	966	66.7%	1,448	(42)	-8.0%	(84)	-6.9%
Three Rivers	1,225	2,771	69.3%	3,996	1,254	2,833	69.3%	4,087	1,154	2,607	69.3%	3,761	(100)	-8.0%	(226)	-7.5%
Tunxis	1,444	2,592	64.2%	4,036	1,432	2,413	62.8%	3,845	1,361	2,292	62.7%	3,653	(71)	-5.0%	(121)	-4.3%
CCC Total Headcount	16,702	36,255	68.5%	52,957	15,827	34,505	68.6%	50,332	15,299	33,323	68.5%	48,622	(528)	-43.0%	(1,182)	-38.8%

FTE Enrollment	FTE - Avg Fall and Spring Semesters												FY17 vs FY16			
	FY16 Budget				FY16 Actual				FY17 Budget				Full Time		Part Time	
	Full Time	Part Time	% Part Time	Total	Full Time	Part Time	% Part Time	Total	Full Time	Part Time	% Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
College																
Asnuntuck	602	342	36.2%	944	537	357	39.9%	894	525	349	39.9%	874	(12)	-2.2%	(8)	-2.2%
Capital	764	1,336	63.6%	2,100	626	1,168	65.1%	1,794	595	1,110	65.1%	1,705	(31)	-5.0%	(58)	-4.1%
Gateway	2,071	2,400	53.7%	4,471	1,855	2,302	55.4%	4,157	1,837	2,279	55.4%	4,116	(18)	-1.0%	(23)	-1.3%
Housatonic	1,370	1,519	52.6%	2,889	1,354	1,502	52.6%	2,856	1,315	1,458	52.6%	2,773	(39)	-2.9%	(44)	-3.1%
Manchester	2,053	2,032	49.7%	4,085	1,887	1,928	50.5%	3,815	1,811	1,851	50.5%	3,662	(76)	-4.0%	(77)	-3.7%
Middlesex	958	783	45.0%	1,741	895	746	45.5%	1,641	895	746	45.5%	1,641	-	0.0%	-	0.0%
Naugatuck Valley	1,927	2,059	51.7%	3,986	1,883	1,960	51.0%	3,843	1,846	1,921	51.0%	3,767	(37)	-2.0%	(39)	-2.2%
Northwestern	363	426	54.0%	789	372	390	51.2%	762	372	390	51.2%	762	-	0.0%	-	0.0%
Norwalk	1,785	1,782	50.0%	3,567	1,784	1,669	48.3%	3,453	1,738	1,627	48.4%	3,365	(46)	-2.6%	(42)	-2.5%
Quinebaug Valley	528	460	46.6%	988	461	421	47.7%	882	424	387	47.7%	811	(37)	-8.0%	(34)	-7.6%
Three Rivers	1,125	1,178	51.2%	2,303	1,132	1,205	51.6%	2,337	1,041	1,109	51.6%	2,150	(91)	-8.0%	(96)	-8.4%
Tunxis	1,276	1,056	45.3%	2,332	1,241	1,002	44.7%	2,243	1,179	952	44.7%	2,131	(62)	-5.0%	(50)	-4.8%
CCC Total FTE	14,822	15,373	50.9%	30,195	14,027	14,650	51.1%	28,677	13,578	14,179	51.1%	27,757	(449)	-40.7%	(471)	-40.0%

CHARTER OAK STATE COLLEGE

ENROLLMENT - HEADCOUNT & FTE

FY16 Actual and FY17 Budget

HEADCOUNT Enrollment	HEADCOUNT - Avg Fall and Spring Semesters									FY17 vs FY16			
	FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
	Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
College													
Charter Oak	363	1,452	1,815	344	1,407	1,751	346	1,425	1,771	2	1%	18	1%
FTE Enrollment	HEADCOUNT - Avg Fall and Spring Semesters									FY17 vs FY16			
	FY16 Budget			FY16 Actual			FY17 Budget			Full Time		Part Time	
	Full Time	Part Time	Total	Full Time	Part Time	Total	Full Time	Part Time	Total	#s Inc(Dec)	% Inc(Dec)	#s Inc(Dec)	% Inc(Dec)
College													
Charter Oak	363	484	847	294	525	819	295	532	827	1	0%	7	39%

CONNECTICUT STATE COLLEGES and UNIVERSITIES

FY16 Budget and Estimate, FY17 Budget

Financial Aid

	FY 16 Budget					FY 16 Estimate					FY 17 Budget				
	Total Financial Aid	Federal Financial Aid	State Financial Aid	Private Financial Aid	Institutional Aid	Total Financial Aid	Federal Financial Aid	State Financial Aid	Private Financial Aid	Institutional Financial Aid	Total Financial Aid	Federal Financial Aid	State Financial Aid	Private Financial Aid	Institutional Aid
Central	35,946,371	15,700,000	3,100,000	2,094,150	15,052,221	34,697,324	14,732,851	3,395,145	2,439,906	14,129,422	35,968,296	15,481,000	3,000,000	2,328,500	15,158,796
Eastern	18,692,533	6,784,325	800,000	2,490,000	8,618,208	19,100,869	6,739,598	439,535	2,732,972	9,188,764	19,587,479	6,571,519	295,778	2,841,325	9,878,857
Southern	35,196,078	14,315,325	3,346,635	5,129,000	12,405,118	37,244,249	13,715,325	4,134,083	7,588,653	11,806,188	37,377,800	13,715,325	4,134,083	7,588,653	11,939,739
Western	20,652,267	7,910,696	1,563,238	4,701,300	6,477,033	19,600,548	7,931,143	1,227,251	4,145,868	6,296,286	20,513,783	8,251,863	1,200,000	4,452,600	6,609,320
	110,487,249	44,710,346	8,809,873	14,414,450	42,552,580	110,642,990	43,118,917	9,196,014	16,907,399	41,420,660	113,447,358	44,019,707	8,629,861	17,211,078	43,586,712
Manchester	14,055,299	9,880,745	782,141	89,000	3,303,413	13,136,420	8,833,259	975,276	240,492	3,087,393	13,340,000	9,434,020	800,525	188,864	2,916,591
Northwestern	2,847,854	1,822,946	161,088	16,442	847,378	2,867,640	1,783,462	174,036	58,029	852,113	2,760,628	1,783,462	167,905	0	809,261
Norwalk	12,172,710	8,079,850	549,136	600,000	2,943,724	11,694,758	8,079,850	509,400	610,400	2,495,108	12,266,272	8,127,901	660,637	615,000	2,862,734
Housatonic	12,760,441	9,620,659	759,000	37,000	2,343,782	12,531,814	9,041,484	563,019	87,200	2,840,111	12,339,100	9,067,375	563,000	87,200	2,621,525
Middlesex	6,031,327	4,254,639	264,471	-	1,512,217	5,623,148	3,812,102	353,251		1,457,795	5,720,287	3,792,278	363,849		1,564,161
Capital	10,982,676	8,256,621	367,000	322,562	2,036,493	8,840,430	7,022,202	-		1,818,228	8,803,962	7,053,879	-		1,750,083
Naugatuck	15,047,056	11,084,832	821,798	62,171	3,078,255	13,786,452	10,013,211	839,081	104,546	2,829,614	14,022,385	10,031,416	818,334	86,500	3,086,135
Gateway	18,307,867	13,620,000	919,980	75,000	3,692,887	18,180,714	13,620,000	1,066,505	55,000	3,439,209	18,106,557	13,620,000	833,000	55,000	3,598,557
Tunxis	7,647,760	5,433,849	373,107	0	1,840,804	7,507,510	5,168,799	474,984	56,801	1,806,926	7,685,524	5,451,644	460,050	10,000	1,763,830
Three Rivers	9,705,500	6,905,791	470,000	244,000	2,085,709	8,976,389	6,185,565	564,332	284,449	1,942,043	9,116,538	6,495,239	545,000	216,500	1,859,799
Quinebaug	4,588,575	3,208,186	225,149	161,787	993,453	3,936,781	2,562,956	273,480	186,814	913,531	3,679,604	2,589,653	250,000	125,000	714,951
Asnuntuck	3,787,261	2,434,499	179,517	286,500	886,745	3,274,732	2,217,763	175,213	69,000	812,756	3,314,479	2,234,763	175,213	70,000	834,503
	117,934,326	84,602,617	5,872,387	1,894,462	25,564,860	110,356,788	78,340,653	5,968,577	1,752,731	24,294,827	111,155,336	79,681,630	5,637,513		24,382,130
Charter Oak	2,862,413	2,362,412	250,000	250,001	-	2,607,998	1,779,527	317,219	370,782	140,470	2,650,942	1,962,412	181,726	365,000	141,804
Total	231,283,987.73	131,675,375	14,932,260	16,558,913	68,117,440	223,607,776	123,239,097	15,481,810	19,030,912	65,855,957	227,253,636	125,663,749	14,449,100		68,110,646

**Connecticut State Universities
Unrestricted Net Position (UNP) - Balances
2008-2017**

	Actual								Projected EOY	
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Central	45,933,123	44,109,352	48,428,138	52,779,884	51,324,667	46,682,175	46,292,656	25,883,224	25,368,183	25,368,183
Eastern	(5,728,855)	(6,849,410)	(1,120,856)	3,596,179	7,129,239	10,588,795	12,403,873	12,680,295	14,809,993	14,809,993
Southern	17,207,456	12,689,063	22,467,331	24,758,798	23,571,609	46,384,195	46,345,913	41,555,304	42,438,927	42,466,211
Western	15,200,071	12,843,456	18,539,856	24,342,364	24,479,939	23,560,194	21,589,351	14,906,045	12,608,010	12,608,010
System Office	5,932,068	10,671,141	12,615,855	16,327,749	19,601,944	17,854,027	18,501,528	20,076,521	20,372,238	20,372,238
CSU Total	78,543,863	73,463,602	100,930,324	121,804,974	126,107,398	145,069,386	145,133,321	115,101,389	118,573,150	118,600,434
GASB 68 Pension Adjustment - record liability as of 6/30/14							(527,586,541)	(527,586,541)		
GASB 68 Pension Adjustment to record FY15 Activity								(4,031,458)		
							(382,453,220)	(416,516,610)		

NOTE: Adjustments from FY15 to FY16 balance include the transfer to State per Senate Bill 1601 and only the projected Addition to/ (Use of) Funds for FY16
The balances shown for FY16 and FY17 include only the impact of net results; actual UNP includes increases and decreases in accrued liabilities and other adjustments.

**Connecticut Community Colleges
Unrestricted Net Position (UNP) - Balances
2008-2017**

	Actual								Projected EOY	
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Asnuntuck	1,474,223	1,260,202	1,817,784	1,346,440	1,384,472	727,491	717,800	449,681	370,877	370,877
Capital	(266,461)	(713,819)	1,510,273	2,373,350	2,389,314	2,594,774	3,020,979	2,998,652	2,862,373	2,862,373
Gateway	1,283,920	1,073,140	1,832,147	1,575,733	(292,615)	(2,389,545)	(4,524,353)	(4,299,244)	(4,518,936)	(4,518,936)
Housatonic	7,644,812	6,977,882	8,266,079	7,748,356	6,698,946	7,126,326	7,226,552	10,299,902	10,134,965	10,134,965
Manchester	1,531,991	1,259,949	2,344,653	1,685,242	503,456	217,559	441,612	1,746,858	2,258,184	2,258,184
Middlesex	1,182,954	1,168,289	2,276,410	1,872,396	2,015,717	903,066	(514,368)	(799,881)	(832,740)	(832,740)
Naugatuck Valley	1,609,113	(577,226)	200,364	2,083	476,629	831,645	852,309	3,104,674	3,155,373	3,180,433
Northwestern	(109,529)	(396,383)	(61,988)	282,712	271,141	762,762	971,213	1,111,979	1,052,858	1,052,858
Norwalk	146,812	(43,982)	1,175,302	1,336,700	405,845	(897,382)	(434,948)	459,275	284,385	284,385
Quinebaug Valley	2,808,524	1,929,210	2,818,365	2,493,788	2,680,423	2,597,555	3,083,058	4,099,157	4,364,401	4,364,401
System Office	12,544,702	12,438,024	14,190,042	16,017,396	9,958,339	1,260,611	1,791,272	4,796,566	5,422,825	5,422,825
Three Rivers	2,356,619	430,147	2,098,658	3,281,229	3,393,421	3,243,345	3,517,942	4,800,029	5,317,524	5,422,804
Tunxis	625,465	(563,977)	(560,483)	(825,860)	(1,566,786)	(1,803,559)	(2,820,300)	(3,046,682)	(2,603,826)	(2,603,826)
CCC Total	32,833,145	24,241,457	37,907,606	39,189,564	28,318,301	15,174,648	13,328,768	25,720,966	27,268,263	27,398,603
GASB 68 Adjustment for Pension Liability							(550,024,147)	(546,444,652)		
Adjusted totals							<u>(536,695,379)</u>	<u>(520,723,686)</u>		

NOTE: Adjustments from FY15 to FY16 balance include the transfer to State per Senate Bill 1601 and only the projected Addition to/ (Use of) Funds for FY16
The balances shown for FY16 and FY17 include only the impact of net results; actual UNP includes increases and decreases in accrued liabilities and other adjustments.

**Charter Oak State College
Unrestricted Net Assets
2008-2017**

	Actual				Projected	
	2012	2013	2014	2015	2016	2017
Charter Oak	1,010,014	1,554,321	1,451,593	1,220,643	610,193	610,193
GASB 68 Adjustment for Pension Liability			7,869,699	9,129,790		
				-		
			<u>7,869,699</u>	<u>9,129,790</u>		

NOTE: Adjustments from FY15 to FY16 balance include the transfer to State per Senate Bill 1601 and only the projected Addition to/ (Use of) Funds for FY16
The balances shown for FY16 and FY17 include only the impact of net results; actual UNP includes increases and decreases in accrued liabilities and other adjustments.

CONNECTICUT STATE COLLEGES & UNIVERSITIES
Full-Time and Part-Time Personnel, FY16 Estimate and FY17 Budget
(Excludes Grants)
\$ in Thousands

ATTACHMENT J

CATEGORY	FY16 Estimate		FY17 Budget		Position Change	Salaries Change	% of Positions by Category	
	Positions	Salaries	Positions	Salaries	FY16/FY17	FY16/FY17	FY16	FY17
FULL-TIME								
Faculty	2,132	184,261	2,134	183,680	2	(581)	38.8%	38.9%
Counselors	76	6,055	71	5,989	(5)	(66)	1.4%	1.3%
Librarians	110	9,241	107	9,011	(3)	(230)	2.0%	2.0%
Coaches	73	5,830	73	5,849	-	19	1.3%	1.3%
Classified	1,390	74,831	1,396	75,702	6	871	25.3%	25.4%
Administrative	1,406	110,688	1,410	113,044	4	2,356	25.6%	25.7%
Managerial Professional	305	37,677	295	36,542	(10)	(1,135)	5.6%	5.4%
TOTAL FULL-TIME POSITIONS	5,492	428,583	5,486	429,817	(6)	1,234	100.0%	100.0%
PART-TIME								
Lecturers		35,057		34,308	-	(749)		
Permanent/Intermittent		1,896		1,930	-	34		
State University Assistants		4,181		4,494	-	313		
Graduate Assistants		1,737		1,811	-	74		
Other Part-Time		15,960		15,426	-	(534)		
Lecturers (PTL)		44,070		42,681	-	(1,389)		
Contractual (NCL)		3,931		3,806	-	(125)		
Contractual (ECL)		6,358		6,541	-	183		
Continuing Part-Time		2,223		1,978	-	(245)		
Temporary Part-Time		23,316		23,226	-	(90)		
Student Labor		2,919		2,697	-	(222)		
TOTAL PART-TIME POSITIONS	-	141,648	-	138,898	-	-		

CONNECTICUT STATE UNIVERSITIES

Full-Time and Part-Time Personnel, FY16 Estimate and FY17 Budget

(Excludes Grants)

\$ in Thousands

CATEGORY	FY16 Estimate		FY17 Budget		Position Change	Salaries Change	% of Positions by Category	
	Positions	Salaries	Positions	Salaries	FY16/FY17	FY16/FY17	FY16	FY17
FULL-TIME								
Faculty	1,301	118,191	1,325	119,742	24	1,551	41.1%	41.1%
Counselors	13	1,053	14	1,209	1	156	0.4%	0.4%
Librarians	49	4,311	48	4,370	(1)	59	1.5%	1.5%
Coaches	73	5,830	73	5,849	-	19	2.3%	2.3%
Classified	874	47,594	903	49,084	29	1,490	27.6%	28.0%
Administrative	725	58,416	727	60,445	2	2,029	22.9%	22.6%
Managerial Professional	134	17,528	132	17,437	(2)	(91)	4.2%	4.1%
TOTAL FULL-TIME POSITIONS	3,169	252,923	3,222	258,136	53	5,213	100.0%	100.0%
PART-TIME								
Lecturers		35,057		34,308	-	(749)		
Permanent/Intermittent		1,744		1,772	-	28		
State University Assistants		4,181		4,494	-	313		
Graduate Assistants		1,737		1,811	-	74		
Other Part-Time		9,422		9,753	-	331		
	-	-	-	-	-	-		
	-	-	-	-	-	-		
TOTAL PART-TIME POSITIONS	-	52,141	-	52,138	-	(3)		

CONNECTICUT COMMUNITY COLLEGES

Full-Time and Part-Time Personnel, FY16 Estimate and FY17 Budget

(Excludes Grants)

\$ in Thousands

CATEGORY	FY16 Estimate		FY17 Budget		Position Change	Salaries Change	% of Positions by Category	
	Positions	Salaries	Positions	Salaries	FY16/FY17	FY16/FY17	FY16	FY17
FULL-TIME								
Faculty	831	65,862	809	63,736	(22)	(2,126)	39.7%	39.6%
Counselors	63	5,002	57	4,780	(6)	(222)	3.0%	2.8%
Librarians	61	4,930	59	4,640	(2)	(290)	2.9%	2.9%
Coaches	-	-	-	-	-	-	0.0%	0.0%
Classified (Union Code 03, 06, 07, 09, 10)	509	26,932	490	26,432	(19)	(500)	24.3%	24.0%
Administrative (Union Code 22, 46 and 47)	532	39,594	537	40,059	5	465	25.4%	26.3%
Managerial Professional (Union code 20)	99	11,269	91	11,061	(8)	(208)	4.7%	4.5%
TOTAL FULL-TIME POSITIONS	2,095	153,589	2,043	150,708	(52)	(2,881)	100.0%	100.0%
PART-TIME								
Lecturers (PTL)		44,070		42,681	-	(1,389)		
Contractual (NCL)		3,931		3,806	-	(125)		
Contractual (ECL)		6,358		6,541	-	183		
Continuing Part-Time		1,767		1,566	-	(201)		
Temporary Part-Time		20,845		20,597	-	(248)		
Student Labor		2,547		2,383	-	(164)		
Other Part-Time		6,438		5,592	-	(846)		
TOTAL PART-TIME POSITIONS	-	85,956	-	83,166	-	(2,790)		

CHARTER OAK STATE COLLEGE
Full-Time and Part-Time Personnel, FY16 Estimate and FY17 Budget
(Excludes Grants)
\$ in Thousands

CATEGORY	FY16 Estimate		FY17 Budget		Position Change	Salaries Change	% of Positions by Category	
	Positions	Salaries	Positions	Salaries	FY16/FY17	FY16/FY17	FY16	FY17
FULL-TIME								
Classified (Union Code 03, 06, 07, 09, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100)	3	93	-	-	(3)	(93)	3.7%	0.0%
Administrative (AFSCME Local 1214)	67	4,855	68	4,850	1	(5)	82.7%	88.3%
Managerial Professional (Union code 1000)	11	1,335	9	1,034	(2)	(301)	13.6%	11.7%
TOTAL FULL-TIME POSITIONS	81	6,283	77	5,884	(4)	(399)	1	100.0%
PART-TIME								
Lecturers	-	-	-	-	-	-	-	-
Permanent/Intermittent	-	-	-	-	-	-	-	-
Continuing Part-Time	-	4,226,866	-	413	-	(4,226,453)	-	-
Temporary Part-Time	-	2,163,351	-	2,629	-	(2,160,722)	-	-
Student Labor	-	360,893	-	278	-	(360,615)	-	-
Other Part-Time	-	100,045	-	81	-	(99,964)	-	-
TOTAL PART-TIME POSITIONS	-	6,851,155	-	3,401	-	(6,847,754)		

CSCU SYSTEM OFFICE

Full-Time and Part-Time Personnel, FY16 Estimate and FY17 Budget

(Excludes Grants)

\$ in Thousands

CATEGORY	FY16 Estimate		FY17 Budget		Position Change	Salaries Change	% of Positions by Category	
	Positions	Salaries	Positions	Salaries	FY16/FY17	FY16/FY17	FY16	FY17
FULL-TIME								
Faculty	-	208	-	202	-	(6)	0.0%	0.0%
Counselors	-	-	-	-	-	-	#DIV/0!	0.0%
Librarians	-	-	-	-	-	-	#DIV/0!	0.0%
Coaches	-	-	-	-	-	-	#DIV/0!	0.0%
Classified	4	212	3	186	(1)	(26)	#DIV/0!	2.1%
Administrative	82	7,822	78	7,689	(5)	(133)	#DIV/0!	54.2%
Managerial Professional	61	7,545	63	7,011	2	(534)	#DIV/0!	43.7%
TOTAL FULL-TIME POSITIONS	147	15,787	144	15,088	(4)	(699)	#DIV/0!	100.0%
PART-TIME								
Lecturers (PTL)	-	-	-	-	-	-		
Contractual (NCL)	-	-	-	-	-	-		
Contractual (ECL)	-	-	-	-	-	-		
Permanent/Intermittent	152	158	-	6	-			
Continuing Part-Time	60	-	-	(60)	-			
Temporary Part-Time	43	-	-	(43)	-			
Student Labor	53	35	-	(18)	-			
Other Part-Time	-	-	-	-	-			
TOTAL PART-TIME POSITIONS	-	308	-	193	-	(115)		